# Land Information System

# LIS 7.1 Users' Guide

December 15, 2015

Revision 1.3

# History:

Revision	Summary of Changes	Date
1.3	LIS 7.1rp1 Public Release	December 15, 2015



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# History:

Revision	Summary of Changes	Date
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1.1	LIS 7.1 Public Release	May 27, 2015
1.0	LIS 7.1 Initial AFWA Release	April 13, 2015

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# 1 Introduction

This is the Land Information System (LIS) User's Guide. This document describes how to download and install the code and data needed to run the LIS executable for LIS revision 7.1. It describes how to build and run the code, and finally this document also describes how to download output data-sets to use for validation.

This document consists of 12 sections, described as follows:

- 1 Introduction: the section you are currently reading
- 2 Background: general information about the LIS project
- **3 Preliminary Information**: general information, steps, instructions, and definitions used throughout the rest of this document
- 4 Obtaining the Source Code: the steps needed to download the source code
- 5 Building the Executable: the steps needed to build the LIS executable
- **6 Running the Executable**: the steps needed to prepare and submit a run, also describes the various run-time configurations
- 7 Test Cases: describes the LIS test cases.
- 8 Output Data Processing: the steps needed to post-process generated output for visualization
- 9 LIS config File: describes the user-configurable options.
- 10 Specification of Input Forcing Variables: describes the user-configurable input forcing variable options.
- 11 Model Output List Table: describes the user-configurable output variable options.
- 12 User Support:

#### 1.1 What's New

#### 1.1.1 LIS 7.1

- 1. Includes Noah 3.6
- 2. Includes NoahMP 3.6

- 3. Includes CABLE 1.4b
- 4. Includes flood irrigation
- 5. Includes drip irrigation
- 6. Supports VIIRS Daily GVF data
- 7. Supports TRMM 3B42 V7 real time precipitation
- 8. Supports Gaussian T1534 GFS met forcing data
- 9. Supports MERRA-2 met forcing data these data are not currently available to external users; they should become available in July 2015
- 10. Supports downscaling precipitation (PRISM) (NLDAS-2 only)

#### 1.1.2 LIS 7.0

- 1. Requires companion Land Data Toolkit (LDT) input data and parameter preprocessor  $\,$
- 2. Includes VIC 4.1.2.l
- 3. Includes RDHM 3.5.6 (SacHTET and Snow17)
- 4. Includes demand sprinkler irrigation
- 5. Includes HYMAP routing
- 6. Includes NLDAS routing
- 7. Includes radiative transfer model support
  - LIS-CRTM2EM LIS' implementation of JCSDA's CRTM2 with emissivity support
     See http://ftp.emc.ncep.noaa.gov/jcsda/CRTM/.
  - LIS-CMEM3 LIS' implementation of ECMWF's CMEM 3.0 See http://old.ecmwf.int/research/data\_assimilation/land\_surface/cmem/cmem\_source.html for the original code.
- 8. Includes parameter and uncertainty estimation support
  - Genetic algorithm (GA)
  - Monte Carlo sampling (MCSIM)
  - Differential evolution Markov chain z (DEMCz)
- 9. Supports ensemble of met forcing sources
- 10. Supports GEOS 5 forecast met forcing data

- 11. Supports PALS met forcing data
- 12. Supports PILDAS met forcing data
- 13. Supports ECV soil moisture data assimilation
- 14. Supports GRACE data assimilation
- 15. Supports PMW snow data assimilation
- 16. Supports SMOPS soil moisture data assimilation

Note that the notion of a base forcing and a supplemental forcing have been replaced with the notion of a meteorological forcing. Thus the support in *base-forcing* and in *supplorcing* have been combined into *metforcing*.

Note that LIS is developing support for surface types other than land. Thus all the land surface models contained in *lsms* have been moved into *surfacemodels/land*.

Note that the companion program LDT is now required to process input parameters. Thus the support for static and climatological parameters have been removed from *params* and placed into LDT.

## 1.1.3 LIS 6.2

- 1. Includes VIC 4.1.1.
- 2. Includes CABLE 1.4b restricted distribution.
- 3. Includes Catchment F2.5.
- 4. Includes Noah 3.3.
- 5. Includes SiB2.
- 6. Includes WRSI.
- 7. Support for North American Mesoscale Forecast System (NAM) "242 AWIPS Grid Over Alaska" product.
- 8. Support for USGS potential evapotranspiration (PET) data (for use in WRSI).
- 9. Support for Climate Prediction Center's (CPC) Rainfall Estimates version 2 (RFE2) daily precipition (for use in WRSI).
- 10. Support to apply lapse-rate correction to bottom temperature field (for use in Noah).

#### 1.1.4 LIS 6.1

- 1. Includes Noah 3.1.
- 2. Includes Noah 3.2.
- 3. Support for SPoRT Daily GVF data.
- 4. Support for North American Regional Reanalysis (3d) (NARR) data.
- 5. Support for NCEP's modified IGBP MODIS landcover data.
- 6. Support to specify direction for output variables.
- 7. Support for assimilation of ANSA snow depth products, MODIS snow-cover, and LPRM retrievals of AMSRE soil moisture.

#### 1.1.5 LIS 6.0

- 1. Modules have been restructured to streamline public and private interfaces
- 2. Restructured AGRMET processing—parallel support, lat/lon support.
- 3. This version now uses ESMF 3.1.0rp3.
- 4. Support for computational halos.
- 5. Allows mosaicing of different forcings concurrently (e.g. GDAS global + NLDAS over CONUS+SALDAS over south america, etc.)
- 6. Allows multiple overlays of different supplemental forcings (e.g. GDAS overlaid with NLDAS, AGRMET, STAGEIV)
- 7. Allows concurrent instances of data assimilation
- 8. Includes a highly configurable I/O interface (Allows unit conversions, temporal averaging, model-independent support for binary, Grib1 and NETCDF)
- 9. Includes support for 3d forcing (that includes the atmospheric profile) and a configurable specification of the forcing inputs
- 10. A dynamic bias estimation component (from NASA GMAO) has been added to the data assimilation subsystem.
- 11. Generic support for parameter estimation/optimization with the implmentation of a heuristic approach using Genetic Algorithms.
- 12. New sources for data assimilation (using NASA and NESDIS retrievals of AMSRE soil moisture)

- 13. Support for real time GVF data from NESDIS and MODIS
- 14. A suite of upscaling algorithms to complement the existing spatial down-scaling algorithms.
- 15. Support for new map projections UTM
- 16. Support for forward modeling using radiative transfer models, and support for radiance based assimilation

#### 1.1.6 LIS 5.0

- 1. This version includes the infrastructure for performing data assimilation using a number of different algorithms from simple approaches such as direct insertion to the more sophisticated ensemble kalman filtering.
- 2. More streamlined support for different architectures: A configuration based specification for the LIS makefile.
- 3. The data assimilation infrastructure utilizes the Earth System Modeling Framework (ESMF) structures. The LIS configuration utility has been replaced with the corresponding ESMF utility.

#### 1.1.7 LIS 4.2

- 1. Completed implementation of AGRMET processing algorithms
- 2. Ability to run on polar stereographic, mercator, lambert conformal, and lat/lon projections
- 3. Updated spatial interpolation tools to support the transformations to/from the above grid projections
- 4. Switched to a highly interactive configurations management from the fortran namelist-based lis.crd style.
- 5. Streamlined error and diagnostic logging, in both sequential and parallel processing environments.
- 6. extended grib support; included the UCAR-based read-grib library
- 7. Support for new supplemental forcing analyses Huffman, CMORPH

#### 1.1.8 LIS 4.1

- 1. Preliminary AFWA support
- 2. Ability to run on a defined layout of processors.
- 3. Updates to plugins, preliminary implementation of alarms.
- 4. Definition of LIS specific environment variables.

#### 1.1.9 LIS 4.0.2

- 1. GSWP-2 support LIS can now run GSWP-2 experiments. Currently only CLM and Noah models have full support.
- 2. Updates to the 1km running mode.
- 3. Updates to the GDS running mode.

#### 1.1.10 LIS 4.0

1. VIC 4.0.5 – LIS' implementation of VIC has been reinstated.

#### 1.1.11 LIS 3.1

- 1. New domain-plugin support facilitates creating new domains.
- 2. New domain definition support facilitates defining running domains. Sub-domain selection now works for both MPI-based and non MPI-based runs
- 3. New parameter-plugin support facilitates adding new input parameter data-sets.
- 4. New LIS version of ipolates facilitates creating new domains and base forcing data-sets.
- 5. Compile-time MPI support MPI libraries are no longer required to compile LIS.
- 6. Compile-time netCDF support netCDF libraries are no longer required to compile LIS.
- 7. New LIS time manager support ESMF time manager was removed. ESMF libraries are not required in this version of LIS.

#### 1.1.12 LIS 3.0

- 1. Running Modes Now there is more than one way to run LIS. In addition to the standard MPI running mode, there are the GDS running mode and the 1 km running mode.
- 2. Sub-domain Selection Now you are no longer limited to global simulations. You may choose any sub-set of the global domain to run over. See Section 9 for more details. (This is currently only available for the MPI-based running mode.)
- 3. Plug-ins Now it is easy to add new LSM and forcing data-sets into the LIS driver. See LIS' Developer's Guide for more details.

# 2 Background

This section provides some general information about the LIS project.

#### 2.1 LIS

Land Information System (LIS) is a flexible land surface modeling and data assimilation framework developed with the goal to integrate satellite- and groundbased observational data products and advanced land surface modeling techniques to produce optimal fields of land surface states and fluxes. The LIS infrastructure provides the modeling tools to integrate these observations with model forecasts to generate improved estimates of land surface conditions such as soil moisture, evaporation, snow pack, and runoff, at 1km and finer spatial resolutions and at one-hour and finer temporal resolutions. The fine scale spatial modeling capability of LIS allows it take advantage of the EOS-era observations, such as MODIS leaf area index, snow cover, and surface temperature, at their full native resolution. LIS features a high performance and flexible design, provides infrastructure for data integration and assimilation, and operates on an ensemble of land surface models (LSM) for extension over user-specified regional or global domains. LIS is designed using advanced software engineering principles to enable reuse and community sharing of modeling tools, data resources, and assimilation algorithms. The system is designed as an objectoriented framework, with abstractions defined for customization and extension to different applications. These extensible interfaces allow the incorporation of new domains, LSMs, land surface parameters, meteorological inputs, data assimilation and optimization algorithms. The extensible nature of these interfaces and the component style specification of the system allow rapid prototyping and development of new applications. These features enable LIS to serve both as a Problem Solving Environment (PSE) for hydrologic research to enable accurate global water and energy cycle predictions, and as a Decision Support System (DSS) to generate useful information for application areas including disaster management, water resources management, agricultural management, numerical weather prediction, air quality and military mobility assessment.

LIS currently includes a comprehensive suite of subsystems to support uncoupled and coupled land data assimilation. A schematic of the LIS framework with the associated subsystems are shown in the Figure below. The LIS-LSM subsystem, which is the core of LIS, supports high performance, interoperable and portable land surface modeling with a suite of community land surface models and input data. Further, the LIS-LSM subsystem is designed to encapsulate the land surface component of an Earth System model. The LIS-WRF subsystem supports coupled land-atmosphere modeling through both one-way and two-way coupling to the WRF atmospheric model, leading to a hydromete-

orological modeling capability that can be used to evaluate the impact of land surface processes on hydrologic prediction. The Data Assimilation (LIS-DA) subsystem supports multiple data assimilation algorithms that are focused on generating improved estimates of hydrologic model states. Finally, the Optimization (LIS-OPT) subsystem supports a suite of advanced optimization and uncertainty modeling tools in LIS.

#### 2.2 LIS core

The central part of LIS software system is the LIS core that controls program execution. The LIS core is a model control and input/output system (consisting of a number of subroutines, modules written in Fortran 90 source code) that drives multiple offline one-dimensional LSMs. The one-dimensional LSMs such as CLM and Noah, apply the governing equations of the physical processes of the soil-vegetation-snowpack medium. These land surface models aim to characterize the transfer of mass, energy, and momentum between a vegetated surface and the atmosphere. When there are multiple vegetation types inside a grid box, the grid box is further divided into "tiles", with each tile representing a specific vegetation type within the grid box, in order to simulate sub-grid scale variability.

The execution of the LIS core starts with reading in the user specifications, including the modeling domain, spatial resolution, duration of the run, etc. Section 6 describes the exhaustive list of parameters specified by the user. This is followed by the reading and computing of model parameters. The time loop begins and forcing data is read, time/space interpolation is computed and modified as necessary. Forcing data is used to specify the boundary conditions to the land surface model. The LIS core applies time/space interpolation to convert the forcing data to the appropriate resolution required by the model. The selected model is run for a vector of "tiles" and output and restart files are written at the specified output interval.

Some of the salient features provided by the LIS core include:

- Vegetation type-based "tile" or "patch" approach to simulate sub-grid scale variability.
- Makes use of various satellite and ground-based observational systems.
- Derives model parameters from existing topography, vegetation, and soil coverages.
- Extensible interfaces to facilitate incorporation of new land surface models, forcing schemes.

- Uses a modular, object oriented style design that allows "plug and play" of different features by allowing user to select only the components of interest while building the executable.
- Ability to perform regional modeling (only on the domain of interest).
- Provides a number of scalable parallel processing modes of operation.

Please refer to the software design document for a detailed description of the design of LIS core. The LIS reference manual provides a description of the extensible interfaces in LIS. The "plug and play" feature of different components is described in this document.

# 3 Preliminary Information

This section provides some preliminary information to make reading this guide easier.

Commands are written with a fixed-width font. E.g.:

```
sh$ cd /path/to/LISv7.0
sh$ ls
"...compiler flags, then run gmake."
```

File names are written in italics. E.g.:

```
/path/to/LISv7.0/src
```

# 4 Obtaining the Source Code

This section describes how to obtain the source code needed to build the LIS executable.

Due to the history of LIS' development, the source code may not be freely distributed. The source code is available only to U.S. government agencies or entities with a U.S. government grant/contract. LIS' web-site explains how qualified persons may request a copy of the source code.

#### 4.1 Public Release Source Code Tar File

After the proper paperwork and Software Usage Agreement have been completed, the qualified person will be given an official LIS revision 7.1 tar-file containing the source code.

- 1. Create a directory to unpack the tar-file into. Let's call it TOPLEVELDIR.
- 2. Place the tar-file in this directory.
  sh\$ mv LIS\_public\_release\_7.1r.tar.gz TOPLEVELDIR
- 3. Go into this directory. sh\$ cd TOPLEVELDIR
- 4. Run gzip -dc LIS\_public\_release\_7.1r.tar.gz | tar xf This command will unzip and untar the tar-file.

Note that the directory containing the LIS source code will be referred to as \$WORKING throughout the rest of this document.

## 4.2 Checking Out the Source Code

The source code is maintained in a Subversion repository. Only developers may have access to the repository. Developers must use the Subversion client (svn) to obtain the LIS source code. If you need any help regarding Subversion, please go to http://subversion.apache.org/.

Developers must first obtain access to the LIS source code respository. To obtain access you must contact the LIS team. Once you have access to the repository, you will be given the correct Subversion command to run to checkout the source code.

- 1. Create a directory to checkout the code into. Let's call it TOPLEVELDIR.
- 2. Go into this directory. sh\$ cd TOPLEVELDIR
- 3. Check out the source code into a directory called src.

For the public version, run the following command:

sh\$ svn checkout https://progress.nccs.nasa.gov/svn/lis/7/public7.1
src

Note that the directory containing the LIS source code will be referred to as \$WORKING throughout the rest of this document.

#### 4.3 Source files

Checking out the LIS source code (according the instructions in Section 4) will create a directory named *src*. The structure of *src* is as follows:

- arch
   Directory containing the configurable options for building the LIS executable
- configs some sample LIS configuration files
- core core routines in LIS
- dataassim

Top level directory for data assimilation support, which includes the following subcomponents

- algorithm

Directory containing the following data assimilation algorithm implementations:

- \* di direct insertion algorithm for data assimilation
- \* enkf NASA GMAO's Ensemble Kalman Filter algorithm for data assimilation
- $*\ enkfgrace$  GRACE Ensemble Kalman Filter algorithm for data assimilation

- biasEstimation

Directory containing the following dynamic bias estimation algorithms:

 $* \ gmaoBE$ 

NASA GMAO's dynamic bias estimation algorithm

-obs

Directory containing the following observation handlers for data assimilation:

\* ANSA\_SCF

Blended snow cover fraction from the AFWA NASA snow algorithm

\*  $ECV\_sm$ 

ECV soil moisture

\* GRACE

GRACE soil moisture

 $*\ LPRM\_AMSREsm$ 

Soil moisture retrievals from AMSRE derived using the land parameter retrieval model (LPRM) from University of Amsterdam

\* MODISsca

MODIS snow cover area product in HDF4/HDFEOS format

 $*~NASA\_AMSREsm$ 

NASA AMSRE soil moisture data in binary format

 $* PMW\_snow$ 

PMW snow

\* RT\_SMOPSsm

SMOPS real time soil moisture

\*  $WindSat\_sm$ 

X-band soil moisture retrievals from WindSat

- perturb

Directory containing the following perturbation algorithm implementations

\* gmaopert

NASA GMAO's perturbation algorithm

- domains Directory containing the domains in the following map projections / custom grids
  - UTM

Universal Transverse Mercator grids

- hran

Hydrologic Rainfall Analysis Project polar stereographic grid

- lambert

Lambert conformal grids

- latlon

Equidistant cylindrical grids

- merc
  - Mercator grids
- polar

Polar stereographic grids

#### $\bullet$ interp

Generic spatial and temporal interpolation routines

#### • irrigation

Directory containing the following irrigation schemes

- drip

Drip irrigation scheme

- flood
  - Flood irrigation scheme
- sprinkler

Demand sprinkler irrigation scheme

#### lib

Directory contains the following RTM-related libraries

- lis-cmem3
- lis-crtm
- lis-crtm-profile-utility

#### $\bullet$ make

Makefile and needed header files for building LIS executable

#### • metforcing

Top level directory for base meteorological forcing methods, which includes the following implementations

- -3B42RT
  - Routines for handling the TRMM 3B42RT precipitation product
- 3B42RTV7

Routines for handling the TRMM 3B42RTV7 precipitation product

- 3B42V6
  - Routines for handling the TRMM 3B42V6 precipitation product
- 3B42V7

Routines for handling the TRMM 3B42V7 precipitation product

- Bondville
  - Routines for handling the Bondville forcing products
- PALSmetdata

Routines for handling the PALS station data

#### - PILDAS

Routines for handling the PILDAS metforcing data

- RFE2Daily

Routines for handling the RFE2 precipitation product from FEWS-NET (diurnally non-disaggregated)

- RFE2qdas

Routines for handling the RFE2 precipitation product from FEWS-NET bias corrected against GDAS data

- WRFout

Routines for handling WRF output as forcing input

- agrrad

Routines for handling the AGRMET radiation product

- agrradps

Routines for handling the AGRMET radiation product (polar stereographic prjection)

- ceop

Routines for handling the CEOP meteorological station data

- стар

Routines for handling the CMAP precipitation product

- cmorph

Routines for handling the CMORPH precipitation product

- coor

Routines for handling the COOP precipitation product

- ecmwf

ECMWF meteorological forcing data

- ecmwfreanal

ECMWF reanalysis meteorological forcing data based on [1].

– gdas

NCEP GDAS meteorological forcing data

- gdasLSWG

GDAS profile data from the PMM land surface working group

- qdasT1534

NCEP GDAS GFS T1534 meteorological forcing data

- qeos

NASA GEOS meteorological forcing data

- geos5fcst

NASA GEOS 5 meteorological forecast forcing data

– gfs

NCEP GFS meteorological forcing data

- qldas

NASA GMAO GLDAS meteorological forcing data

- gswp1
  - Global Soil Wetness Project-1 meteorological forcing data
- − gswp2

Global Soil Wetness Project-2 meteorological forcing data

- merra-land
  - $\operatorname{GMAO}$  Modern Era Retrospective-Analysis for Research and Applications data
- merra2

 $\operatorname{GMAO}$  Modern Era Retrospective-Analysis for Research and Applications data

- nam242

Routines for handling the North American Mesoscale Forecast System (NAM) 242 AWIPS Grid – Over Alaska product

- narr
  - Routines for handling the North American Regional Reanalysis (3d) data
- nldas1

Routines for handling the North American Land Data Assimilation System forcing product

- nldas2
  - Routines for handling the North American Land Data Assimilation System 2 forcing product
- $pet\_usgs$

Routines for handling daily potential evapotranspiration data from the USGS FAO-PET method, using GDAS forcing fields as inputs

- princeton
  - Renalaysis product from Princeton University ([3])
- rdhm356

Routines for handling NOAA OHD RDHM 3.5.6 forcing data

- rhoneAGG
  - Rhone-AGG meteorological forcing data
- scan

Routines for handling the Soil Climate Analysis Network precipitation product

- snotel
  - SNOTEL meteorological forcing data
- − stg2

Routines for handling the NCEP Stage IV QPE precipitation product

-stg4

Routines for handling the NCEP Stage II precipitation product

- $-\ template MetForc$ 
  - An empty template for meteorological forcing data implementations
- victorcing
  - Routines for handling VIC 4.1.1 pre-processed meteorological forcing data  $\,$
- vicforcing.4.1.2
   Routines for handling VIC 4.1.2 pre-processed meteorological forcing
- offline

Contains the main program for the offline mode of operation

optUE

Top level directory for optimization support, which includes the following subcomponents

- algorithm

Directory containing the following optimization algorithm implementations  $% \left( 1\right) =\left( 1\right) \left( 1\right)$ 

- \* DEMCz
  - differential evolution monte carlo Z algorithm
- \* GA

Single objective Genetic Algorithm

- \* MCSIM
  - monte carlo simple propagation scheme
- -type
  - \* paramestim

Directory for parameter estimation support

The directory for parameter estimation support *paramestim* includes the following subcomponents

- objfunc

Directory containing the following objective function evaluation methods

- \* LL
  - maximum likelihood
- \* LS

Least squares based objective function

- \* F
  - prior function definition
- obs

Directory containing the following observation handlers for parameter estimation

- \*  $AMSRE\_SR$ 
  - AMSRE retrievals of emissivity
- \* EmptyObs
- $*\ LPRM\_AMSREsm$

Soil moisture retrievals from AMSRE derived using the land parameter retrieval model (LPRM) from University of Amsterdam

\* template

#### • params

Directory containing implementations of the following land surface model parameters

- gfrac

Routines for handling green vegetation fraction data products

— lai

Routines for handling Leaf/Stem area index data products

#### • plugins

Modules defining the function table registry of extensible functionalities

#### • routing

Directory containing routing models

- HYMAP\_router
- NLDAS\_router

### $\bullet$ rtms

Directory containing coupling routines to the following radiative transfer models

- CMEM3

Community Microwave Emission Model from ECMWF

- CRTM2EM

Routines to handle coupling to the JCSDA Community Radiative Transfer Model Emissions model

#### • runmodes

Directory containing the following running modes in LIS

- paramEstimation

Routines to manage the program flow in the parameter estimation mode

- retrospective

Routines to manage the program flow in the retrospective analysis mode

- smootherDA
  - Routines to manage the program flow in the smoother da analysis mode
- wrf\_cpl\_mode

Routines to manage the program flow in the coupled LIS-WRF mode not using  $\operatorname{ESMF}$ 

## $\bullet \ \ surface models$

Top level directory for surface model support, which includes the following subcomponents

#### - land

Directory containing implementations of the following land surface models

- \* cable
  - CSIRO Atmosphere Biosphere Land Exchange model, version 1.4b
- \* clm2

NCAR community land model, version 2.0

- $*\ clsm.f2.5$ 
  - NASA GMAO Catchment land surface model version Fortuna 2.5
- \* geowrsi.2

GeoWRSI version 2

- \* hyssib
  - NASA HySSIB land surface model
- \* mosaic

NASA Mosaic land surface model

- \* noah.2.7.1
  - NCEP Noah land surface model version 2.7.1
- \* noah.3.2
  - NCAR Noah land surface model version 3.2
- \* noah.3.3

NCAR Noah land surface model version 3.3

- \* noah.3.6
  - NCAR Noah land surface model version 3.6
- \* rdhm.3.5.6

NOAH OHD Research Distributed Hydrologic Model version  $3.5.6\,$ 

- \* template
  - An empty template for land surface model implementations
- \* vic.4.1.1

Variable Infiltration Capacity model from University of Washington, version  $4.1.1\,$ 

### $*\ vic.4.1.2.l$

Variable Infiltration Capacity model from University of Washington, version 4.1.2.1

Each of these LSM directories contain specific plugin interfaces related to (1) coupling to WRF and GCE models, (2) Data assimilation instances, (3) Irrigation instances, (4) Parameter estimation instances, (5) Routing instances, and (6) Radiative transfer instances. These routines defined for Noah land surface model version 3.3 are shown below. Note that similar routines are implemented in other LSMs.

#### 1. Coupling interfaces:

 $* cpl\_wrf\_noesmf$ 

Routines for coupling Noah with WRF without ESMF

#### 2. Data assimilation interfaces:

 $* da\_snow$ 

Noah routines related to the assimilation of snow water equivalent observations

\* da\_soilm

Noah routines related to the assimilation of soil moisture observations

#### 3. Irrigation interfaces:

\* irrigation

Noah routines related to interacting with the irrigation scheme

- 4. Routing interfaces:
  - \* routing

Noah routines related to interacting with the routing schemes

#### - openwater

Directory containing implementations of the following open water surface models

#### \* template

An empty template for open water surface model implementa-

#### testcases

testcases for verifying various functionalities

#### utils

Miscellaneous helpful utilities

Source code documentation may be found on LIS' web-site. Follow the "Documentation" link.

# 5 Building the Executable

This section describes how to build the source code and create LIS' executable — named LIS.

### 5.1 Development Tools

This code has been compiled and run on Linux PC (Intel/AMD based) systems, IBM AIX systems, and SGI Altix systems. These instructions expect that you are using such a system. In particular you need:

- Linux
  - Compilers
    - \* either Intel Fortran Compiler version 13 (13.1.3.192 or higher) with corresponding Intel C Compiler

Note that LIS has been tested specifically with Intel compilers 13.1.3.192, 14.0.3.174, and 15.1.133.

- \* or GNU's Compiler Collection 4.9.2, both gfortran and gcc
- GNU's make, gmake, version 3.77
- IBM
  - XL Fortran version 10.1.0.6
  - GNU's make, gmake, version 3.77
- SGI Altix
  - Intel Fortran Compiler version 12
  - GNU's make, gmake, version 3.77

## 5.2 Required Software Libraries

In order to build the LIS executable, the following libraries must be installed on your system:

• Earth System Modeling Framework (ESMF) version 5.2.0rp3 (or higher). (http://www.earthsystemmodeling.org/download/releases.shtml)

Please read the ESMF User's Guide for details on installing ESMF with MPI support and without MPI support ("mpiuni").

Note that starting with ESMF version 5, the ESMF development team is trying to maintain backwards compatibility with its subsequent releases. The LIS development team, however, has neither compiled nor tested against versions of ESMF newer than 5.2.0rp3.

• JasPer version 1.900.1. (http://www.ece.uvic.ca/~frodo/jasper/).

Note that when running the configure command you must include the --enable-shared option.

- GRIB-API version 1.12.3 (or higher). (https://software.ecmwf.int/wiki/display/GRIB/Home)
- NetCDF either version 3.6.3 or version 4.3.0 (or higher). (http://www.unidata.ucar.edu/software/netcdf/)

Please read the on-line documentation for details on installing NetCDF.

Additional notes for NetCDF 4:

You must also choose whether to compile with compression enabled.
 Compiling with compression enabled requires HDF 5 and zlib libraries. To enable compression, add --enable-netcdf-4 to the configure options. To disable compression, add --disable-netcdf-4 to the configure options.

An example of installing NetCDF 4 without compression:

```
sh$ ./configure --prefix=$HOME/local/netcdf-4.3.0 --disable-netcdf-4
sh$ gmake
sh$ gmake install
```

An example of installing NetCDF 4 with compression:

```
sh$ CPPFLAGS=-I$HOME/local/hdf5/1.8.11/include \ > LDFLAGS=-L$HOME/local/hdf5/1.8.11/lib \ > ./configure --prefix=$HOME/local/netcdf/4.3.0 --enable-netcdf-4 sh$ gmake sh$ gmake install
```

- You must also download the *netcdf-fortran-4.2.tar.gz* file. First install the NetCDF C library, then install the NetCDF Fortran library. Again, please read the on-line documentation for more details.

An example of installing the NetCDF 4 Fortran library:

```
sh$ LD_LIBRARY_PATH=$HOME/local/netcdf/4.3.0/lib:$LD_LIBRARY_PATH \
> CPPFLAGS=-I$HOME/local/netcdf/4.3.0/include \
> LDFLAGS=-L$HOME/local/netcdf/4.3.0/lib \
```

```
> ./configure --prefix=$HOME/local/netcdf/4.3.0
sh$ gmake
sh$ gmake install
```

# 5.3 Optional Software Libraries

The following libraries are not required to compile LIS. They are used to extend the functionality of LIS.

#### • Message Passing Interface (MPI)

If you wish to run LIS with multiple processes (i.e., in parallel), then you must install an MPI library package.

- vendor supplied (e.g., Intel MPI) or
- MPICH version 1.2.7p1 (http://www-unix.mcs.anl.gov/mpi/mpich1/)
- Open MPI (http://www.open-mpi.org/)

Note that LIS does not support OpenMP style parallelization. There is some experimental support within LIS, but you should not enable it.

#### • HDF

You may choose either HDF version 4, HDF version 5, or both.

HDF is used to support a number of remote sensing datasets.

If you wish to use MODIS snow cover area observations or NASA AMSR-E soil moisture observations, then you need HDF 4 support.

If you wish to use ANSA snow cover fraction observations, then you need HDF 5 support.

If you wish to use PMW snow observations, then you need both HDF 4 and HDF 5 support.

#### - HDF 4

If you choose to have HDF version 4 support, please download the HDF source for version 4.2r4 (or later) from (http://www.hdfgroup.org/products/hdf4) and compile the source to generate the HDF library. Make sure that you configure the build process to include the Fortran interfaces by adding the --enable-fortran option to the configure command. Note that HDF4 contains its own embedded version of NetCDF. You must disable this support by adding the --disable-netcdf option

to the configure command.

Note that when compiling LIS with HDF 4 support, you must also download and compile HDF-EOS2 (http://hdfeos.org/).

#### - HDF 5

If you choose to have HDF version 5 support, please download the HDF source for version 1.8.11 (or later) from (http://www.hdfgroup.org/HDF5/) and compile the source to generate the HDF library. Make sure that you configure the build process to include the Fortran interfaces by adding the --enable-fortran option to the configure command.

#### • JCSDA CRTM version 2.0.2

If you wish to enable LIS' RTM support, then you must install the CRTM library from the Joint Centers for Satellite Data Assimilation (JCSDA). First go to http://ftp.emc.ncep.noaa.gov/jcsda/CRTM/Repository/ and fill out the CRTM.Subversion\_Account\_Request.pdf form. Once you have access to their Subversion repository, checkout revision 9604 of the trunk.

Please create a directory outside of the LIS source code to checkout the CRTM library into. Then, within that new directory, run:

sh\$ svn checkout -r 9604 https://svnemc.ncep.noaa.gov/projects/crtm/trunk Then you must copy the LIS specific updates into this checked out CRTM code. See \$WORKING/lib/lis-crtm/README.

Next compile and install the CRTM library:

```
sh$ source Set_CRTM_Environment.sh
sh$ cd src
sh$ source configure/ifort.setup
Of course, choose the setup script that is appropriate for your environment.
sh$ gmake
sh$ gmake install
```

#### • LIS-CMEM library

If you wish to enable LIS' RTM support, then you must manually compile an included library.

```
sh$ cd $WORKING/lib/lis-cmem3
sh$ gmake
```

## • LIS-CRTM-PROFILE-UTILITY library

If you wish to enable LIS' RTM support, then you must manually compile an included library.

```
sh$ cd $WORKING/lib/lis-crtm-profile-utility
sh$ gmake
sh$ gmake install
```

To install these libraries, follow the instructions provided at the various URL listed above. These optional libraries have their own dependencies, which should be documented in their respective documentation.

Please note that your system may have several different compilers installed. You must verify that you are building these libraries with the correct compiler. You should review the output from the configure, make, etc. commands. If the wrong compiler is being used, you may have to correct your \$PATH environment variable, or set the \$CC and \$FC environment variables, or pass additional settings to the configure scripts. Please consult the installation instructions provided at the various URL listed above for each library.

If you wish to install all the libraries (required and optional, excluding JCSDA CRTM, LIS-CMEM, and LIS-CRTM-PROFILE-UTILITY), here is the recommended order:

- HDF 5 (optional)
   NetCDF has an optional dependency on HDF 5.
- NetCDF (required)
   ESMF has an optional dependency on NetCDF.
   GRIB-API has an optional dependency on NetCDF.
- 3. JasPer (required) GRIB-API depends on JasPer.
- 4. GRIB-API (required)
- 5. MPI (optional)
  ESMF has an optional dependency on MPI.
- 6. ESMF (required)
- 7. HDF 4 (optional) HDF-EOS2 depends on HDF 4.
- 8. HDF-EOS2 (optional)

Note that due to the mix of programing languages (Fortran and C) used by LIS, you may run into linking errors when building the LIS executable. This is often due to (1) the Fortran compiler and the C compiler using different cases (upper case vs. lower case) for external names, and (2) the Fortran compiler and C compiler using a different number of underscores for external names.

When compiling code using Absoft's Pro Fortran SDK, set the following compiler options:

```
-YEXT_NAMES=LCS -s -YEXT_SFX=_ -YCFRL=1
```

These must be set for each of the above libraries.

### 5.4 Build Instructions

- 1. Perform the steps described in Section 4 to obtain the source code.
- 2. Goto the \$WORKING directory. This directory contains two scripts for building the LIS executable: configure and compile.
- 3. Set the LIS\_ARCH environment variable based on the system you are using. The following commands are written using Bash shell syntax.
  - For an AIX system sh\$ export LIS\_ARCH=AIX
  - For a Linux system with the Intel Fortran compiler sh\$ export LIS\_ARCH=linux\_ifc
  - For a Linux system with the Absoft Fortran compiler sh\$ export LIS\_ARCH=linux\_absoft
  - For a Linux system with the Lahey Fortran compiler sh\$ export LIS\_ARCH=linux\_lf95

It is suggested that you place this command in your .profile (or equivalent) startup file.

4. Run the *configure* script first by typing:

#### sh\$ ./configure

This script will prompt the user with a series of questions regarding support to compile into LIS, requiring the user to specify the locations of the required and optional libraries via several LIS specific environment variables. The following environment variables are used by LIS.

Variable	Description	Usage
LIS_FC	Fortran 90 compiler	required
LIS_CC	C compiler	required
LIS_MODESMF	path to ESMF module files	required
LIS_LIBESMF	path to ESMF library files	required
LIS_JASPER	path to JasPer library	required
LIS_GRIBAPI	path to GRIB-API library	required
LIS_NETCDF	path to NetCDF library	required
LIS_HDF4	path to HDF4 library	optional
LIS_HDF5	path to HDF5 library	optional
LIS_HDFEOS	path to HDFEOS library	optional
LIS_MINPACK	path to MINPACK library	optional
LIS_CRTM	path to CRTM library	optional
LIS_CRTM_PROF	path to LIS-CRTM Profile library	optional
LIS_CMEM	path to LIS-CMEM library	optional

Note that the CC variable must be set to a C compiler, not a C++ compiler. A C++ compiler may mangle internal names in a manner that is not consistent with the Fortran compiler. This will cause errors during linking.

It is suggested that you add these definitions to your .profile (or equivalent) startup file.

You may encounter errors either when trying to compile LIS or when trying to run LIS because the compiler or operating system cannot find these libraries. To fix this, you must add these libraries to your \$LD\_LIBRARY\_PATH environment variable. For example, say that you are using ESMF, GRIBAPI, NetCDF, and HDF5. Then you must execute the following command (written using Bash shell syntax):

sh\$ export LD\_LIBRARY\_PATH=\$LIS\_HDF5/lib:\$LIS\_LIBESMF:\$LIS\_NETCDF/lib:\$LIS\_GRIBAPI/lib:\$LD\_LIBRARY\_PATH It is also suggested that you add this command to your .profile (or equivalent) startup file.

5. An example execution of the configure script is shown below:

#### sh\$ ./configure

Sotting up configuration for LTS vergion 7.0

```
Setting up configuration for LIS version 7.0...

Parallelism (0-serial, 1-dmpar, default=1):

Use openMP parallelism (1-yes, 0-no, default=0):

Optimization level (-2=strict checks, -1=debug, 0,1,2,3, default=2):

Assume little/big_endian data format (1-little, 2-big, default=2):

Use GRIBAPI? (1-yes, 0-no, default=1):

Enable AFWA-specific grib configuration settings? (1-yes, 0-no, default=0):

Use NETCDF? (1-yes, 0-no, default=1):

NETCDF version (3 or 4, default=4):

NETCDF use shuffle filter? (1-yes, 0-no, default = 1):
```

At each prompt, select the desired value. If you desire the default value, then you may simply press the Enter key.

Most of the configure options are be self-explanatory. Here are a few specific notes:

- for Parallelism (0-serial, 1-dmpar, default=1):, dmpar refers to enabling MPI
- for Use openMP parallelism (1-yes, 0-no, default=0):, select the default value of 0. OpenMP support is experimental. Please do not use.
- for Assume little/big\_endian data format (1-little, 2-big, default=2):, select the default value of 2. By default, LIS reads and writes binary data in the big endian format. Only select the value of 1, if you have reformatted all required binary data into the little endian format.
- for Use GRIBAPI? (1-yes, 0-no, default=1):, select the default value of 1. Technically, GRIB support is not required by LIS; however, most of the commonly used met forcing data are in GRIB, making GRIB support a practical requirement.
- for Use LIS-CRTM? (1-yes, 0-no, default=0):, if you wish to enable LIS-CRTM2EM support, then you must also enable LIS-CMEM support. So for Use LIS-CMEM? (1-yes, 0-no, default=0):, you must also select 1.
- for Use LIS-CMEM? (1-yes, 0-no, default=0):, if you wish to enable LIS-CMEM support, then you must also enable LIS-CRTM. So for Use LIS-CRTM? (1-yes, 0-no, default=0):, you must also select 1.

Note that due to an issue involving multiple definitions within the NetCDF 3 and HDF 4 libraries, you cannot compile LIS with support for both NetCDF 3 and HDF 4 together.

Note that if you compiled NetCDF 4 without compression, then when specifying NETCDF version (3 or 4, default=4):, select 3. Then you must manually append -lnetcdff to the LDFLAGS variable in the *make/configure.lis* file.

6. Compile the LIS source code by running the *compile* script.

#### sh\$ ./compile

This script will compile the libraries provided with LIS, the dependency generator and then the LIS source code. The executable LIS will be placed in the \$WORKING directory upon successful completion of the compile script.

7. Finally, copy the LIS executable into your running directory, \$RUNNING.

# 5.5 Generating documentation

LIS code uses the ProTex (http://gmao.gsfc.nasa.gov/software/protex/) documenting system [2]. The documentation in LATEX format can be produced by using the doc.sh in the \$WORKING/utils directory. This command produces documentation, generating a number of LATEX files. These files can be easily converted to pdf using utilities such as pdflatex.

# 6 Running the Executable

This section describes how to run the LIS executable.

First you should create a directory to run LIS in. It is suggested that you run LIS in a directory that is separate from your source code. This running directory shall be referred to as \$RUNNING. Next, copy the LIS executable into your running directory.

#### sh\$ cp \$WORKING/LIS \$RUNNING

The single-process version of LIS is executed by the following command issued in the \$RUNNING directory.

sh\$ ./LIS

Note that when using the Lahey Fortran compiler, you must issue this command to run the single-process version of LIS:

sh\$ ./LIS -W1,T

The parallel version of LIS must be run through an mpirun script or similar mechanism. Assuming that MPI is installed correctly, the LIS simulation is carried out by the following command issued from in the \$RUNNING\$ directory.

sh\$ mpirun -np N ./LIS

The -np N flag indicates the number of processes to use in the run, where you replace N with the number of processes to use. On a multiprocessor machine, the parallel processing capbabilities of LIS can be exploited using this flag.

Some systems require that you submit your job into a batch queue. Please consult with your system administrator for instructions on how to do this.

Note that before running LIS, you must set your environment to have an unlimited stack size. For the Bash shell, run

sh\$ ulimit -s unlimited

To customize your run, you must modify the *lis.config* configuration file. See Section 9 for more information.

# 6.1 Command line arguments

LIS [-f <file> | --file <file>]

 ${\tt -f}$   ${\tt <file>},$   ${\tt --file}$   ${\tt <file>}$  specifies the name of the LIS run-time configuration file.

By default, LIS expects the run-time configuration options to be defined in a file named *lis.config.* Use this command line argument to specify an alternate run-time configuration file.

# 7 Test-cases

This section describes how to obtain and how to use the test-cases provided by the LIS team.

There are two categories of testcases: public tests and internal tests.

#### 7.1 Public tests

These test-cases are provided for the general LIS user to run. They help demonstrate a successful installation of LIS and its required libraries. They also demonstrate how to configure several different use-cases of LIS. These test-cases are comprised of three parts: a testcases sub-directory included in the LIS source code, input data, and output data.

#### 7.1.1 The testcases Sub-directory

The public test-cases are contained within the src/testcases/public directory. The available tests are:

- NLDAS2-a NLDAS domain + NLDAS-2 forcing + Noah-3.3 LSM + NLDAS router or HYMAP router + AMSR-E DA soil moisture
- NLDAS2-b NLDAS domain + NLDAS-2 forcing + CLSM-F2.5 LSM + NL-DAS router or HYMAP router + GRACE DA
- NLDAS2-c RDHM 3.5.6 (Sac-HTET) over the NLDAS domain using NLDAS-2 forcing
- GLDAS VIC 4.1.2.1 over a global 1 deg domain using Princeton forcing

  pmw\_snowda\_nam PMW SNOW DA case over Alaska with NAM forcing
- **OPTUE** PMM related case featuring parameter and uncertainty estimation
- **geowrsi.2** GeoWRSI over an East Africa domain using USGS PET and RFE2 precip

These test-case sub-directories contain the files needed to help you test LIS. In particular, they contain the needed run-time configuration files, and they contain scripts for downloading data. Each of the test-case sub-directory contains a *README* file. Each *README* file contains a description of the test-case and instructions regarding how to download and run the test-case.

## 7.1.2 Input and output data

The input and output data needed to run the public test-cases are hosted on LIS' data portal. Access to the LIS data portal is required. Please see "LIS Test Cases" section of LIS' web-site (http://lis.gsfc.nasa.gov/).

#### 7.2 Internal tests

These test-cases are typically used by the LIS development team to test various components of the LIS source code. These test-cases are comprised of three parts: a *testcases* sub-directory included in the LIS source code, input data, and output data.

### 7.2.1 The testcases Sub-directory

The layout of the testcases sub-directory matches the layout of the top-level src directory. For example, LIS contains support for processing GDAS forcing data. These routines are in src/metforcing/gdas. The test-case for GDAS is in src/testcases/metforcing/gdas.

These test-case sub-directories contain several files to help you test LIS. For example, the src/testcases/metforcing/gdas test-case contains seven files:

### 1. README

contains instructions on how to run the test-case.

#### 2. ldt.config

is the configuration file for LDT to process input parameters for the test-case.

#### $3. param_attribs.txt$

is the configuration file for LDT to set input parameters for the test-case.

## 4. lis.config

is a configuration file to set the test-case.

#### 5. MODEL\_OUTPUT\_LIST.TBL

is a configuration file to set the output for the test-case.

### 6. output.ctl

is a GrADS descriptor file. This file is used with GrADS to plot the output data that you will generate when you run LIS. You may also read this file to obtain metadata regarding the structure of the output files.

This metadata is useful in helping you plot the output using a different program.

#### 7. testcase.ctl

is a GrADS descriptor file. This file is used with GrADS to plot the output data that is distributed via the LIS web-site (http://lis.gsfc.nasa.gov/) for this test-case.

#### 7.2.2 Test-cases LDT

For each test-case, the LIS team has created a corresponding LDT input data file that contains all the required data for running LDT to generate the LIS input parameter file.

To obtain the LDT input data for a test-case:

- 1. Go to LIS' web-site: http://lis.gsfc.nasa.gov/
- 2. Follow the "LIS Test Cases" link.
- 3. Follow the link corresponding to the desired test-case.

### 7.2.3 Test-cases Input

For each test-case, the LIS team has created a corresponding input data file that contains all the required data for running the test-case.

To obtain the input data for a test-case:

- 1. Go to LIS' web-site: http://lis.gsfc.nasa.gov/
- 2. Follow the "LIS Test Cases" link.
- 3. Follow the link corresponding to the desired test-case.

#### 7.2.4 Test-cases Output

For each test-case, the LIS team has created a corresponding output data file that contains all the output data produced from the test-case.

To obtain the output data for a test-case:

- 1. Go to LIS' web-site: http://lis.gsfc.nasa.gov/
- 2. Follow the "LIS Test Cases" link.
- 3. Follow the link corresponding to the desired test-case.

### 7.2.5 Output Example

For example, output data for the "Noah 3.3 LSM TEST" contains:

OUTPUT/testcase/lislog.0000

OUTPUT/test case/SURFACE MODEL.do1.stats

 $OUTPUT/test case/SURFACEMODEL/2002/20021029/LIS\_HIST\_200210290300.d01.gs4r$  $OUTPUT/test case/SURFACE MODE L/2002/2002 1029/LIS\_HIST\_2002 10290600.d01.qs4r$  $OUTPUT/test case/SURFACEMODEL/2002/20021029/LIS\_HIST\_200210290900.d01.gs4r$  $OUTPUT/test case/SURFACE MODE L/2002/2002 1029/LIS\_HIST\_2002 1029 1200.do1.qs4r$  $OUTPUT/test case/SURFACE MODE L/2002/2002 1029/LIS\_HIST\_2002 1029 1500.do1.qs4r$  $OUTPUT/test case/SURFACE MODE L/2002/2002 1029/LIS\_HIST\_2002 1029 1800.d01.gs4r$  $OUTPUT/test case/SURFACEMODEL/2002/20021029/LIS\_HIST\_200210292100.d01.gs4r$  $OUTPUT/test case/SURFACEMODEL/2002/20021030/LIS\_HIST\_200210300000.d01.gs4r$  $OUTPUT/test case/SURFACE MODE L/2002/2002 1030/LIS\_RST\_NOAH33\_2002 10300000.d01.nc$  $OUTPUT/test case/SURFACE MODE L/2002/2002 1030/LIS\_HIST\_2002 10300300.do1.qs4r$  $OUTPUT/test case/SURFACE MODE L/2002/2002 1030/LIS\_HIST\_2002 10300600.d01.gs4r$  $OUTPUT/test case/SURFACEMODEL/2002/20021030/LIS\_HIST\_200210300900.d01.gs4r$  $OUTPUT/test case/SURFACEMODEL/2002/20021030/LIS\_HIST\_200210301200.d01.qs4r$  $OUTPUT/test case/SURFACE MODE L/2002/2002 1030/LIS\_HIST\_2002 1030 1500.d01.gs4r$  $OUTPUT/test case/SURFACEMODEL/2002/20021030/LIS\_HIST\_200210301800.d01.qs4r$  $OUTPUT/test case/SURFACEMODEL/2002/20021030/LIS\_HIST\_200210302100.d01.gs4r$  $OUTPUT/test case/SURFACEMODEL/2002/20021031/LIS\_HIST\_200210310000.d01.gs4r$  $OUTPUT/test case/SURFACEMODEL/2002/20021031/LIS\_RST\_NOAH33\_200210310000.d01.nc$  $OUTPUT/test case/SURFACEMODEL/2002/20021031/LIS\_RST\_NOAH33\_200210310100.d01.nc$  The file, OUTPUT/testcase/lislog.0000, is the log from the run.

The file, OUTPUT/testcase/SURFACEMODEL.d01.stats, contains statistics from the run.

### The files labelled like

 $OUTPUT/test case/SURFACEMODEL/2002/20021029/LIS\_HIST\_200210290300.d01.gs4r$  contain the output from the run. Read the test case.ctl file contained in the appropriate test cases sub-directory of the LIS source code for metadata pertaining to these output files.

#### The files labelled like

OUTPUT/testcase/SURFACEMODEL/2002/20021030/LIS\_RST\_NOAH33\_200210300000.d01.nc are restart files. They may be used to continue or restart a run. The data are valid for the date and time indicated by the date-stamp in the file name. For example, the restart data in this file,

 $OUTPUT/test case/SURFACEMODEL/2002/20021030/LIS\_RST\_NOAH33\_200210300000.d01.nc \ are valid for 2002-10-30T00:00:00.$ 

These output data files are large and require post-processing before reading them, see Section 8.

# 8 Output Data Processing

This section describes how to process the generated output in various formats. The generated output can be written in a Fortran binary, GRIB, or NetCDF format. See Section 9.2 for more details.

The output data-sets created by running the LIS executable are written into sub-directories of the RUNNING/OUTPUT/SURFACEMODEL/ directory. Please note that RUNNING/OUTPUT/SURFACEMODEL/ is created at runtime, and that OUTPUT is a user-configurable name. See Section 9.2. The output data consists of ASCII text files and model output in some binary format.

For example, assume that you performed the Noah 3.3 test case.

This run will produce a RUNNING/OUTPUT/ directory. This directory will contain:

File Name	Synopsis
SURFACEMODEL.d01.stats	Statistical summary of output
SURFACEMODEL	Directory containing output data

The SURFACEMODEL directory will contain sub-directories of the form YYYY/YYYMMDD, where YYYY is a 4-digit year and YYYYMMDD is a date written as a 4-digit year, 2-digit month and a 2-digit day; both corresponding to the running dates of the simulation.

For this example, SURFACEMODEL will contain a 2002/20021030 sub-directory.

Its contents are the output files generated by the executable. They are:

 $LIS\_HIST\_200210300000.d01.gs4r$   $LIS\_HIST\_200210300300.d01.gs4r$   $LIS\_HIST\_200210300600.d01.gs4r$   $LIS\_HIST\_200210300900.d01.gs4r$   $LIS\_HIST\_200210301200.d01.gs4r$   $LIS\_HIST\_200210301200.d01.gs4r$   $LIS\_HIST\_200210301500.d01.gs4r$ 

 $LIS\_HIST\_200210301800.d01.gs4r$ 

### LIS\_HIST\_200210302100.d01.gs4r

Note, each file name contains a date-stamp marking the year, month, day, hour, and minute that the data correspond to. The output data files for other land surface models are similar. Here the gs4r extension corresponds to the Fortran binary output format. The output data files for other binary formats are similar.

The actual contents of the output files depend on the settings in the *lis.config* configuration file and the "Model output attributes file" file defined within the *lis.config* configuration file. See Section 9.12.

## 8.1 Fortran binary output format

For the Fortran binary format, LIS writes the output data as 4-byte REALs in sequential access mode.

The order in which the variables are written is the same order as in the statistical summary file; e.g., SURFACEMODEL.d01.stats.

The generated output can be written in a 2-D grid format or as a 1-d vector. See Section 9.2 for more details. If written as a 1-d vector, the output must be converted into a 2-d grid before it can be visualized. This is left as an exercise for the reader.

### 8.2 GRIB1 output format

GRIB1 is a self-describing data format. The output files produced in GRIB1 can be inspected by using either the utility wgrib (http://www.cpc.ncep.noaa.gov/products/wesley/wgrib.html) or the utility grib\_dump (provided with GRIB-API; see Section 5.2).

# 8.3 NetCDF output format

NetCDF is a self-describing format. The output files produced in NetCDF can be inspected by using the utility ncdump (provided with NetCDF; see Section 5.2).

# 9 LIS config File

This section describes the options in the *lis.config* file.

# 9.1 Overall driver options

Running mode: specifies the running mode used in LIS. Acceptable values are:

Value Description

"retrospective" retrospective mode

"WRF coupling" Coupled WRF mode

"ensemble smoother" ensemble smoother mode

Running mode: retrospective

Number of nests: specifies the number of nests used for the run. Values 1 or higher are acceptable. The maximum number of nests is limited by the amount of available memory on the system. The specifications for different nests are done using white spaces as the delimiter. Please see below for further explanations. Note that all nested domains should run on the same projection and same land surface model.

Number of nests: 1

Number of surface model types: specifies the number of surface model types used for the run. Values of 1 through LIS\_rc%max\_model\_types (currently equal to 3) are acceptable.

Number of surface model types: 1

Surface model types: specifies the surface model types used for the run. Acceptable values are:

Value Description

LSM land surface model

Lake lake model Glacier glacier model Surface model types: LSM

Surface model output interval: specifies the surface model output interval.

See Section 9.13 for a description of how to specify a time interval.

Surface model output interval: 3hr

Land surface model: specifies the land surface model to run. Acceptable values are:

Value Description template lsm none Noah.2.7.1 Noah version 2.7.1 Noah.3.2 Noah version 3.2 Noah.3.3 Noah version 3.3 Noah.3.6 Noah version 3.6 NoahMP version 3.6 NoahMP.3.6 CLM.2CLM version 2.0 VIC.4.1.1 VIC version 4.1.1 VIC.4.1.2 VIC version 4.1.2.1

Mosaic Mosaic HySSiB HySSiB

GeoWRSI.2 GeoWRSI version 2.0 CABLE.1.4b CABLE version 1.4b "CLSM F2.5" Catchment Fortuna-2\_5

RDHM.3.5.6 RDHM 3.5.6 (SacHTET and Snow17)

Land surface model: Noah.2.7.1

Number of met forcing sources: specifies the number of met forcing datasets to be used. Acceptable values are 0 or higher.

Number of met forcing sources: 1

Met forcing chosen ensemble member: specifies the desired ensemble member from a given forcing data source to be assigned across all LIS ensemble

members. This option is enabled only if the met forcing data source contains its own ensembles.

Met forcing chosen ensemble member:

Blending method for forcings: specifies the blending method to combine forcings if more than one forcing dataset is used. Acceptable values are:

Value Description

overlay datasets are overlaid on top of each other

in the order they are specified

ensemble each forcing dataset is assigned to a separate

ensemble member.

Blending method for forcings: overlay

Met forcing sources: specifies the met forcing data sources for the run. The values should be specified in a column format. Acceptable values for the sources are:

Value Description
"none" None
"GDAS" GDAS
"GEOS" GEOS

"GEOS5 forecast" GEOS5 Forecast

"ECMWF" ECMWF
"GSWP1" GSWP1
"GSWP2" GSWP2

"ECMWF reanalysis" ECMWF Reanalysis

"PRINCETON" Princeton
"NLDAS1" NLDAS1
"NLDAS2" NLDAS2
"GLDAS" GLDAS
"GFS" GFS

"MERRA-Land" MERRA-Land
"MERRA2" MERRA2
"CMAP" CMAP

"TRMM 3B42RT"

"TRMM 3B42RTV7"

"TRMM 3B42RTV7"

"TRMM 3B42V6"

"TRMM 3B42V6"

"TRMM 3B42V7"

"CPC CMORPH"

"CPC STAGEII"

"CPC STAGEIV"

"TRMM 3B42V7

"CMORPH from CPC

"CPC STAGEIV"

"TRMM 3B42V7

"CMORPH from CPC

"TRMM 3B42V7

"TRMM 3B42RT

"TRMM 3B42V6

"TRMM 3B42V6

"TRMM 3B42V7

"TRMM 3B4

"NARR" North American Regional Reanalysis

"RFE2(daily)" Daily rainfall estimator

"RFE2(GDAS bias-corrected)" RFE2 data bias corrected to GDAS

"CEOP" CEOP "SCAN" SCAN

"GDAS(LSWG)" GDAS data for LSWG project

"AGRMET radiation" AGRMET radiation
"Bondville" Bondville site data
"SNOTEL" SNOTEL data
"COOP" COOP data

"Rhone AGG" Rhone AGG forcing data "VIC processed forcing" VIC processed forcing "PALS station forcing" PALS station forcing

"PILDAS" PILDAS

"PET USGS" USGS PET 1.0 deg

"NAM242" NAM 242 AWIPS Grid – Over Alaska

"WRFout" WRF output

"RDHM 3.5.6" RDHM 3.5.6 (SACHTET and Snow17)

"LDT-generated" LDT-generated forcing files

Met forcing sources: GDAS

Topographic correction method (met forcing): specifies whether to use elevation correction for base forcing. Acceptable values are:

Value Description

"none" Do not apply topographic correction for forcing

"lapse-rate" Use lapse rate correction for forcing "slope-aspect" Apply slope-aspect correction for forcing

Topographic correction method (met forcing): "lapse-rate"

Enable spatial downscaling of precipitation: specifies whether to use spatial downscaling of precipitaion. Acceptable values are:

Value Description

0 Do not enable spatial downscaling

1 Enable spatial downscaling

Enable spatial downscaling of precipitation: 0

Spatial interpolation method (met forcing): specifies the type of interpolation scheme to apply to the met forcing data. Acceptable values are:

Value Description

"bilinear" bilinear scheme

"budget-bilinear" conservative scheme

"neighbor" neighbour scheme

Bilinear interpolation uses 4 neighboring points to compute the interpolation weights. The conservative approach uses 25 neighboring points. If the conservative option is turned on, it is used to interpolate the precip field only (to conserve water). Other fields will still be interpolated with the bilinear option.

Spatial interpolation method (met forcing): bilinear

Temporal interpolation method (met forcing): specifies the type of temporal interpolation scheme to apply to the met forcing data. Acceptable values are:

Value Description linear scheme trilinear uber next scheme

The linear temporal interpolation method computes the temporal weights based on two points. Ubernext computes weights based on three points. Currently the ubernext option is implemented only for the GSWP forcing.

Temporal interpolation method (met forcing): linear

# 9.2 Runtime options

Forcing variables list file: specifies the file containing the list of forcing variables to be used. Please refer to the sample forcing\_variables.txt (Section 10) file for a complete specification description.

Forcing variables list file: ./input/forcing\_variables.txt

Output methodology: specifies whether to write output as a 1-D array containing only land points or as a 2-D array containing both land and water points. 1-d tile space includes the subgrid tiles and ensembles. 1-d grid space includes a vectorized, land-only grid-averaged set of values. Acceptable values are:

Value Description

"none" Do not write output

"1d tilespace" Write output in a 1-D tile domain "2d gridspace" Write output in a 2-D grid domain "1d gridspace" Write output in a 1-D grid domain

Output methodology: "2d gridspace"

Output model restart files: specifies whether to write model restart files. Acceptable values are:

Value Description

0 Do not write restart files

1 Write restart files

Output model restart files: 1

Output data format: specifies the format of the model output data. Acceptable values are:

Value Description

"binary" Write output in binary format
"grib1" Write output in GRIB-1 format
"netcdf" Write output in netCDF format

Output data format: netcdf

Output naming style: specifies the style of the model output names and their organization. Acceptable values are:

Value Description

"2 level hierarchy" 2 levels of hierarchy
"3 level hierarchy" 3 levels of hierarchy
"4 level hierarchy" 4 levels of hierarchy

"WMO convention" WMO convention for weather codes

Output naming style: "3 level hierarchy"

Output GRIB Table Version: specifies GRIB table version.

Output GRIB Table Version: 130

Output GRIB Center Id: specifies GRIB center id.

Output GRIB Center Id: 173

Output GRIB Subcenter Id: specifies GRIB sub-center id.

Output GRIB Subcenter Id: 4

Output GRIB Grid Id: specifies GRIB grid id.

```
Output GRIB Grid Id: 11
```

Output GRIB Process Id: specifies GRIB process id.

```
Output GRIB Process Id: 1
```

Start mode: specifies if a restart mode is being used. Acceptable values are:

Value Description

restart A restart mode is being used

coldstart A cold start mode is being used, no restart file read

When the cold start option is specified, the program is initialized using the LSM-specific initial conditions (typically assumed uniform for all tiles). When a restart mode is used, it is assumed that a corresponding restart file is provided depending upon which LSM is used. The user also needs to make sure that the ending time of the simulation is greater than model time when the restart file was written.

```
Start mode: coldstart
```

The start time is specified in the following format:

Variable	Value	Description
Starting year:	integer 2001 – present	specifying starting year
Starting month:	integer $1-12$	specifying starting month
Starting day:	integer $1 - 31$	specifying starting day
Starting hour:	integer $0-23$	specifying starting hour
Starting minute:	integer $0-59$	specifying starting minute
Starting second:	integer $0-59$	specifying starting second

Starting year:	2002
Starting month:	10
Starting day:	29
Starting hour:	1
Starting minute:	0
Starting second:	0

The end time is specified in the following format:

Variable	Value	Description
Ending year:	integer 2001 – present	specifying ending year
Ending month:	integer $1-12$	specifying ending month
Ending day:	integer $1 - 31$	specifying ending day
Ending hour:	integer $0-23$	specifying ending hour
Ending minute:	integer $0-59$	specifying ending minute
Ending second:	integer $0-59$	specifying ending second

Ending year:	2002
Ending month:	10
Ending day:	31
Ending hour:	1
Ending minute:	0
Ending second:	0

LIS time window interval: specifies the interval at which the LIS run loop cycles, used in the "ensemble smoother" running mode.

```
LIS time window interval:
```

Undefined value: specifies the undefined value. The default is set to -9999.

```
Undefined value: -9999
```

Output directory: specifies the name of the top-level output directory. Acceptable values are any 40 character string. The default value is set to OUT-PUT. For simplicity, throughout the rest of this document, this top-level output directory shall be referred to by its default name, \$WORKING/LIS/OUTPUT.

```
Output directory: OUTPUT
```

Diagnostic output file: specifies the name of run time diagnostic file. Acceptable values are any 40 character string.

```
Diagnostic output file: lislog
```

Number of ensembles per tile: specifies the number of ensembles of tiles to be used. The value should be greater than or equal to 1.

```
Number of ensembles per tile: 1
```

The following options are used for subgrid tiling based on vegetation

Maximum number of surface type tiles per grid: defines the maximum surface type tiles per grid (this can be as many as the total number of vegetation types).

```
Maximum number of surface type tiles per grid: 1
```

Minimum cutoff percentage (surface type tiles): defines the smallest percentage of a cell for which to create a tile. The percentage value is expressed as a fraction.

```
Minimum cutoff percentage (surface type tiles): 0.05
```

Maximum number of soil texture tiles per grid: defines the maximum soil texture tiles per grid (this can be as many as the total number of soil texture types).

```
Maximum number of soil texture tiles per grid: 1
```

Minimum cutoff percentage (soil texture tiles): defines the smallest percentage of a cell for which to create a tile. The percentage value is expressed as a fraction.

```
Minimum cutoff percentage (soil texture tiles): 0.05
```

Maximum number of soil fraction tiles per grid: defines the maximum soil fraction tiles per grid (this can be as many as the total number of soil fraction types).

Maximum number of soil fraction tiles per grid: 1

Minimum cutoff percentage (soil fraction tiles): defines the smallest percentage of a cell for which to create a tile. The percentage value is expressed as a fraction.

Minimum cutoff percentage (soil fraction tiles): 0.05

Maximum number of elevation bands per grid: defines the maximum elevation bands per grid (this can be as many as the total number of elevation band types).

Maximum number of elevation bands per grid: 1

Minimum cutoff percentage (elevation bands): defines the smallest percentage of a cell for which to create a tile. The percentage value is expressed as a fraction.

Minimum cutoff percentage (elevation bands): 0.05

Maximum number of slope bands per grid: defines the maximum slope bands per grid (this can be as many as the total number of slope band types).

Maximum number of slope bands per grid: 1

Minimum cutoff percentage (slope bands): defines the smallest percentage of a cell for which to create a tile. The percentage value is expressed as a fraction.

Minimum cutoff percentage (slope bands): 0.05

Maximum number of aspect bands per grid: defines the maximum aspect bands per grid (this can be as many as the total number of aspect band types).

```
Maximum number of aspect bands per grid: 1
```

Minimum cutoff percentage (aspect bands): defines the smallest percentage of a cell for which to create a tile. The percentage value is expressed as a fraction.

```
Minimum cutoff percentage (aspect bands): 0.05
```

This section specifies the 2-d layout of the processors in a parallel processing environment. The user can specify the number of processors along the east-west dimension and north-south dimension using Number of processors along x: and Number of processors along y:, respectively. Note that the layout of processors should match the total number of processors used. For example, if 8 processors are used, the layout can be specified as 1x8, 2x4, 4x2, or 8x1. Further, this section also allows the specification of halos around the domains on each processor using Halo size along x: and Halo size along y:.

```
Number of processors along x: 2
Number of processors along y: 2
Halo size along x: 0
Halo size along y: 0
```

Routing model: specifies the routing model to run. Acceptable values are:

Value Description

none do not use a routing model "NLDAS router" use the NLDAS router "HYMAP router" use the HYMAP router

Number of application models: specifies the number of application models to run.

```
Routing model: none
Radiative transfer model: none
Number of application models: 0
```

## 9.3 Data assimilation

This section specifies the choice of data assimilation options.

Number of data assimilation instances: specifies the number of data assimilation instances. Valid values are 0 (no assimilation) or higher.

Number of data assimilation instances: 0

Data assimilation algorithm: specifies the choice of data assimilation algorithms. Acceptable values are:

Value Description "none" None

"Direct insertion" Direct Insertion

"EnKF" GMAO EnKF data assimilation

"EnKS" GRACE ensemble Kalman filter data assimilation

Data assimilation algorithm: none

Data assimilation set: specifies the "assimilation set", which is the instance related to the assimilation of a particular observation. Acceptable values are:

Value Description "none" none

"AMSR-E(NASA) soil moisture" AMSRE L3 soil moisture daily gridded data (HDF format)
"AMSR-E(LPRM) soil moisture" AMSRE L3 soil moisture daily gridded data (HDF format)

"ECV soil moisture" ECV soil moisture

"Windsat" Windsat

"ANSA SCF" ANSA SCF

"PMW snow" PMW-based SWE or snow depth

"MODIS SCF" MODIS SCF
"GRACE TWS" GRACE TWS

"RT SMOPS soil moisture" RT SMOPS soil moisture

Data assimilation set: none

Data assimilation exclude analysis increments: specifies whether the analysis increments are to be skipped. This option is typically used along with

the dynamic bias estimation algorithm. The user can choose to apply only the bias increments or both the bias increments and analysis increments. Acceptable values are:

Value Description

0 Apply analysis increments

1 Do not apply analysis increments

Data assimilation exclude analysis increments:

Data assimilation output interval for diagnostics: specifies the output diagnostics interval.

See Section 9.13 for a description of how to specify a time interval.

Data assimilation output interval for diagnostics: 1da

Data assimilation number of observation types: specifies the number of opervation species/types used in the assimilation.

Data assimilation number of observation types: 0

Data assimilation output ensemble members: specifies whether to output the ensemble members. Acceptable values are:

Value Description

0 Do not output the ensemble members

1 Output the ensemble members

Data assimilation output ensemble members: 0

Data assimilation output processed observations: specifies whether the processed, quality-controlled observations are to be written (Note that a corresponding observation plugin routine needs to be implemented). Acceptable values are:

Value Description

0 Do not output the processed observations

1 Output the processed observations

Data assimilation output processed observations: 0

Data assimilation output innovations: specifies whether a binary output of the normalized innovations is to be written. Acceptable values are:

Value Description

0 Do not output the innovations

1 Output the innovations

Data assimilation output innovations: 0

Data assimilation use a trained forward model: specifies whether to use a trained forward model. Acceptable values are:

Value Description

0 Do not use a trained forward model

1 Use a trained forward model

Data assimilation trained forward model output file: specifies the name of the output file for the trained forward model. The training is done by LDT, and thus, this file is produced by LDT.

Data assimilation use a trained forward model: 0
Data assimilation trained forward model output file: none

Bias estimation

Bias estimation algorithm: specifies the dynamic bias estimation algorithm to use. Acceptable values are:

Value Description

"none" No dynamic bias estimation

"Adaptive bias correction" NASA GMAO dynamic bias estimation

Bias estimation algorithm: none

Bias estimation attributes file: ASCII file that specifies the attributes of the bias estimation. A sample file is shown below, which lists the variable name

first. This is followed by the nparam value (0-no bias correction, 1- constant bias correction without diurnal cycle, 3- diurnal sine/cosine bias correction, 5 - semi-diurnal sine/cosine bias correction, 2-"time of day" bias correction with 2 separate bias estimates per day, 4 - "time of day" bias correction with 4 separate estimates per day, 8 - "time of day" bias correction with 8 separate bias estimates per day), tconst (which describes the time scale relative to the temporal spacing of the observations), and trelax (which specifies temporal relaxation parameter, in seconds)

#nparam tconst trelax Soil Temperature 1.0 0.05 86400.0

```
Bias estimation attributes file:
```

Bias estimation restart output frequency: Specifies the frequency of bias restart files.

See Section 9.13 for a description of how to specify a time interval.

```
Bias estimation restart output frequency: 1da
```

Bias estimation start mode: This option specifies whether the bias parameters are to be read from a checkpoint file. Acceptable values are:

Value Description

none Do not use a bias restart file

read Use a bias restart file

```
Bias estimation start mode: none
```

Bias estimation restart file: Specifies the restart file to be used for initializing bias parameters

```
Bias estimation restart file: none
```

Perturbations start mode: specifies if the perturbations settings should be read from a restart file. Acceptable values are:

Value Description coldstart None (cold start) restart Use restart file

Perturbations start mode: coldstart

Apply perturbation bias correction: specifies whether to apply the Ryu et al. algorithm, (JHM 2009), to forcing and model states to avoid undesirable biases resulting from perturbations. Acceptable values are:

Value Description 0 Do not apply 1 Apply

Apply perturbation bias correction:

Perturbations restart output interval: specifies the perturbations restart output writing interval.

See Section 9.13 for a description of how to specify a time interval.

Perturbations restart output interval: 1da

Perturbations restart filename: specifies the name of the restart file, which is used to initialize perturbation settings if a cold start option is not employed.

Perturbations restart filename: none

Forcing perturbation algorithm: specifies the algorithm for perturbing the forcing variables. Acceptable values are:

Value Description "none" None

"GMAO scheme" GMAO perturbation algorithm

Forcing perturbation algorithm: none

Forcing perturbation frequency: specifies the forcing perturbation interval.

See Section 9.13 for a description of how to specify a time interval.

```
Forcing perturbation frequency: 1hr
```

Forcing attributes file: ASCII file that specifies the attributes of the forcing (for perturbations) A sample file is shown below, which lists 3 forcing variables. For each variable, the name of the variable is specified first, followed by the min and max values in the next line. This is repeated for each additional variable.

#varmin varmax Incident Shortwave Radiation Level 001 0.0 1300.0 Incident Longwave Radiation Level 001 -50.0 800.0 Rainfall Rate Level 001 0.0 0.001

Forcing attributes file: none

Forcing perturbation attributes file: ASCII file that specifies the attributes of the forcing perturbations. A sample file is shown below, which lists 3 forcing variables. There are three lines of specifications for each variable. The first line specifies the name of the variable. The second line specifies the perturbation type (0-additive, 1-multiplicative) and the perturbation type for standard deviation (0-additive, 1-multiplicative). The third line specifies the following values in that order: standard deviation of perturbations, coefficient of standard deviation (if perturbation type for standard deviation is 1), standard normal max, whether to enable zero mean in perturbations, temporal correlation scale (in seconds), x and y -correlations and finally the cross correlations with other variables.

#ptype std std\_max zeromean tcorr xcorr ycorr ccorr Incident Shortwave Radiation Level 001 1 0 0.50 2.5 1 86400 0 0 1.0 -0.5 -0.8 Incident Longwave Radiation Level 001 0 1 50.0 0.2 2.5 1 86400 0 0 -0.5 1.0 0.5

Rainfall Rate Level 001 1 0 0.50 2.5 1 86400 0 0 0.8 0.5 1.0

Forcing perturbation attributes file: none

State perturbation algorithm: specifies the algorithm for perturbing the state prognostic variables. Acceptable values are:

Value Description "none" None

"GMAO scheme" GMAO perturbation algorithm

State perturbation algorithm: none

State perturbation frequency: specifies the prognostic variable perturbation interval.

See Section 9.13 for a description of how to specify a time interval.

State perturbation frequency: 1hr

State attributes file: ASCII file that specifies the attributes of the prognostic variables. A sample file is shown below, which lists 2 model state variables. For each variable, the name of the variable is specified first, followed by the min and max values in the next line. This is repeated for each additional variable.

#name varmin varmax SWE 0.0 100.0 Snowdepth 0.0 100.0

State attributes file: none

State perturbation attributes file: ASCII file that specifies the attributes of the prognostic variable perturbations. A sample file is provided below, which follows the same format as that of the forcing perturbations attributes file:

#perttype std std\_max zeromean t<br/>corr xcorr ycorr ccorr SWE 1 0 0.01 2.5 1 10800 0 0 1.0 0.9 Snowdepth 1 0 0.02 2.5 1 10800 0 0 0.9 1.0

State perturbation attributes file: none

Observation perturbation algorithm: specifies the algorithm for perturbing the observations. Acceptable values are:

Value Description "none" None

"GMAO scheme" GMAO perturbation algorithm

Observation perturbation algorithm: none

Observation perturbation frequency: specifies the observation perturbation interval.

See Section 9.13 for a description of how to specify a time interval.

Observation perturbation frequency: 1hr

Observation attributes file: ASCII file that specifies the attributes of the observation variables. A sample file is provided below, which follows the same format as that of the forcing attributes file and state attributes file.

#error rate varmin varmax ANSA SWE  $10.0\ 0.01\ 500$ 

Observation attributes file: none

Observation perturbation attributes file: ASCII file that specifies the attributes of the observation variable perturbations. A sample file is provided

below, which follows the same format as that of the forcing perturbations attributes file:

#perttype std std\_max zeromean t<br/>corr xcorr ycorr ccorr ANSA SWE 0 10 2.5 1 10800 0 0 1

```
Observation perturbation attributes file: none
```

IMS data directory: specifes the location of the IMS data.

```
IMS data directory:
```

# 9.3.1 AMSR-E (NASA) soil moisture assimilation

NASA AMSR-E soil moisture data directory: specifies the directory for the AMSR-E (NASA/NSIDC) soil moisture data.

NASA AMSR-E soil moisture scale observations: specifies if the observations are to be rescaled (using CDF matching).

NASA AMSR-E soil moisture model CDF file: specifies the name of the model CDF file (observations will be scaled into this climatology).

NASA AMSR-E soil moisture observation CDF file: specifies the name of the observation CDF file.

NASA AMSR-E soil moisture number of bins in the CDF: specifies the number of bins in the CDF.

```
NASA AMSR-E soil moisture data directory: 'input'
NASA AMSR-E soil moisture scale observations: 1
NASA AMSR-E soil moisture model CDF file: lsm_cdf.nc
NASA AMSR-E soil moisture observation CDF file: obs_cdf.nc
NASA AMSR-E soil moisture number of bins in the CDF: 100
```

## 9.3.2 AMSR-E (LPRM) soil moisture assimilation

AMSR-E(LPRM) soil moisture data directory: specifies the directory for the AMSR-E (LPRM) soil moisture data.

AMSR-E(LPRM) soil moisture use raw data: specifies if the the raw fields (in wetness units) or scaled fields (in volumetric units) are to be used.

AMSR-E(LPRM) scale observations: specifies if the observations are to be rescaled (using CDF matching).

AMSR-E(LPRM) use scaled standard deviation model: specifies if the observation error standard deviation is to be scaled using model and observation standard deviation.

AMSR-E(LPRM) model CDF file: specifies the name of the model CDF file (observations will be scaled into this climatology).

AMSR-E(LPRM) observation CDF file: specifies the name of the observation CDF file.

AMSR-E(LPRM) soil moisture number of bins in the CDF: specifies the number of bins in the CDF.

```
AMSR-E(LPRM) soil moisture data directory: 'input'

AMSR-E(LPRM) soil moisture use raw data: 0

AMSR-E(LPRM) scale observations: 1

AMSR-E(LPRM) use scaled standard deviation model: 1

AMSR-E(LPRM) model CDF file: lsm_cdf.nc

AMSR-E(LPRM) observation CDF file: obs_cdf.nc

AMSR-E(LPRM) soil moisture number of bins in the CDF: 100
```

#### 9.3.3 ECV soil moisture assimilation

ECV soil moisture data directory: specifies the directory for the ECV soil moisture data.

ECV scale observations: specifies if the observations are to be rescaled (using CDF matching).

ECV use scaled standard deviation model: specifies if the observation error standard deviation is to be scaled using model and observation standard

deviation.

ECV model CDF file: specifies the name of the model CDF file (observations will be scaled into this climatology).

ECV observation CDF file: specifies the name of the observatoin CDF file.

ECV soil moisture number of bins in the CDF: specifies the number of bins in the CDF.

```
ECV soil moisture data directory: 'input'
ECV scale observations: 1
ECV use scaled standard deviation model: 1
ECV model CDF file: lsm_cdf.nc
ECV observation CDF file: obs_cdf.nc
ECV soil moisture number of bins in the CDF: 100
```

#### 9.3.4 WindSat soil moisture assimilation

WindSat soil moisture data directory: specifies the directory for the Wind-Sat soil moisture data.

WindSat scale observations: specifies if the observations are to be rescaled (using CDF matching).

WindSat model CDF file: specifies the name of the model CDF file (observations will be scaled into this climatology).

WindSat observation CDF file: specifies the name of the observatoin CDF file

WindSat number of bins in the CDF: specifies the number of bins in the CDF.

```
WindSat soil moisture data directory: 'input'
WindSat scale observations: 1
WindSat model CDF file: lsm_cdf.nc
WindSat observation CDF file: obs_cdf.nc
WindSat number of bins in the CDF: 100
```

## 9.3.5 ANSA Snow Covered Fraction (SCF) Assimilation

ANSA SCF data directory: specifies the directory for the ANSA SCA data.

ANSA SCF lower left lat: specifies the lower left latitude of the ANSA domain. (cylindrical latitude/longitude projection)

ANSA SCF lower left lon: specifies the lower left longitude of the ANSA domain. (cylindrical latitude/longitude projection)

ANSA SCF upper right lat: specifies the upper right latitude of the ANSA domain. (cylindrical latitude/longitude projection)

ANSA SCF upper right lon: specifies the upper right longitude of the ANSA domain. (cylindrical latitude/longitude projection)

ANSA SCF resolution (dx): specifies the resolution of the of the ANSA domain along the east-west direction.

ANSA SCF resolution (dy): specifies the resolution of the of the ANSA domain along the north-south direction.

ANSA SCF local time for assimilation: specifies the local time for performing the ANSA SCF assimilation; LIS will find the closest time depending on model timestep.

ANSA SCF field name: specifies the name of the SCF field to be assimilated in the ANSA SCF data file.

ANSA SCF file name convention: specifies the name convention of the ANSA SCF file; currently supported: \*YYYYMMDD\*, \*YYYYDOY\*.

ANSA SCF use triangular-shaped observation error: specifies whether to use a triangular-shaped observation error as follows (De Lannoy et al., 2012):  $std = std * scf\_obs$  if  $scf\_obs <= 50$ ;  $std = std * (100 - scf\_obs)$  if  $scf\_obs > 50$ ; otherwise, std remains to be the same as read in from the observation perturbation attributes file.

ANSA SCF using EnKF with DI: specifies whether to used rule-based direct insertion approach to supplement EnKF when model predicts zero or full snow cover for all ensemble members. The entries after this are needed only if 1 is specified here.

ANSA SCF direct insertion methodology: specifies which rule to use when model predicts snow and observation says no snow. Acceptable values are:

Value Description

"standard" use Rodell and Houser (2004)

"customized" use Liu et al. (2013)

ANSA SCF amount of SWE (mm) to add to model: specifies how much swe to add to model when observation sees snow while model predicts no snow.

ANSA SCF maximum SWE melt rate (mm/day): specifies the swe melt rate if "customized" is chosen for the direction insertion methodology.

ANSA SCF threshold of model SWE to be removed at once: specifies the threshold of model SWE to be removed when observation says no snow.

ANSA SCF length of snowmelt period in days: specifies the length of the typical snowmelt period in the region.

ANSA SCF threshold of observed SCF for snow presence: specifies the threshold of observed SCF for indicating snow presence.

ANSA SCF threshold of observed SCF for snow non-presence: specifies the threshold of observed SCF for indicating snow non-presence.

ANSA SCF threshold of model SWE(mm) for snow non-presence: specifies the threshold of model SWE for indicating snow absence.

ANSA SCF threshold of observed SCF for non-full snow cover: specifies the threshold of observed SCF which indicates non-full snow cover.

```
ANSA SCF data directory:
                                    ./ANSA_SCF_UCO
ANSA SCF lower left lat:
                                    35.025
ANSA SCF lower left lon:
                                    -112.475
ANSA SCF upper right lat:
                                    43.975
ANSA SCF upper right lon:
                                    -105.525
ANSA SCF resolution (dx):
                                   0.05
ANSA SCF resolution (dy):
                                    0.05
ANSA SCF local time for assimilation:
                                                            10.0
                                                            "/ansa_interpsnow"
ANSA SCF field name:
ANSA SCF file name convention:
                                                            "ansa_all_YYYYMMDD.h5"
ANSA SCF use triangular-shaped observation error:
ANSA SCF using EnKF with DI:
ANSA SCF direct insertion methodology:
                                                            "customized"
ANSA SCF amount of SWE (mm) to add to model:
                                                            10
ANSA SCF maximum SWE melt rate (mm/day):
                                                            50
ANSA SCF threshold of model SWE to be removed at once:
                                                            20
ANSA SCF length of snowmelt period in days:
                                                            15
```

```
ANSA SCF threshold of observed SCF for snow presence: 0.4
ANSA SCF threshold of observed SCF for snow non-presence: 0.1
ANSA SCF threshold of model SWE(mm) for snow non-presence: 5
ANSA SCF threshold of observed SCF for non-full snow cover: 0.7
```

### 9.3.6 MODIS snow cover fraction assimilation

MODIS SCF data directory: specifies the directory for the MODIS snow cover fraction data.

MODIS SCF use gap filled product: specifies whether the gap-filled product is to be used (1-use, 0-do not use).

MODIS SCF cloud threshold: Cloud cover threshold to be used for screening observations (in percentage).

MODIS SCF cloud persistence threshold: Cloud cover persistence threshold to be used for screening observations (in days).

```
MODIS SCF data directory: ./MODIS
MODIS SCF use gap filled product: 1
MODIS SCF cloud threshold: 90
MODIS SCF cloud persistence threshold: 3
```

# 9.3.7 PMW snow depth or SWE assimilation

PMW snow data directory: specifies the directory for the PMW SWE or snow depth data.

PMW snow data file format (HDF4, HDF-EOS, HDF5): specifies the file format of the PMW snow data. Currently, three options are supported: HDF4, HDF-EOS, and HDF5

PMW snow data coordinate system (EASE, LATLON): specifies the coordinate system of the PMW snow data. Currently two options are supported: EASE and LATLON.

PMW snow data variable (SWE, snow depth): specifies which variable to assimilate: SWE or snow depth

PMW snow data unit (m, cm, mm, inch): specifies the unit of the snow data; currently only units of m, cm, mm, inch are supported

PMW snow data use flag (1=yes, 0=no): specifies whether to use the data flags that come along with the PMW snow data in the same file

PMW snow data flag - number of invalid values: specifies the number of invalid values in the flag field of the PMW snow data

PMW snow data flag - invalid values: specifies the invalid values of the flag field of the PMW snow data

PMW snow data - number of additional invalid values: specifies the number of additional invalid values in the actual data field of the PMW snow data

PMW snow data - additional invalid values: specifies the invalid values of the actual data field of the PMW snow data

PMW snow data - apply min/max mask: specifies whether to use min/max data values for quality control of the PMW snow data

PMW snow data minimum valid value: specifies the minimum valid value of the PMW snow data

PMW snow data maximum valid value: specifies the maximum valid value of the PMW snow data

 ${\tt PMW}$  snow data scale factor: specifies the scale factor of the  ${\tt PMW}$  snow data

PMW snow data file name convention: specifies the file name convention of the PMW snow data; currently only the following two formats are supported: \*YYYYMMDD\* and \*YYYYDOY\* note that the PMW snow reader assumes that the data files are stored in corresponding year directory as follows: datadir/YYYY/\*YYYMMDD\*

PMW snow data assimilation local time: specifies the local time in hours to apply the assimilation (usually corresponding to the overpass time)

PMW snow data - apply mask with GVF (1=yes, 0=no): specifies whether to use greenness vegetation fraction as mask for assimilation; 1 is suggested unless confidence is high with the PMW snow data (e.g., those that are bias corrected against station data) in dense vegetation area. If "1" is chosen, LIS will not assimilate PMW snow data in those areas with gvf >0.7.

PMW snow data - apply mask with landcover type (1=yes, 0=no):  ${\rm spec}\textsc{-}$ 

ifies whether to use landcover type as mask for assimilation. If "1" is chosen, LIS will not assimilate PMW snow data in areas with forest land cover.

PMW snow data - apply mask with LSM temperature (1=yes, 0=no): specifies whether to use model-based temperatures as mask for assimilation. if "1" is chosen, LIS will not assimilate PMW snow data in areas with a skin temperature or surface soil temperature higher than 5 degree C according to the LSM. This mask should be used with care if the LSM temperatures are known to be biased.

The following 8 configuration lines are for HDF5+LANTON datasets only

PMW snow data lower left lat: specifies the lower left latitude of the dataset.

PMW snow data lower left lon: specifies the lower left longitude of the dataset.

PMW snow data upper right lat: specifies the upper right latitude of the dataset.

PMW snow data upper right lon: specifies the upper right longitude of the dataset.

PMW snow data resolution (dx): specifies horizotal resolution dx of the dataset.

PMW snow data resolution (dy): specifies vertical resolution dy of the dataset.

PMW (HDF5) snow data field name: specifies the name of the snow data field in the dataset for assimilation.

PMW (HDF5) snow data flag field name: specifies the name of the snow data flag field to use as a mask for assimilation; this must be specified if the PMW snow data use flag (1=yes, 0=no): option is set to 1.

The following 4 configuration lines are for HDF4+EASE datasets only

PMW (HDF4) snow data NL SDS index (-1, 0, 1, 2, ...): specifies the index of the SDS of the NL grid in the PMW snow data; valid index starts from 0; use -1 if no SDS for the NL grid is to be assimilated.

PMW (HDF4) snow data SL SDS index (-1, 0, 1, 2, ...): specifies the index of the SDS of the SL grid in the PMW snow data; valid index starts from 0; use -1 if no SDS for the NL grid is to be assimilated.

PMW (HDF4) snow data flag NL SDS index (-1, 0, 1, 2, ...): specifies the index of the flag SDS of the NL grid in the PMW snow data; this must be specified if the PMW snow data use flag (1=yes, 0=no): option is set to 1.

PMW (HDF4) snow data flag SL SDS index (-1, 0, 1, 2, ...): specifies the index of the flag SDS of the SL grid in the PMW snow data; this must be specified if the PMW snow data use flag (1=yes, 0=no): option is set to 1.

The following 6 configuration lines are for HDF-EOS+EASE datasets only

PMW (HDF-EOS) NL grid name: specifies the name of the NL grid.

PMW (HDF-EOS) SL grid name: specifies the name of the SL grid.

PMW (HDF-EOS) NL SDS name: specifies the name of the SDS in the NL grid.

PMW (HDF-EOS) SL SDS name: specifies the name of the SDS in the SL grid.

PMW (HDF-EOS) NL snow data flag SDS name: specifies the name of the data flag SDS in the NL grid; this must be specified if the PMW snow data use flag (1=yes, 0=no): option is set to 1.

PMW (HDF-EOS) SL snow data flag SDS name: specifies the name of the data flag SDS in the SL grid; this must be specified if the PMW snow data use flag (1=yes, 0=no): option is set to 1.

```
# all datasets
PMW snow data directory:
                                                   "./input/ANSA_OI"
PMW snow data file format (HDF4, HDF-EOS, HDF5):
                                                   "HDF5"
PMW snow data coordindate system (EASE, LATLON):
                                                   "LATLON"
PMW snow data variable (SWE, snow depth):
                                                   "snow depth"
PMW snow data unit (m, cm, mm, inch):
                                                   "mm"
PMW snow data use flag (1=yes, 0=no):
                                                      1
PMW snow data flag - number of invalid values:
                                                      2
PMW snow data flag - invalid values:
                                                          0
                                                      -1
PMW snow data - number of additional invalid values: 0
PMW snow data - additional invalid values:
                                                      494 496 504 596 508 510
PMW snow data - apply min/max mask:
PMW snow data minimum valid value:
                                                      0
PMW snow data maximum valid value:
                                                      5000
PMW snow data scale factor:
                                                      1.0
PMW snow data file name convention:
                                                      "ansa_all_YYYYMMDD.h5"
PMW snow data assimilation local time:
                                                      2.0
PMW snow data - apply mask with GVF (1=yes, 0=no):
PMW snow data - apply mask with landcover type (1=yes, 0=no):
PMW snow data - apply mask with LSM temperature (1=yes, 0=no): 0
# HDF5 & LATLON datasets only
PMW snow data lower left lat:
                                                   50.025
```

```
PMW snow data lower left lon:
                                                 -172.975
PMW snow data upper right lat:
                                                   75.725
PMW snow data upper right lon:
                                                 -130.025
PMW snow data resolution (dx):
                                                 0.05
PMW snow data resolution (dy):
                                                 0.05
PMW (HDF5) snow data field name:
                                                  "ansa_swe_depth"
PMW (HDF5) snow data flag field name:
                                                  "ansa_swe_depth_flag"
# HDF4 & EASE datasets only
PMW (HDF4) snow data NL SDS index (-1, 0, 1, 2, ...):
                                                             0
PMW (HDF4) snow data SL SDS index (-1, 0, 1, 2, ...):
PMW (HDF4) snow data flag NL SDS index (-1, 0, 1, 2, ...):
PMW (HDF4) snow data flag SL SDS index (-1, 0, 1, 2, ...): -1
# HDF-EOS and EASE datasets only
                                              "Northern Hemisphere"
PMW (HDF-EOS) NL grid name:
                                              "Southern Hemisphere"
PMW (HDF-EOS) SL grid name:
PMW (HDF-EOS) NL SDS name:
                                              "SWE_NorthernDaily"
PMW (HDF-EOS) SL SDS name:
                                              "SWE_SouthernDaily"
PMW (HDF-EOS) NL snow data flag SDS name:
                                              "Flags_NorthernDaily"
                                             "Flags_SouthernDaily
PMW (HDF-EOS) SL snow data flag SDS name:
```

### 9.3.8 GRACE TWS Assimilation

GRACE data directory: specifies the directory for the GRACE TWS data (processed data from LDT).

GRACE use reported measurement error values: specifies whether to use the spatially distributed reported measurement errors in the GRACE data for specifying observation errors. Acceptable values are:

Value Description 0 Do not use 1 Use

```
GRACE data directory: ./GRACEOBS
GRACE use reported measurement error values:
```

### 9.3.9 RT SMOPS soil moisture assimilation

RT SMOPS soil moisture data directory: specifies the directory for the RT SMOPS soil moisture data.

RT SMOPS soil moisture use ASCAT data: specifies if the ASCAT data layer is to be used.

RT SMOPS use scaled standard deviation model: specifies if the observation error standard deviation is to be scaled using model and observation standard deviation.

RT SMOPS model CDF file: specifies the name of the model CDF file (observations will be scaled into this climatology).

RT SMOPS observation CDF file: specifies the name of the observation CDF file.

RT SMOPS soil moisture number of bins in the CDF: specifies the number of bins in the CDF.

RT SMOPS use realtime data: specifies whether to use the 6 hour data feed instead of the daily data feed. Acceptable values are:

Value Description

- 0 Use daily data feed
- 1 Use 6 hour data feed

RT SMOPS soil moisture use scaled standard deviation model: specifies whether to use scaled standard deviation. This generates and uses spatially distributed observation errors by scaling the specified observation error standard deviation by a factor of the model standard deviation to the observation standard deviation. ( $e \mapsto e \times m_{\sigma}/o_{\sigma}$ )

```
RT SMOPS soil moisture data directory: 'input'
RT SMOPS soil moisture use ASCAT data: 1
RT SMOPS use scaled standard deviation model: 1
RT SMOPS model CDF file: lsm_cdf.nc
RT SMOPS observation CDF file: obs_cdf.nc
RT SMOPS soil moisture number of bins in the CDF: 100
RT SMOPS use realtime data:
RT SMOPS soil moisture use scaled standard deviation model:
```

# 9.4 Radiative Transfer/Forward Models

This section specifies the choice of radiative transfer or forward modeling tools.

Radiative transfer model: specifies which RTM is to be used. Acceptable values are:

Value Description
CRTM2EM CRTM2EM
CMEM CMEM

 ${\tt RTM}$  invocation frequency: specifies the invocation frequency of the chosen RTM.

See Section 9.13 for a description of how to specify a time interval.

RTM history output frequency: specifies the history output frequency of the RTM.

See Section 9.13 for a description of how to specify a time interval.

Radiative transfer model: CRTM2EM
RTM invocation frequency: 1hr
RTM history output frequency: 3hr

# 9.4.1 **CRTM2EM**

This section specifies the specifications to enable a CRTM2EM instance.

CRTM number of sensors: specifies the number of sensors to be used.

CRTM number of layers: specifies the number of atmospheric layers.

CRTM number of absorbers: specifies the number of absorbers.

CRTM number of clouds: specifies the number of cloud types.

CRTM number of aerosols: specifies the number of aerosol types.

CRTM sensor id: specifies the name of sensors to be simulated.

CRTM coefficient data path: specifies the location of the files containing the

CRTM coefficient data. These data are part of the Subversion checkout that was performed to obtain the CRTM library from JCSDA. The CRTM coefficient data path: variable should either explicitly specify the whole path to or symbolicly link to  $trunk/fix/TauCoeff/ODPS/Big\_Endian/$  found within the aforementioned checkout.

RTM input soil moisture correction: specifies whether to enable input soil moisture correction. Acceptable values are:

Value Description
0 Do not enable correction
1 Enable correction

RTM input soil moisture correction src mean file: specifies the RTM input soil moisture correction src mean file.

RTM input soil moisture correction src sigma file: specifies the RTM input soil moisture correction src sigma file.

RTM input soil moisture correction dst mean file: specifies the RTM input soil moisture correction dst mean file.

RTM input soil moisture correction dst sigma file: specifies the RTM input soil moisture correction dst sigma file.

```
CRTM number of sensors:
                               1
CRTM number of layers:
                               64
CRTM number of absorbers:
                               2
CRTM number of clouds:
                               0
CRTM number of aerosols:
                               0
CRTM sensor id:
                               amsua_n18
CRTM coefficient data path:
                               ./Coefficient_Data/
RTM input soil moisture correction:
RTM input soil moisture correction src mean file:
RTM input soil moisture correction src sigma file:
RTM input soil moisture correction dst mean file:
RTM input soil moisture correction dst sigma file:
```

# 9.4.2 CMEM3

This section specifies the specifications to enable a CMEM3 instance. For more information regarding CMEM3, please see <a href="http://www.ecmwf.int/research/data\_assimilation/land\_surface/cmem/cmem\_index.html">http://www.ecmwf.int/research/data\_assimilation/land\_surface/cmem/cmem\_index.html</a>.

CMEM3 sensor id: specifies the name of sensors to be simulated.

CMEM3 number of frequencies: specifies the number of frequencies.

CMEM3 frequencies file: specifies the file containing the CMEM3 frequencies data. This is an ASCII file containing two columns of data. The first column specifies frequency in GHz; the second column specifies the incidence angle. A sample file for AMSR-E:

6.925	55.0
10.65	55.0
18.7	55.0
23.8	55.0
36.5	55.0
89.0	55.0

RTM input soil moisture correction: specifies whether to enable input soil moisture correction. Acceptable values are:

Value	Description
0	Do not enable correction
1	Enable correction

RTM input soil moisture correction src mean file: specifies the RTM input soil moisture correction src mean file.

RTM input soil moisture correction src sigma file: specifies the RTM input soil moisture correction src sigma file.

RTM input soil moisture correction dst mean file: specifies the RTM input soil moisture correction dst mean file.

RTM input soil moisture correction dst sigma file: specifies the RTM input soil moisture correction dst sigma file.

```
CMEM3 sensor id: amsre
CMEM3 number of frequencies: ./amsre-freqs.tx
CMEM3 frequencies file:
RTM input soil moisture correction:
RTM input soil moisture correction src mean file:
RTM input soil moisture correction src sigma file:
RTM input soil moisture correction dst mean file:
RTM input soil moisture correction dst sigma file:
```

# 9.5 Optimization and Uncertainty Estimation

This section specifies options for carrying out parameter estimation and uncertainty estimation.

Optimization/Uncertainty Estimation Algorithm: Specifies which algorithm is to be used for optimization. Acceptable values are:

Value Description
"none" no optimization
"Genetic algorithm" Genetic Algorithm
"Monte carlo sampling" MCSIM Algorithm
"Differential evolution markov chain z" DEMCz Algorithm

Optimization/Uncertainty Estimation Set: specifies the calibration data set, which represents the observation source used in the particular parameter estimation instance. Acceptable values are:

Value Description "No obs" no observations

"AMSRE SR" AMSR-E (Colorado State Univ.)
"AMSR-E(LPRM) pe soil moisture" AMSR-E LPRM soil moisture

Objective Function Method: specifies the objective function method. Acceptable values are:

Value Description
"Least squares" Least squares

"Likelihood" Maximum likelihood "Probability" Maximize probability

Write PE Observations: specifies whether to output processed observations for parameter estimation Acceptable values are:

Value Description

0 Do not write pe observations

1 Write pe observations

Number of model types subject to parameter estimation: specifies the number of model classes used in a parameter estimation instance. E.g.: if LSM and RTM parameters are simultaneously being calibrated then this option will be 2.

Model types subject to parameter estimation: specifies the names of the model types to be used in the parameter estimation instance. E.g.: LSM RTM

Number of model types with observation predictors for parameter estimation: specifies the number of model types (e.g., LSM, RTM) that will be generating predictions of observations for comparison against real observations when conducting parameter or uncertainty estimation. Acceptable values are either 1 or 2.

Model types with observation predictors for parameter estimation: specifies the list of model types (e.g., LSM, RTM) that will be generating predictions of observations for comparison against real observations when conducting parameter or uncertainty estimation. Acceptable values are a combination of LSM and/or RTM.

Initialize decision space with default values: specifies whether to use defaults instead of sampled values at the beginning of optimization. Acceptable values are:

Value Description

- 0 Use defaults
- 1 Use sampled values

(Yes, this is backwards from what the label suggests.)

Calibration period start year: specifies the starting year of the calibration period.

Calibration period start month: specifies the starting month of the calibration period.

Calibration period start day: specifies the starting day of the calibration period.

Calibration period start hour: specifies the starting hour of the calibration period.

Calibration period start minutes: specifies the starting minutes of the calibration period.

Calibration period start seconds: specifies the starting seconds of the calibration period.

## 9.5.1 Least squares

This section provides specifications of the LS objective function instance

Least Squares objective function weights file: specifies the file con-

taining the weights to be applied to each objective function

Least Squares objective function mode: specifies which least squares aggregation to use. Acceptable values are:

Value Description

distributed (ie, optimized for each cell independently)

Least Squares objective function minimum number of obs: for grid cells with fewer obs than specified, least squares parameter estimation will not be conducted so as to avoid 'overfitting' model to the data.

### 9.5.2 Probability

This section provides specifications of the Probability objective function instance.

Prior distribution attributes file: specifies the file containing the prior probability distribution over the parameters

### 9.5.3 Likelihood

This section provides specifications of the Likelihood objective function instance. There are no additional specifications needed. Unlike the Probability objective function, Likelihood does not factor in prior probability.

### 9.5.4 Genetic Algorithm

This section provides specifications of the genetic algorithm instance

GA restart file: specifies the name of the GA restart file.

GA number of generations: specifies the number of generations of GA.

GA number of children per parent: specifies how many offsprings are produced by two parent solutions (1 or 2).

GA crossover scheme: specifies the type of crossover scheme. Acceptable values are:

Value Description

1 single point crossover

2 uniform crossover

GA crossover probability: threshold to be used for conducting a crossover operation. GA mutation scheme: specifies the type of mutation scheme. Acceptable values are:

Value Description 0 jump mutation 1 creep mutation

GA creep mutation probability: specifies the creep mutation max threshold.

GA jump mutation probability: specifies the jump mutation max threshold.

**GA use elitism:** specifies whether to enable elitism in the selection of new solutions. Acceptable values are:

Value Description 0 do not use 1 use

GA start mode: specifies the start mode. Acceptable values are:

Value Description restart restart coldstart cold start

_		
GA	restart file:	./OUTPUT/EXP999/GA/GA.188.GArst
GA	number of generations:	100
GA	number of children per parent:	1
GA	crossover scheme:	2
GA	crossover probability:	0.5
GA	use creep mutations:	0
GA	creep mutation probability:	0.04
GA	jump mutation probability:	0.02
GA	use elitism:	1
GA	start mode:	coldstart

## 9.5.5 Differential Evolution Markov Chain (DEMCz) algorithm

This section provides specifications of the DEMCz algorithm instance. DEMCz is an instance of Bayesian analysis (Reference: Gelman et al. (1995)) conducted via Markov chain Monte Carlo (MCMC) (Reference: Brooks et al. (2011)). MCMC enables generation of parameter ensembles for subsequent LIS ensemble runs, where the ensembles reflect user-specified probability distributions as updated with observational datasets. Reference for DEMCz: ter Braak (2006), and ter Braak and Vrugt (2008). DEMCz implements DEMC with the 'sampling from the past' of ter Braak and Vrugt (2008)

DEMCz restart file: specifies the name of the DEMCz restart file.

DEMCz number of iterations: specifies the number of iterations of DEMCz.

DEMCz GA restart file: specifies the GA solution that serves as the DEMCz algorithm starting point

DEMCz perturbation factor: Applied uniformly to all parameters. The product of this term and the width of the parameter range (ie, max-min) determines the random-walk-like term ('b') in the DEMCz algorithm

DEMCz mode hopping frequency: At this frequency (f), full jumps between separated regions of high probability may occur (so as to better balance exploration of each region) through the setting of a DEMCz control parameter (gamma=1); at frequency 1-f, the settings are optimized for exploration of the local region of high probability (gamma=2.38)

DEMCz start mode: specifies the start mode. Acceptable values are:

Value Description restart restart coldstart cold start

DEMCz restart file: ./OUTPUT/DEMCz/DEMCz.188.DEMCzrst

DEMCz number of iterations: 100
DEMCz start mode: coldstart

DEMCz GA restart file: ./OUTPUT/GA/GA.188.GArst

DEMCz perturbation factor: 0.001
DEMCz mode hopping frequency: 0.10

### 9.5.6 Monte Carlo simulation

This section provides specifications of the MCSIM algorithm instance. MC-SIM randomly samples from user-specified probability distributions to generate parmeter ensembles for subsequent use in LIS ensemble runs. Unlike MCMC algorithms (e.g., DEMCz), the probability distributions being sampled are those given by the user, and not as updated with observational datasets. Algorithm reference: Morgan and Henrion (1990).

MCSIM number of iterations: specifies the number of iterations of MCSIM. This typically will be set to 1. Only set to values higher than 1 to accumulate more samples than can be achieved in a single LIS ensemble run.

MCSIM start mode: specifies the start mode. The restart option, as just noted, would only be needed if the number of samples that can be achieved in a single LIS ensemble run is limiting.

Value Description
Acceptable values are: restart restart

coldstart cold start

MCSIM restart file: specifies the name of the MCSIM restart file.

MCSIM number of iterations: 1

MCSIM start mode: coldstart MCSIM restart file: none

### 9.5.7 Observations for Parameter Estimation

This section of the config file includes the observation specifications for paramter estimation

### 9.5.8 AMSRE\_SR Emissivity

AMSRE SR data directory: specifies the location of the AMSR-E emissivity retrievals data.

AMSRE\_SR Emissivity observations attributes file: specifies the location of the observation attributes file.

AMSRE\_SR number of observations threshold: specifies how many observations must be behind emissivity average for cell

```
AMSRE_SR Emissivity Obs data directory: './obs/'
AMSRE_SR Emissivity observations attributes file: './AMSRE_SR_attribs.txt'
AMSRE_SR number of observations threshold: 5
```

### 9.5.9 AMSR-E (LPRM) pe soil moisture

LPRM AMSRE soil moisture data directory: specifies the location of the AMSR-E LPRM soil moisture data.

LPRM AMSRE soil moisture observations attributes file: specifies the location of the observation attributes file.

```
LPRM AMSRE soil moisture data directory: './LPRM.v6'
LPRM AMSRE soil moisture observations attributes file: './LPRM_attribs.txt'
```

### 9.5.10 No obs

This PE observation option is used when conducting MCSIM as MCSIM does not factor in observational datasets in the sampling of parameter ensembles. There are no configuration options.

# 9.6 Parameters

LIS domain and parameter data file: specifies the primary input file that contains LIS parameter data.

LIS 7 includes a pre-processing system called the Land Data Toolkit (LDT). It reads the raw parameter data and processes them to the LIS running domain. The LIS domain and parameter data file: is the result of the LDT pre-processing. Please read the "Land Data Toolkit (LDT) User's Guide" for more information.

```
LIS domain and parameter data file: ./lis_input.d01.nc
```

# 9.6.1 Parameter options

Landmask data source: specifies the usage of landmask data in the run. Acceptable values are:

Value Description

none Do not landmask

LDT Read landmask from the LDT-generated LIS domain and parameter data file:

Landcover data source: specifies the usage of landcover data in the run. Acceptable values are:

Value Description

LDT Read landcover data from the LDT-generated LIS domain and parameter data file:

Landmask data source: LDT Landcover data source: LDT

Soil texture data source: specifies the usage of soil texture data in the run. Acceptable values are:

Value Description

none Do not read soil texture

LDT Read soil texture data from the LDT-generated LIS domain and parameter data file:

Soil texture data source: LDT

Soil fraction data source: specifies the usage of soil fraction parameters in the run. Acceptable values are:

Value Description

none Do not read soil fractions

LDT Read soil fractions data from the LDT-generated LIS domain and parameter data file:

Soil fraction data source: none

Soil color data source: specifies the usage of soil color data in the run. Acceptable values are:

Value Description

none Do not read soil color

LDT Read soil color data from the LDT-generated LIS domain and parameter data file:

Soil color data source: none

Elevation data source: specifies the usage of topography data in the run. Acceptable values are:

Value Description

none Do not read elevation

LDT Read elevation data from the LDT-generated LIS domain and parameter data file:

Elevation data source: LDT

Slope data source: specifies the usage of slope data in the run. Acceptable values are:

Value Description

none Do not read slope

LDT Read slope data from the LDT-generated LIS domain and parameter data file:

Slope data source: none

Aspect data source: specifies the usage of aspect data in the run. Acceptable values are:

Value Description

none Do not read aspect

LDT Read aspect data from the LDT-generated LIS domain and parameter data file:

Aspect data source: none

Curvature data source: specifies the usage of curvature data in the run. Acceptable values are:

Value Description

none Do not read curvature

LDT Read curvature data from the LDT-generated LIS domain and parameter data file:

Curvature data source: none

LAI data source: specifies the usage of LAI data in the run. Acceptable values are:

Value Description none Do not read LAI

LDT Read LAI data from the LDT-generated LIS domain and parameter data file:

"MODIS real-time" Read MODIS real-time LAI

LAI data source: none

SAI data source: specifies the usage of LAI data in the run. Acceptable values are:

Value Description none Do not read SAI

LDT Read SAI data from the LDT-generated LIS domain and parameter data file:

"MODIS real-time" Read MODIS real-time SAI

SAI data source: none

Albedo data source: specifies the usage of albedo data in the run. Acceptable values are:

Value Description

none Do not read albedo

LDT Read albedo data from the LDT-generated LIS domain and parameter data file:

Albedo data source: LDT

Max snow albedo data source: specifies the usage of the maximum snow albedo in the run. Acceptable values are:

Value Description

none Do not read max snow albedo

fixed Use fixed max snow albedo from the "lis.config" file.

This option is only available to the Noah-3.x LSMs.

LDT Read max snow albedo data from the LDT-generated LIS domain and parameter data file:

Max snow albedo data source: LDT

Greenness data source: specifies the usage of greenness fraction data in the run. Acceptable values are:

Value Description

none Do not read greenness fraction

LDT Read greenness data from the LDT-generated LIS domain and parameter data file

"NESDIS weekly" Read NESDIS weekly greenness fraction

"SPORT" Read SPORT greenness fraction VIIRS Read VIIRS greenness fraction

Greenness data source: LDT

Roughness data source: specifies the usage of roughness data in the run. Acceptable values are:

Value Description

none Do not read roughness

LDT Read roughness data from the LDT-generated LIS domain and parameter data file:

Roughness data source: none

Porosity data source: specifies the usage of soil porosity data in the run. Acceptable values are:

Value Description

none Do not read soil porosity

LDT Read porosity data from the LDT-generated LIS domain and parameter data file:

Porosity data source: none

Ksat data source: specifies the usage of hydraulic conductivity data in the run. Acceptable values are:

Value Description

none Do not read hydraulic conductivity

LDT Read hydraulic conductivity data from the LDT-generated LIS domain and parameter data file

Ksat data source: none

B parameter data source: specifies the usage of b parameter data in the run. Acceptable values are:

Value Description

none Do not read b parameter

LDT Read b parameter data from the LDT-generated LIS domain and parameter data file:

B parameter data source: none

Quartz data source: specifies the usage of quartz data in the run. Acceptable values are:

Value Description

none Do not read quartz

LDT Read quartz data from the LDT-generated LIS domain and parameter data file:

Quartz data source: none

Emissivity data source: specifies the usage of emissivity data in the run. Acceptable values are:

Value Description

none Do not read emissivity

LDT Read emissivity data from the LDT-generated LIS domain and parameter data file:

Emissivity data source: none

### 9.6.2 TBOT lag

TBOT lag skin temperature update option: specifies whether to adjust deep soil temperature as a weighted average of previous year's annual mean skin temperature and mean of time series of recent daily mean skin temperatures. Acceptable values are:

Value Description

- 0 Do not adjust deep soil temperature
- 1 Adjust deep soil temperature

TBOT skin temperature lag days: specifies the number of lag days.

```
TBOT lag skin temperature update option: 0
TBOT skin temperature lag days: 0
```

# 9.6.3 MODIS real-time LAI

MODIS LAI data directory: specifies the location of the MODIS real-time LAI files.

```
MODIS LAI data directory:
```

# 9.6.4 NESDIS weekly greenness fraction

NESDIS greenness data directory: specifies the location of the NESDIS weekly greenness files.

```
NESDIS greenness data directory:
```

# 9.6.5 SPORT greenness fraction

 ${\tt SPORT}$  greenness data directory: specifies the location of the  ${\tt SPORT}$  greenness files.

SPORT GVF use realtime mode: specifies whether to use the realtime mode. When not using realtime mode, LIS reads the previous and the next GVF bookends for temporal interpolation. When using realtime mode, LIS reads only the next GVF bookend for temporal interpolation. Acceptable values are:

Value Description
0 Do not use realtime mode
1 Use realtime mode

SPORT GVF lower left lat: specifies the lower left latitude of the SPORT GVF domain. (cylindrical latitude/longitude projection)

SPORT GVF lower left lon: specifies the lower left longitude of the SPORT GVF domain. (cylindrical latitude/longitude projection)

SPORT GVF upper right lat: specifies the upper right latitude of the SPORT GVF domain. (cylindrical latitude/longitude projection)

SPORT GVF upper right lon: specifies the upper right longitude of the SPORT GVF domain. (cylindrical latitude/longitude projection)

SPORT GVF resolution (dx): specifies the resolution of the SPORT GVF domain along the east-west direction.

SPORT GVF resolution (dy): specifies the resolution of the SPORT GVF domain along the north-south direction.

./LISDATA/MODISNDVI/GVF_COMBINED 1 -59.985 179.985	_GLOBAL/gvf_SPORI
170 085	
113.300	
89.985	
179.985	
0.03	
0.03	
	179.985 0.03

## 9.6.6 VIIRS greenness fraction

VIIRS GVF use realtime mode: specifies whether to use the realtime mode. When not using realtime mode, LIS reads the previous and the next GVF bookends for temporal interpolation. When using realtime mode, LIS reads only the next GVF bookend for temporal interpolation. Acceptable values are:

Value Description
0 Do not use realtime mode
1 Use realtime mode

VIIRS GVF lower left lat: specifies the lower left latitude of the VIIRS GVF domain. (cylindrical latitude/longitude projection)

VIIRS GVF lower left lon: specifies the lower left longitude of the VIIRS GVF domain. (cylindrical latitude/longitude projection)

VIIRS GVF upper right lat: specifies the upper right latitude of the VIIRS GVF domain. (cylindrical latitude/longitude projection)

VIIRS GVF upper right lon: specifies the upper right longitude of the VIIRS GVF domain. (cylindrical latitude/longitude projection)

VIIRS GVF resolution (dx): specifies the resolution of the VIIRS GVF domain along the east-west direction.

VIIRS GVF resolution (dy): specifies the resolution of the VIIRS GVF domain along the north-south direction.

VIIRS greenness data directory: specifies the location of the VIIRS greenness files.

VIIRS greenness data directory:	./LISDATA/VIIRSGVF/NESDIS_GVF_LI	SREAL/gvf_VIIRS_4KM
VIIRS GVF use realtime mode:	1	
VIIRS GVF lower left lat:	-89.982	
VIIRS GVF lower left lon:	-179.982	
VIIRS GVF upper right lat:	89.982	
VIIRS GVF upper right lon:	179.982	
VIIRS GVF resolution (dx):	0.036	
VIIRS GVF resolution (dy):	0.036	

# 9.7 Forcings

# 9.7.1 GDAS

GDAS forcing directory: specifies the location of the GDAS forcing files.

GDAS forcing directory:	./input/FORCING/GDAS/
-------------------------	-----------------------

## 9.7.2 GEOS

GEOS forcing directory: specifies the location of the GEOS forcing files.

GEOS	forcing	directory:	./input/FORCING/GEOS/BEST_LK/
------	---------	------------	-------------------------------

### 9.7.3 ECMWF

ECMWF forcing directory: specifies the location of the ECMWF forcing files.

ECMWF forcing directory: ./input/FORCING/ECMWF/

# 9.7.4 ECMWF Reanalysis

ECMWF Reanalysis forcing directory: specifies the location of the ECMWF Reanalysis forcing files.

ECMWF Reanalysis maskfile: specifies the file containing the ECMWF Reanalysis land/sea mask.

ECMWF Reanalysis domain x-dimension size: specifies the number of columns of the ECMWF Reanalysis domain.

ECMWF Reanalysis domain y-dimension size: specifies the number of rows of the ECMWF Reanalysis domain.

ECMWF Reanalysis forcing directory: ./input/FORCING/ECMWF-REANALYSIS/

ECMWF Reanalysis maskfile: ./input/FORCING/ECMWF-REANALYSIS/ecmwf\_land\_sea.05

ECMWF Reanalysis domain x-dimension size: 720 ECMWF Reanalysis domain y-dimension size: 360

## 9.7.5 PRINCETON

PRINCETON forcing directory: specifies the location of the PRINCETON forcing files.

PRINCETON forcing directory: ./input/FORCING/PRINCETON

# 9.7.6 Rhone AGG

Rhone AGG forcing directory: specifies the location of the Rhone AGG forcing files.

Rhone AGG domain x-dimension size: specifies the number of columns of the native domain parameters of the Rhone AGG forcing data. The map projection is specified in the driver modules defined for the Rhone AGG routines.

Rhone AGG domain y-dimension size: specifies the number of rows of the native domain parameters of the Rhone AGG forcing data. The map projection is specified in the driver modules defined for the Rhone AGG routines.

```
Rhone AGG forcing directory: ./input/FORCING/RHONE
Rhone AGG domain x-dimension size: 5
Rhone AGG domain y-dimension size: 6
```

### 9.7.7 GSWP2

GSWP2 landmask file: specifies the GSWP2 landmask file.

GSWP2 domain x-dimension size: specifies the number of columns of the GSWP2 domain.

 ${\tt GSWP2}$  domain y-dimension size: specifies the number of rows of the GSWP2 domain.

GSWP2 number of forcing variables: specifies the number of GSWP2 forcing variables.

GSWP2 2m air temperature map: specifies the GSWP2 2 meter air temperature data.

 ${\tt GSWP2}$  2m specific humidity map: specifies the GSWP2 2 meter specific humidity data.

GSWP2 wind map: specifies the GSWP2 wind data.

GSWP2 surface pressure map: specifies the GSWP2 surface pressure data.

GSWP2 convective rainfall rate map: specifies the GSWP2 convective rainfall rate data.

GSWP2 rainfall rate map: specifies the GSWP2 rainfall rate data.

GSWP2 snowfall rate map: specifies the GSWP2 snowfall rate data.

GSWP2 incident shortwave radiation map: specifies the GSWP2 incident

shortwave radiation data.

GSWP2 incident longwave radiation map: specifies the GSWP2 incident longwave radiation data.

```
GSWP2 landmask file:
                                         ./input/gswp2data/Fixed/landmask_gswp.nc
GSWP2 domain x-dimension size:
GSWP2 domain y-dimension size:
                                         150
GSWP2 number of forcing variables:
GSWP2 2m air temperature map:
                                         ./input/gswp2data/Tair_cru/Tair_cru
GSWP2 2m specific humidity map:
                                         ./input/gswp2data/Qair_cru/Qair_cru
                                         ./input/gswp2data/Wind_ncep/Wind_ncep
GSWP2 wind map:
                                         ./input/gswp2data/PSurf_ecor/PSurf_ecor
GSWP2 surface pressure map:
                                         ./input/gswp2data/Rainf_C_gswp/Rainf_C_gswp
GSWP2 convective rainfall rate map:
GSWP2 rainfall rate map:
                                         ./input/gswp2data/Rainf_gswp/Rainf_gswp
                                         ./input/gswp2data/Snowf_gswp/Snowf_gswp
GSWP2 snowfall rate map:
GSWP2 incident shortwave radiation map: ./input/gswp2data/SWdown_srb/SWdown_srb
GSWP2 incident longwave radiation map:
                                         ./input/gswp2data/LWdown_srb/LWdown_srb
```

### 9.7.8 GMAO GLDAS

GLDAS forcing directory: specifies the location of the GMAO GLDAS forcing files.

```
GLDAS forcing directory: ../FORCING/GLDAS_GMAO/
```

### 9.7.9 GFS

GFS forcing directory: specifies the location of the GFS forcing files.

GFS domain x-dimension size: specifies the number of columns of the native domain parameters of the GFS forcing data. The map projection is specified in the driver modules defined for the GFS routines.

GFS domain y-dimension size: specifies the number of rows of the native domain parameters of the GFS forcing data. The map projection is specified in the driver modules defined for the GFS routines.

GFS number of forcing variables: specifies the number of forcing variables provided by GFS at the model initialization step.

GFS forcing directory: ./input/FORCING/GFS/

GFS domain x-dimension size: 512
GFS domain y-dimension size: 256
GFS number of forcing variables: 10

### **9.7.10** MERRA-Land

MERRA-Land forcing directory: specifies the location of the MERRA-Land forcing files.

MERRA-Land use lowest model level forcing: specifies whether to use the lowest model level forcing. Acceptable values are:

Value Description

0 Do not use the lowest model level forcing.

1 Use the lowest model level forcing.

```
MERRA-Land forcing directory:
MERRA-Land use lowest model level forcing:
```

#### 9.7.11 MERRA2

MERRA2 forcing directory: specifies the location of the MERRA2 forcing files.

Please note that MERRA2 forcing data are not currently available to external users. They should become available in July 2015.

MERRA2 use lowest model level forcing: specifies whether to use the lowest model level forcing. Acceptable values are:

Value Description

- 0 Do not use the lowest model level forcing.
- 1 Use the lowest model level forcing.

MERRA2 use corrected total precipitation: specifies whether to use the bias corrected total precipitation. Acceptable values are:

Value Description

- 0 Do not use the bias corrected total precipitation.
- 1 Use the bias corrected total precipitation.

```
MERRA2 forcing directory:
MERRA2 use lowest model level forcing:
MERRA2 use corrected total precipitation:
```

### 9.7.12 GSWP1

GSWP1 forcing directory: specifies the location of the GSWP1 forcing files.

GSWP1 domain x-dimension size: specifies the number of columns of the native domain parameters of the GSWP1 forcing data. The map projection is specified in the driver modules defined for the GSWP1 routines.

GSWP1 domain y-dimension size: specifies the number of rows of the native domain parameters of the GSWP1 forcing data. The map projection is specified in the driver modules defined for the GSWP1 routines.

GSWP1 number of forcing variables: specifies the number of forcing variables provided by GSWP1 at the model initialization step.

```
GSWP1 forcing directory: ./input/FORCING/GSWP1
GSWP1 domain x-dimension size: 360
GSWP1 domain y-dimension size: 150
GSWP1 number of forcing variables: 9
```

# 9.8 Supplemental forcings

## 9.8.1 AGRMET radiation (latlon)

AGRRAD forcing directory: specifies the directory containing AGRMET radiation data.

```
AGRRAD forcing directory: ./input/FORCING/AGRRAD
```

# 9.8.2 AGRMET radiation (polar stereographic)

AGRRADPS forcing directory: specifies the directory containing AGRMET polar stereographic radiation data.

AGRRADPS forcing directory: ./input/FORCING/AGRRADPS

# 9.8.3 CMAP precipitation

CMAP forcing directory: specifies the location of the CMAP forcing files.

CMAP forcing directory: ./input/FORCING/CMAP

### 9.8.4 CEOP station data

CEOP station forcing — during EOP1

CEOP location index: specifies the location of the CEOP station.

CEOP forcing directory: specifies the location of the CEOP forcing files.

CEOP metadata file: specifies the file containing CEOP metadata.

CEOP location index: 3 #SGP location

CEOP forcing directory: ./input/FORCING/CEOP/sgp.cfr
CEOP metadata file: ./input/FORCING/CEOP/sgp.mdata

## 9.8.5 SCAN station data

SCAN forcing directory: specifies the location of the SCAN forcing files.

SCAN metadata file: specifies the file containing SCAN metadata.

SCAN forcing directory: ./input/FORCING/SCAN

SCAN metadata file: ./input/FORCING/SCAN/msu\_scan.mdata

### 9.8.6 NLDAS1

NLDAS1 forcing directory: specifies the location of the NLDAS-1 forcing files.

NLDAS1 data center source: specifies the center that produced the NLDAS1 files. (This is specified to distinguish the filenames.) Acceptable values are:

Value Description
"GES-DISC" NASA GES-DISC
"NCEP" NCEP

NLDAS1 precipitation field: specifies the field to be used for the precipitation. "NLDAS1" will use the standard gauge- based precipitation data from NLDAS-1. "EDAS" will use the EDAS model precipitation data instead. "STAGEII" will use the unbias-corrected STAGE II radar estimated precipitation, and use the EDAS model precipitation for times/locations when the data from STAGE II is unavailable.

NLDAS1 shortwave radiation field: specifies the field to be used for the downward shortwave radiation at the surface. "NLDAS1" will use GOES SW radiation when/where it is available, and use the EDAS SW radiation otherwise. "EDAS" will simply use EDAS radiation at all times and locations.

NLDAS1 apply CONUS mask: specifies whether to apply the CONUS mask. Acceptable values are:

Value Description

0 Do not apply CONUS mask

1 Apply CONUS mask

NLDAS1 CONUS mask file: specifies the NLDAS1 CONUS mask file.

NLDAS1 mask lower left lat: specifies the lower left latitude of the NLDAS1 mask domain. (cylindrical latitude/longitude projection)

NLDAS1 mask lower left lon: specifies the lower left longitude of the NL-DAS1 mask domain. (cylindrical latitude/longitude projection)

NLDAS1 mask upper right lat: specifies the upper right latitude of the NLDAS1 mask domain. (cylindrical latitude/longitude projection)

NLDAS1 mask upper right lon: specifies the upper right longitude of the NL-DAS1 mask domain. (cylindrical latitude/longitude projection)

NLDAS1 mask resolution (dx): specifies the resolution of the NLDAS1 mask domain along the east-west direction.

NLDAS1 mask resolution (dy): specifies the resolution of the NLDAS1 mask domain along the north-south direction.

NLDAS1 forcing directo	ry: ./input/FORCING/NLDAS1
NLDAS1 data center sou	rce: "GES-DISC"
NLDAS1 precipitation f	ield: NLDAS1
NLDAS1 shortwave radia	tion field: NLDAS1
NLDAS1 apply CONUS mas	k: 0
NLDAS1 CONUS mask file	::
NLDAS1 mask lower left	lat: 25.0625
NLDAS1 mask lower left	lon: -124.9375
NLDAS1 mask upper righ	t lat: 52.9375
NLDAS1 mask upper righ	t lon: -67.0625
NLDAS1 mask resolution	(dx): 0.125
NLDAS1 mask resolution	(dy): 0.125

### 9.8.7 NLDAS2

NLDAS2 forcing directory: specifies the location of the NLDAS2 forcing files.

NLDAS2 data center source: specifies the center that produced the NLDAS2 files. (This is specified to distinguish the filenames.) Acceptable values are:

Value Description
"GES-DISC" NASA GES-DISC
"NCEP" NCEP

NLDAS2 use model level data: specifies whether or not to read in the model level data (instead of 2/10m fields) from the NLDAS2 forcing dataset (will open up and read "B" files). This data is at the height of the NARR lowest model level.

Note that this will read in "Height of Atmospheric Forcing" and "Surface Exchange Coefficient for Heat". You must make sure that they are included in your forcing variables list file. Acceptable values are:

Value Description 0 do not use 1 use NLDAS2 use model based swdown: specifies whether or not to read in the unbias corrected model downward shortwave radiation data (in leiu of the bias corrected data) from the NLDAS2 forcing dataset (will open up and read "B" files). The data source is the NARR shortwave. Acceptable values are:

Value Description 0 do not use 1 use

NLDAS2 use model based precip: specifies whether or not to read in the model based precipitation data (instead of the observation based precipitation) from the NLDAS2 forcing dataset (will open up and read "B" files). The data source is the NARR precipitation. Acceptable values are:

Value Description 0 do not use 1 use

NLDAS2 use model based pressure: specifies whether or not to read in the model base pressure data (instead of the observation based pressure) from the NLDAS2 forcing dataset (will open up and read "B" files). The data source is the pressure at the NARR lowest model level. Acceptable values are:

Value Description 0 do not use 1 use

32
)_

## 9.8.8 TRMM 3B42RT precipitation

TRMM 3B42RT forcing directory: specifies the location of the TRMM 3B42RT forcing files.

TRMM 3B42RT forcing directory: ./input/FORCING/3B42RT/

TRMM 3B42RTV7 forcing directory: specifies the location of the TRMM 3B42RT Version 7 forcing files.

TRMM 3B42RTV7 forcing directory: ../MET\_FORCING/3B42RT-V7/

### 9.8.9 TRMM 3B42V6 precipitation

TRMM 3B42V6 timestep: specifies the timestep for reading the TRMM 3B42V6 data.

See Section 9.13 for a description of how to specify a time interval.

 $\tt TRMM\ 3B42V6\ forcing\ directory:$  specifies the location of the  $TRMM\ 3B42V6$  forcing files.

TRMM 3B42V6 forcing directory: ./input/FORCING/3B42V6/
TRMM 3B42V6 timestep: 1hr

# 9.8.10 TRMM 3B42V7 precipitation

TRMM 3B42V7 timestep: specifies the timestep for reading the TRMM 3B42V7 data.

See Section 9.13 for a description of how to specify a time interval.

TRMM 3B42V7 forcing directory: specifies the location of the TRMM 3B42V7 forcing files.

TRMM 3B42V7 forcing directory: ./input/FORCING/3B42V7/
TRMM 3B42V7 timestep: 1hr

# 9.8.11 CMORPH precipitation

 ${\tt CMORPH}$  forcing directory: specifies the location of the CMORPH precipitation forcing files.

CMORPH forcing directory: ./input/FORCING/CMORPH/
---

# 9.8.12 Stage II precipitation

STAGE2 forcing directory: specifies the location of the STAGE2 forcing files.

bindbz forcing directory: ./ input/forcing/bili		STAGE2 fo	orcing	directory:	./input/FORCING/STII
---	--	-----------	--------	------------	----------------------

# 9.8.13 Stage IV precipitation

STAGE4 forcing directory: specifies the location of the STAGE4 forcing files.

STAGE4	forcing	directory:	./input/FORCING/STIV
	_	•	-

#### 9.8.14 NARR

NARR forcing directory: specifies the location of the NARR forcing files.

NARR domain x-dimension size: specifies the number of columns of the native domain parameters of the NARR forcing data.

NARR domain y-dimension size: specifies the number of rows of the native domain parameters of the NARR forcing data.

NARR domain y-dimension size: specifies the number of rows of the native domain parameters of the NARR forcing data.

 $\tt NARR$  domain z-dimension size: specifies the number of atmospheric profiles in the NARR forcing data.

NARR forcing directory:	./input/Code/NARR/
NARR domain x-dimension size:	768
NARR domain y-dimension size:	386
NARR domain z-dimension size:	30

## 9.8.15 RFE2Daily

RFE2Daily forcing directory: specifies the location of the RFE2Daily forcing files.

RFE2Daily time offset: specifiles the time offset for the RFE2Daily forcing data, in hours. This adjusts when LIS will read the RFE2Daily precipitation data. For general use, the data should be read at hour 6z, but for use by GeoWRSI, the data should be read at hour 0z.

RFE2Daily forcing directory: ./input/MET\_FORCING/RFE2.0\_CPC/Africa/RFE2Daily time offset: 0 # for use by GeoWRSI

## 9.8.16 PET\_USGS

USGS PET forcing directory: specifies the location of the PET USGS forcing files.

USGS PET forcing type: specifies the choice for PET forcing data type. Acceptable values are:

Value Description

current Retrospective or current time-based PET files

climatology Climatology-based PET files

USGS PET forcing directory: ./PET\_USGS

# 9.8.17 RFE2 data bias corrected to GDAS

RFE2gdas forcing directory: specifies the location of the RFE2gdas forcing files.

RFE2gdas forcing directory:

## 9.8.18 NAM242

NAM242 forcing directory: specifies the location of the "NAM 242 AWIPS Grid – Over Alaska" forcing files

```
NAM242 forcing directory: ./input/MET_FORCING/NAM242
```

## 9.8.19 WRFout

WRF output forcing directory: specifies the location of the WRF output data files.

WRF output domain x-dimension size: specifies the number of columns of the native domain parameters of the WRF output data.

WRF output domain y-dimension size: specifies the number of rows of the native domain parameters of the WRF output data.

WRF nest id: specifies the nest id of the WRF output data files.

```
WRF output forcing directory: ./input/wrfout/
WRF output domain x-dimension size: 741
WRF output domain y-dimension size: 588
WRF nest id: 1
```

# 9.8.20 GEOS5 Forecast

 ${\tt GEOS5}$  forecast forcing directory: specifies the location of the  ${\tt GEOS5}$  forecast forcing files.

```
GEOS5 forecast forcing directory:
```

# 9.8.21 GDAS for LSWG

GDASLSWG forcing file: specifies the location of the GDASLSWG forcing file.

GDASLSWG domain lower left lat: specifies the lower left latitude of the GDASLSWG domain. (cylindrical latitude/longitude projection)

GDASLSWG domain lower left lon: specifies the lower left longitude of the GDASLSWG domain. (cylindrical latitude/longitude projection)

GDASLSWG domain upper right lat: specifies the upper right latitude of the GDASLSWG domain. (cylindrical latitude/longitude projection)

GDASLSWG domain upper right lon: specifies the upper right longitude of the GDASLSWG domain. (cylindrical latitude/longitude projection)

GDASLSWG domain resolution (dx): specifies the resolution of the of the GDASLSWG domain along the east-west direction.

GDASLSWG domain resolution (dy): specifies the resolution of the of the GDASLSWG domain along the north-south direction.

```
GDASLSWG forcing file:
GDASLSWG domain lower left lat:
GDASLSWG domain lower left lon:
GDASLSWG domain upper right lat:
GDASLSWG domain upper right lon:
GDASLSWG domain resolution (dx):
GDASLSWG domain resolution (dy):
```

#### 9.8.22 Bondville

Bondville forcing file: specifies the location of the Bondville forcing file.

```
Bondville forcing file:
```

#### 9.8.23 SNOTEL

SNOTEL forcing directory: specifies the location of the SNOTEL forcing files.

SNOTEL metadata file: specifies the location of the SNOTEL metadata file.

SNOTEL coord file: specifies the location of the SNOTEL coordinates file.

```
SNOTEL forcing directory:
SNOTEL metadata file:
SNOTEL coord file:
```

#### 9.8.24 COOP

COOP forcing directory: specifies the location of the COOP forcing files.

COOP metadata file: specifies the location of the COOP metadata file.

COOP coord file: specifies the location of the COOP coordinate file.

```
COOP forcing directory:
COOP metadata file:
COOP coord file:
```

## 9.8.25 VIC processed forcing

This is used by the LIS development team to support debugging VIC within LIS. One must first run stand-alone VIC, configured to output its forcing data. Then one must grid the output forcing data into a format understood by LIS.

VIC forcing directory: specifies the location of the VIC processed forcing files.

VIC forcing interval: specifies the frequency of the VIC processed forcing data, in seconds.

VIC forcing domain lower left lat: specifies the lower left latitude of the VIC processed forcing data. (cylindrical latitude/longitude projection)

VIC forcing domain lower left lon: specifies the lower left longitude of the VIC processed forcing data. (cylindrical latitude/longitude projection)

VIC forcing domain upper right lat: specifies the upper right latitude of the VIC processed forcing data. (cylindrical latitude/longitude projection)

VIC forcing domain upper right lon: specifies the upper right longitude of the VIC processed forcing data. (cylindrical latitude/longitude projection)

VIC forcing domain resolution (dx): specifies the resolution of the of the VIC processed forcing data along the east-west direction.

VIC forcing domain resolution (dy): specifies the resolution of the of the VIC processed forcing data along the north-south direction.

VIC NC: specifies the number of columns of the VIC processed forcing data.

VIC NR: specifies the number of rows of the VIC processed forcing data.

```
VIC forcing directory:
VIC forcing interval:
VIC forcing domain lower left lat:
VIC forcing domain lower left lon:
VIC forcing domain upper right lat:
VIC forcing domain upper right lon:
VIC forcing domain resolution (dx):
VIC forcing domain resolution (dx):
VIC forcing domain resolution (dy):
VIC NC:
VIC NR:
```

### 9.8.26 PALS station

PALS met forcing directory: specifies the location of the PALS station forcing files.

PALS met forcing station name: specifies the name of the PALS station.

PALS met forcing data start year: specifies the starting year of the PALS station data.

PALS met forcing data start month: specifies the starting month of the PALS station data.

PALS met forcing data start day: specifies the starting day of the PALS station data.

PALS met forcing data start hour: specifies the starting hour of the PALS station data.

PALS met forcing data start minute: specifies the starting minute of the PALS station data.

PALS met forcing data start second: specifies the starting second of the PALS station data.

```
PALS met forcing directory:
PALS met forcing station name:
PALS met forcing data start year:
PALS met forcing data start month:
PALS met forcing data start day:
PALS met forcing data start hour:
PALS met forcing data start minute:
PALS met forcing data start second:
```

## 9.8.27 PILDAS

PILDAS forcing directory: specifies the location of the PILDAS forcing files.

PILDAS forcing version: specifies the version of the PILDAS forcing data.

PILDAS forcing use lowest model level fields: specifies whether to use the lowest model level fields. Acceptable values are:

```
Value Description
0 Do not use lowest level
1 Use lowest level
```

```
PILDAS forcing directory:
PILDAS forcing version:
PILDAS forcing use lowest model level fields:
```

# 9.8.28 RDHM356

RDHM precipitation forcing directory: specifies the location of the RDHM precipitation forcing files.

RDHM temperature forcing directory: specifies the location of the RDHM temperature forcing files.

RDHM precipitation scale factor: specifies the RDHM precipitation scale factor, which is used to scale the integer type XMRG data into real number representing precipitation amount.

RDHM precipitation interval: specifies the frequency of the precipitation forcing data, in seconds.

RDHM temperature interval: specifies the frequency of the temperature forcing data, in seconds.

RDHM run window lower left hrap y: lower left HRAP Y coordinate of run domain

RDHM run window lower left hrap x: lower left HRAP X coordinate of run domain

RDHM run window upper right hrap y: upper right HRAP Y coordinate of run domain

RDHM run window upper right hrap x: upper right HRAP X coordinate of run domain

 $\mathtt{RDHM}$  run window hrap resolution: spatial resolution (in HRAP unit) of run domain

RDHM temperature undefined value: specifies the undefined value for the temperature forcing data.

RDHM precipitation undefined value: specifies the undefined value for the precipitation forcing data.

RDHM constant wind speed: Constant wind speed (m/s) for entire run domain

```
RDHM precipitation forcing directory: ../testcase/precip
                                       ../testcase/tair
RDHM temperature forcing directory:
RDHM precipitation scale factor:
                                       1.0
RDHM precipitation interval:
                                       3600
RDHM temperature interval:
                                       3600
RDHM run window lower left hrap y:
                                      48
RDHM run window lower left hrap x:
                                      17
RDHM run window upper right hrap y:
                                      821
RDHM run window upper right hrap x:
                                      1059
RDHM run window hrap resolution:
                                      1.0
RDHM temperature undefined value:
                                       -1.0
RDHM precipitation undefined value:
                                       -1.0
RDHM constant wind speed:
                                       4.0
```

Generated metforcing directory: specifies the location of the LDT gener-

ated meteorological forcing files. Files generated in LDT are in netCDF format, and they are automatically loaded and handled by the LIS-7 reader.

Generated metforcing directory: ./LDT\_OUTPUT/

# 9.9 Land surface models

## 9.9.1 Forcing only – Template

TEMPLATE model timestep: specifies the timestep for the run. The template LSM is not a model; rather, it is a placeholder for a model. It demonstrates the hooks that are needed to add a land surface model into LIS. This "LSM" is also used to run LIS with the purpose of only processing and writing forcing data.

See Section 9.13 for a description of how to specify a time interval.

TEMPLATE model timestep: 1hr

## 9.9.2 NCEP's Noah-2.7.1

Noah.2.7.1 model timestep: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

For a nested domain, the timesteps for each nest should be specified with white spaces as the delimiter. If two domains (one subnest) are employed, the first one using 900 seconds and the second one using 3600 seconds as the timestep, the model timesteps are specified as:

E.g.: Noah.2.7.1 model timestep: 15mn 60mn

Noah.2.7.1 restart output interval: defines the restart writing interval for Noah.2.7.1. The typical value used in the LIS runs is 24 hours (1da).

See Section 9.13 for a description of how to specify a time interval.

Noah.2.7.1 restart file: specifies the Noah-2.7.1 active restart file.

- Noah.2.7.1 vegetation parameter table: specifies the Noah-2.7.1 static vegetation parameter table file.
- Noah.2.7.1 soil parameter table: specifies the Noah-2.7.1 soil parameter file.
- Noah.2.7.1 use PTF for mapping soil properties: specifies if pedotransfer functions are to be used for mapping soil properties (0-do not use, 1-use).
- Noah.2.7.1 number of vegetation parameters: specifies the number of static vegetation parameters specified for each veg type.
- Noah.2.7.1 soils scheme: specifies the soil mapping scheme used. Acceptable values are:

Value Description

- 1 Zobler
- 2 STATSGO
- Noah.2.7.1 number of soil classes: specifies the number of soil classes in the above mapping scheme. Acceptable values are:

Value Description

- 9 Zobler
- 19 STATSGO
- Noah.2.7.1 number of soil layers: specifies the number of soil layers. The typical value used in Noah-2.7.1 is 4.
- Noah.2.7.1 layer thicknesses: specifies the thickness (in meters) of each of the Noah-2.7.1 soil layers (top layer to bottom layer).
- Noah.2.7.1 initial skin temperature: specifies the initial skin temperature in Kelvin used in the cold start runs.
- Noah.2.7.1 initial soil temperatures: specifies the initial soil temperature (for all layers, top to bottom) in Kelvin used in the cold start runs.
- Noah.2.7.1 initial total soil moistures: specifies the initial total volumetric soil moistures (for all layers, top to bottom) used in the cold start runs. (units  $\frac{m^3}{m^3}$ )
- Noah.2.7.1 initial liquid soil moistures: specifies the initial liquid volumetric soil moistures (for all layers, top to bottom) used in the cold start runs. (units  $\frac{m^3}{m^3}$ )

- Noah.2.7.1 initial canopy water: specifies the initial canopy water (m).
- Noah.2.7.1 initial snow depth: specifies the initial snow depth (m).
- Noah.2.7.1 initial snow equivalent: specifies the initial snow water equivalent (m).
- Noah.2.7.1 reference height for forcing T and q: specifies the height in meters of air temperature and specific humidity forcings.
- Noah.2.7.1 reference height for forcing u and v: specifies the height in meters of u and v wind forcings.
- Noah.2.7.1 reinitialize parameters from OPTUE output: specifies whether to reinitialize parameters from OPTUE output. Defaults to 0.
- Noah.2.7.1 parameter restart file (from OPTUE): specifies the restart file to use to reinitialize parameters. Only used when Noah.2.7.1 reinitialize parameters from OPTUE output: is set to 1.

```
Noah.2.7.1 model timestep:
                                            15mn
Noah.2.7.1 restart output interval:
                                            1mo
Noah.2.7.1 restart file:
                                             ./LIS.E111.200401210000.d01.Noah271rst
Noah.2.7.1 vegetation parameter table:
                                             ../../noah271_parms/noah.vegparms_UMD.txt
Noah.2.7.1 soil parameter table:
                                             ../../noah271_parms/noah.soilparms_STATSGO-FAO.
Noah.2.7.1 use PTF for mapping soil properties: 0
Noah.2.7.1 number of vegetation parameters: 7
Noah.2.7.1 soils scheme:
                                                    # 1-Zobler; 2-STATSGO
Noah.2.7.1 number of soil classes:
                                            16
                                                    # 9 for Zobler
Noah.2.7.1 number of soil layers:
Noah.2.7.1 layer thicknesses:
                                            0.1 0.3 0.6 1.0
Noah.2.7.1 initial skin temperature:
                                            290.0000
                                                                                      # Kelv
Noah.2.7.1 initial soil temperatures:
                                            290.0000 290.0000 290.0000 290.0000
                                                                                      # Kelv
Noah.2.7.1 initial total soil moistures:
                                            0.2000000 0.2000000 0.2000000 0.2000000
                                                                                      # volu
Noah.2.7.1 initial liquid soil moistures:
                                            0.2000000 0.2000000 0.2000000 0.2000000
                                                                                      # volu
Noah.2.7.1 initial canopy water:
                                            0.0
                                                                                      # dept
Noah.2.7.1 initial snow depth:
                                            0.0
                                                                                      # dept
Noah.2.7.1 initial snow equivalent:
                                                                                      # SWE
Noah.2.7.1 reference height for forcing T and q:
                                                  20.0
Noah.2.7.1 reference height for forcing u and v:
                                                  20.0
```

#### 9.9.3 NCAR's Noah-3.2

Noah.3.2 model timestep: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

For a nested domain, the timesteps for each nest should be specified with white spaces as the delimiter. If two domains (one subnest) are employed, the first one using 900 seconds and the second one using 3600 seconds as the timestep, the model timesteps are specified as:

E.g.: Noah.3.2 model timestep: 15mn 60mn

Noah.3.2 restart output interval: defines the restart writing interval for Noah-3.2. The typical value used in the LIS runs is 24 hours (1da).

See Section 9.13 for a description of how to specify a time interval.

Noah.3.2 restart file: specifies the Noah-3.2 active restart file.

Noah.3.2 vegetation parameter table: specifies the Noah-3.2 static vegetation parameter table file.

Noah.3.2 soil parameter table: specifies the Noah-3.2 soil parameter file.

Noah.3.2 general parameter table: specifies the Noah-3.2 general parameter file.

Noah.3.2 use PTF for mapping soil properties: specifies if pedotransfer functions are to be used for mapping soil properties (0-do not use, 1-use).

Noah.3.2 soils scheme: specifies the soil mapping scheme used. Acceptable values are:

Value Description

- 1 Zobler
- 2 STATSGO

Noah.3.2 number of soil layers: specifies the number of soil layers. The typical value used in Noah is 4.

Noah.3.2 layer thicknesses: specifies the thickness (in meters) of each of the Noah-3.2 soil layers (top layer to bottom layer).

Noah.3.2 use distributed soil depth map: specifies whether to use a dis-

- tributed soil depth map. Defaults to 0.
- Noah.3.2 use distributed root depth map: specifies whether to use a distributed root depth map. Defaults to 0.
- Noah.3.2 initial skin temperature: specifies the initial skin temperature in Kelvin used in the cold start runs.
- Noah.3.2 initial soil temperatures: specifies the initial soil temperature (for all layers, top to bottom) in Kelvin used in the cold start runs.
- Noah.3.2 initial total soil moistures: specifies the initial total volumetric soil moistures (for all layers, top to bottom) used in the cold start runs. (units  $\frac{m^3}{m^3}$ )
- Noah.3.2 initial liquid soil moistures: specifies the initial liquid volumetric soil moistures (for all layers, top to bottom) used in the cold start runs. (units  $\frac{m^3}{m^3}$ )
- Noah.3.2 initial canopy water: specifies the initial canopy water (m).
- Noah.3.2 initial snow depth: specifies the initial snow depth (m).
- Noah.3.2 initial snow equivalent: specifies the initial snow water equivalent (m).
- Noah.3.2 fixed max snow albedo: specifies a fixed maximum snow albedo (fraction, 0.0 to 1.0) for all grid points. This value will only be used if "fixed" is chosen for Max snow albedo data source.
- Noah.3.2 fixed deep soil temperature: specifies a fixed deep soil temperature (Kelvin) for all grid points. Entering a value of 0.0 will have the code use the deep soil temperature from the LDT-generated LIS domain and parameter data file.
- Noah.3.2 fixed vegetation type: specifies a fixed vegetation type index for all grid points. Entering a value of 0 will not fix the vegetation types, and the code will use the Landcover data source information instead.
- Noah.3.2 fixed soil type: specifies a fixed soil type index for all grid points. Entering a value of 0 will not fix the soil types, and the code will use the Soil texture data source information instead.
- Noah.3.2 fixed slope type: specifies a fixed slope type index for all grid points. Entering a value of 0 will not fix the slope index types, and the code

will use the Slope data source information instead.

- Noah.3.2 sfcdif option: specifies whether to use the updated SFCDIF routine in Noah-3.2, or to use the previous SFCDIF routine. The typical option is to use the updated SFCDIF routine (option = 1).
- Noah.3.2 z0 veg-type dependence option: specifies whether to use the vegetation type dependent roughness height option on the CZIL parameter in the SFCDIF routine. The typical option in Noah-3.2 is not use this dependence (option = 0).
- Noah.3.2 greenness fraction: specifies a monthly (January to December) greenness vegetation fraction for all grid points. These values are used only if the Greenness data source option is set to "none".
- Noah.3.2 background albedo: specifies a monthly background (snow-free) albedo for all grid points. These values are only used for an initial condition calculation, and only if the Albedo data source option is set to "none". After the first timestep, these values are not used.
- Noah.3.2 background roughness length: specifies a monthly background (snow-free) roughness length. These values are used only for an initial condition calculation and are not used after the first timestep.
- Noah.3.2 reference height for forcing T and q: specifies the height in meters of air temperature and specific humidity forcings.
- Noah.3.2 reference height for forcing u and v: specifies the height in meters of u and v wind forcings.

```
Noah.3.2 model timestep:
                                          15mn
Noah.3.2 restart output interval:
                                          1mo
Noah.3.2 restart file:
                                          LIS.E111.200805140000.d01.Noah32rst
Noah.3.2 vegetation parameter table:
                                          ../../noah32_parms/VEGPARM.TBL
Noah.3.2 soil parameter table:
                                          ../../noah32_parms/SOILPARM.TBL
Noah.3.2 general parameter table:
                                          ../../noah32_parms/GENPARM.TBL
Noah.3.2 use PTF for mapping soil properties: 0
Noah.3.2 soils scheme:
                                                 # 1-Zobler; 2-STATSGO
Noah.3.2 number of soil layers:
                                          4
Noah.3.2 layer thicknesses:
                                          0.1 0.3 0.6 1.0
Noah.3.2 use distributed soil depth map:
                                          0
                                                 # 0 - do not use; 1 - use map
Noah.3.2 use distributed root depth map:
                                          0
                                                 # 0 - do not use; 1 - use map
Noah.3.2 initial skin temperature:
                                          290.0000
                                                                                    # Kelvir
Noah.3.2 initial soil temperatures:
                                          290.0000 290.0000 290.0000 290.0000
                                                                                    # Kelvir
                                          0.2000000 0.2000000 0.2000000 0.2000000
                                                                                    # volume
Noah.3.2 initial total soil moistures:
```

```
Noah.3.2 initial liquid soil moistures:
                                          0.2000000 0.2000000 0.2000000 0.2000000
                                                                                   # volume
Noah.3.2 initial canopy water:
                                          0.0
                                                                                   # depth
Noah.3.2 initial snow depth:
                                          0.0
                                                                                   # depth
Noah.3.2 initial snow equivalent:
                                          0.0
                                                                                   # SWE de
Noah.3.2 fixed max snow albedo:
                                          0.0
                                                 # fraction; 0.0 - do not fix
Noah.3.2 fixed deep soil temperature:
                                                 # Kelvin; 0.0 - do not fix
                                          0.0
Noah.3.2 fixed vegetation type:
                                          0
                                                 # 0 - do not fix
Noah.3.2 fixed soil type:
                                                 # 0 - do not fix
                                          0
Noah.3.2 fixed slope type:
                                          0
                                                 # 0 - do not fix
Noah.3.2 sfcdif option:
                                          1
                                                 # 0 - previous SFCDIF; 1 - updated SFCDIF
Noah.3.2 z0 veg-type dependence option:
                                          0
                                                 # 0 - off; 1 - on; dependence of CZIL in S
# Green vegetation fraction - by month
  - used only if "Greenness data source" above is zero
                                                                  0.93 0.96 0.65 0.24 0
Noah.3.2 greenness fraction: 0.01 0.02 0.07 0.17 0.27 0.58
# Background (i.e., snow-free) albedo - by month
  - used only for first timestep; subsequent timesteps use
     the values as computed in the previous call to "SFLX"
Noah.3.2 background albedo:
                              0.18 0.17 0.16 0.15 0.15 0.15
                                                                  0.15 0.16 0.16 0.17 0
# Background (i.e., snow-free) roughness length (m) - by month
  - used only for first timestep; subsequent timesteps use
     the values as computed in the previous call to "SFLX"
Noah.3.2 background roughness length: 0.020 0.020 0.025 0.030 0.035 0.036 0.035 0.030 0.027
Noah.3.2 reference height for forcing T and q:
                                                20.0
                                                                  negative=use height from
                                                          # (m) -
Noah.3.2 reference height for forcing u and v:
                                                                  negative=use height from
                                                20.0
                                                          # (m)
```

# 9.9.4 NCAR's Noah-3.3

Noah.3.3 model timestep: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

For a nested domain, the timesteps for each nest should be specified with white spaces as the delimiter. If two domains (one subnest) are employed, the first one using 900 seconds and the second one using 3600 seconds as the timestep, the model timesteps are specified as:

E.g.: Noah.3.3 model timestep: 15mn 60mn

Noah.3.3 restart output interval: defines the restart writing interval for Noah-3.3. The typical value used in the LIS runs is 24 hours (1da).

See Section 9.13 for a description of how to specify a time interval.

- Noah.3.3 restart file: specifies the Noah-3.3 active restart file.
- Noah.3.3 vegetation parameter table: specifies the Noah-3.3 static vegetation parameter table file.
- Noah.3.3 soil parameter table: specifies the Noah-3.3 soil parameter file.
- Noah.3.3 general parameter table: specifies the Noah-3.3 general parameter file.
- Noah.3.3 use PTF for mapping soil properties: specifies if pedotransfer functions are to be used for mapping soil properties (0-do not use, 1-use).
- Noah.3.3 soils scheme: specifies the soil mapping scheme used. Acceptable values are:

Value Description

- 1 Zobler
- 2 STATSGO
- Noah.3.3 number of soil layers: specifies the number of soil layers. The typical value used in Noah is 4.
- Noah.3.3 layer thicknesses: specifies the thickness (in meters) of each of the Noah-3.3 soil layers (top layer to bottom layer).
- Noah.3.3 use distributed soil depth map: specifies whether to use a distributed soil depth map. Defaults to 0.
- Noah.3.3 use distributed root depth map: specifies whether to use a distributed root depth map. Defaults to 0.
- Noah.3.3 initial skin temperature: specifies the initial skin temperature in Kelvin used in the cold start runs.
- Noah.3.3 initial soil temperatures: specifies the initial soil temperature (for all layers, top to bottom) in Kelvin used in the cold start runs.
- Noah.3.3 initial total soil moistures: specifies the initial total volumetric soil moistures (for all layers, top to bottom) used in the cold start runs. (units  $\frac{m^3}{m^3}$ )
- Noah.3.3 initial liquid soil moistures: specifies the initial liquid volumetric soil moistures (for all layers, top to bottom) used in the cold start runs. (units  $\frac{m^3}{m^3}$ )

- Noah.3.3 initial canopy water: specifies the initial canopy water (m).
- Noah.3.3 initial snow depth: specifies the initial snow depth (m).
- Noah.3.3 initial snow equivalent: specifies the initial snow water equivalent (m).
- Noah.3.3 fixed max snow albedo: specifies a fixed maximum snow albedo (fraction, 0.0 to 1.0) for all grid points. This value will only be used if "fixed" is chosen for Max snow albedo data source.
- Noah.3.3 fixed deep soil temperature: specifies a fixed deep soil temperature (Kelvin) for all grid points. Entering a value of 0.0 will have the code use the deep soil temperature from the LDT-generated LIS domain and parameter data file.
- Noah.3.3 fixed vegetation type: specifies a fixed vegetation type index for all grid points. Entering a value of 0 will not fix the vegetation types, and the code will use the Landcover data source information instead.
- Noah.3.3 fixed soil type: specifies a fixed soil type index for all grid points. Entering a value of 0 will not fix the soil types, and the code will use the Soil texture data source information instead.
- Noah.3.3 fixed slope type: specifies a fixed slope type index for all grid points. Entering a value of 0 will not fix the slope index types, and the code will use the Slope data source information instead.
- Noah.3.3 sfcdif option: specifies whether to use the updated SFCDIF routine in Noah-3.3, or to use the previous SFCDIF routine. The typical option is to use the updated SFCDIF routine (option = 1).
- Noah.3.3 z0 veg-type dependence option: specifies whether to use the vegetation type dependent roughness height option on the CZIL parameter in the SFCDIF routine. The typical option in Noah-3.3 is not use this dependence (option = 0).
- Noah.3.3 greenness fraction: specifies a monthly (January to December) greenness vegetation fraction for all grid points. These values are used only if the Greenness data source option is set to "none".
- Noah.3.3 background albedo: specifies a monthly background (snow-free) albedo for all grid points. These values are only used for an initial condition calculation, and only if the Albedo data source option is set to "none". After the first timestep, these values are not used.

Noah.3.3 background roughness length: specifies a monthly background (snow-free) roughness length. These values are used only for an initial condition calculation and are not used after the first timestep.

Noah.3.3 reference height for forcing T and q: specifies the height in meters of air temperature and specific humidity forcings.

Noah.3.3 reference height for forcing u and v: specifies the height in meters of u and v wind forcings.

```
Noah.3.3 model timestep:
                                          15mn
Noah.3.3 restart output interval:
                                          1mo
Noah.3.3 restart file:
                                          LIS.E111.200805140000.d01.Noah33rst
Noah.3.3 vegetation parameter table:
                                          ../../noah33_parms/VEGPARM.TBL
Noah.3.3 soil parameter table:
                                          ../../noah33_parms/SOILPARM.TBL
Noah.3.3 general parameter table:
                                          ../../noah33_parms/GENPARM.TBL
Noah.3.3 use PTF for mapping soil properties: 0
                                                 # 1-Zobler; 2-STATSGO
Noah.3.3 soils scheme:
                                          2
Noah.3.3 number of soil layers:
Noah.3.3 layer thicknesses:
                                          0.1 0.3 0.6 1.0
Noah.3.3 use distributed soil depth map:
                                                 # 0 - do not use; 1 - use map
Noah.3.3 use distributed root depth map:
                                                 # 0 - do not use; 1 - use map
                                          0
Noah.3.3 initial skin temperature:
                                                                                   # Kelvir
                                          290.0000
                                                                                   # Kelvir
Noah.3.3 initial soil temperatures:
                                          290.0000 290.0000 290.0000 290.0000
Noah.3.3 initial total soil moistures:
                                          0.2000000 0.2000000 0.2000000 0.2000000
                                                                                   # volume
                                          0.2000000 0.2000000 0.2000000 0.2000000
Noah.3.3 initial liquid soil moistures:
                                                                                   # volume
Noah.3.3 initial canopy water:
                                                                                   # depth
                                          0.0
Noah.3.3 initial snow depth:
                                          0.0
                                                                                   # depth
Noah.3.3 initial snow equivalent:
                                                                                   # SWE de
                                          0.0
                                                 # fraction; 0.0 - do not fix
Noah.3.3 fixed max snow albedo:
                                          0.0
Noah.3.3 fixed deep soil temperature:
                                          0.0
                                                 # Kelvin; 0.0 - do not fix
Noah.3.3 fixed vegetation type:
                                          0
                                                 # 0 - do not fix
                                                 # 0 - do not fix
Noah.3.3 fixed soil type:
                                          0
Noah.3.3 fixed slope type:
                                          0
                                                 # 0 - do not fix
Noah.3.3 sfcdif option:
                                          1
                                                 # 0 - previous SFCDIF; 1 - updated SFCDIF
Noah.3.3 z0 veg-type dependence option:
                                                 # 0 - off; 1 - on; dependence of CZIL in S
# Green vegetation fraction - by month
 - used only if "Greenness data source" above is zero
Noah.3.3 greenness fraction: 0.01 0.02 0.07 0.17 0.27 0.58
                                                                  0.93 0.96 0.65 0.24 0
# Background (i.e., snow-free) albedo - by month
  - used only for first timestep; subsequent timesteps use
     the values as computed in the previous call to "SFLX"
Noah.3.3 background albedo:
                            0.18 0.17 0.16 0.15 0.15 0.15
                                                                  0.15 0.16 0.16 0.17 0
# Background (i.e., snow-free) roughness length (m) - by month
```

- used only for first timestep; subsequent timesteps use

```
the values as computed in the previous call to "SFLX"
Noah.3.3 background roughness length: 0.020 0.020 0.025 0.030 0.035 0.036 0.035 0.030 0.027
Noah.3.3 reference height for forcing T and q:
                                                          # (m) - negative=use height from
                                                 2.0
Noah.3.3 reference height for forcing u and v:
                                                          # (m) -
                                                                  negative=use height from
                                                10.0
```

#### NCAR's Noah-3.6 9.9.5

Noah.3.6 model timestep: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

For a nested domain, the timesteps for each nest should be specified with white spaces as the delimiter. If two domains (one subnest) are employed, the first one using 900 seconds and the second one using 3600 seconds as the timestep, the model timesteps are specified as:

Noah.3.6 model timestep: E.g.:15mn 60mn

Noah.3.6 restart output interval: defines the restart writing interval for Noah-3.6. The typical value used in the LIS runs is 24 hours (1da).

See Section 9.13 for a description of how to specify a time interval.

Noah.3.6 restart file: specifies the Noah-3.6 active restart file.

Noah.3.6 vegetation parameter table: specifies the Noah-3.6 static vegetation parameter table file.

Noah.3.6 soil parameter table: specifies the Noah-3.6 soil parameter file.

Noah.3.6 general parameter table: specifies the Noah-3.6 general parameter file.

Noah.3.6 use PTF for mapping soil properties: specifies if pedotransfer functions are to be used for mapping soil properties (0-do not use, 1-use).

Noah.3.6 soils scheme: specifies the soil mapping scheme used. Acceptable values are:

Description Value 1 Zobler 2

STATSGO

Noah.3.6 number of soil layers: specifies the number of soil layers. The

- typical value used in Noah is 4.
- Noah.3.6 layer thicknesses: specifies the thickness (in meters) of each of the Noah-3.6 soil layers (top layer to bottom layer).
- Noah.3.6 use distributed soil depth map: specifies whether to use a distributed soil depth map. Defaults to 0.
- Noah.3.6 use distributed root depth map: specifies whether to use a distributed root depth map. Defaults to 0.
- Noah.3.6 initial skin temperature: specifies the initial skin temperature in Kelvin used in the cold start runs.
- Noah.3.6 initial soil temperatures: specifies the initial soil temperature (for all layers, top to bottom) in Kelvin used in the cold start runs.
- Noah.3.6 initial total soil moistures: specifies the initial total volumetric soil moistures (for all layers, top to bottom) used in the cold start runs. (units  $\frac{m^3}{m^3}$ )
- Noah.3.6 initial liquid soil moistures: specifies the initial liquid volumetric soil moistures (for all layers, top to bottom) used in the cold start runs. (units  $\frac{m^3}{m^3}$ )
- Noah.3.6 initial canopy water: specifies the initial canopy water (m).
- Noah.3.6 initial snow depth: specifies the initial snow depth (m).
- Noah.3.6 initial snow equivalent: specifies the initial snow water equivalent (m).
- Noah.3.6 fixed max snow albedo: specifies a fixed maximum snow albedo (fraction, 0.0 to 1.0) for all grid points. This value will only be used if "fixed" is chosen for Max snow albedo data source.
- Noah.3.6 fixed deep soil temperature: specifies a fixed deep soil temperature (Kelvin) for all grid points. Entering a value of 0.0 will have the code use the deep soil temperature from the LDT-generated LIS domain and parameter data file.
- Noah.3.6 fixed vegetation type: specifies a fixed vegetation type index for all grid points. Entering a value of 0 will not fix the vegetation types, and the code will use the Landcover data source information instead.

- Noah.3.6 fixed soil type: specifies a fixed soil type index for all grid points. Entering a value of 0 will not fix the soil types, and the code will use the Soil texture data source information instead.
- Noah.3.6 fixed slope type: specifies a fixed slope type index for all grid points. Entering a value of 0 will not fix the slope index types, and the code will use the Slope data source information instead.
- Noah.3.6 sfcdif option: specifies whether to use the updated SFCDIF routine in Noah-3.6, or to use the previous SFCDIF routine. The typical option is to use the updated SFCDIF routine (option = 1).
- Noah.3.6 z0 veg-type dependence option: specifies whether to use the vegetation type dependent roughness height option on the CZIL parameter in the SFCDIF routine. The typical option in Noah-3.6 is not use this dependence (option = 0).
- Noah.3.6 Run UA snow-physics option: specifies whether to run the University of Arizona (UA) snow-physics option. Either ".true." or ".false." should be selected. If ".true." is given, then the UA snow-physics will be run. If ".false." is given, then the standard Noah snow-physics will be run instead.
- Noah.3.6 greenness fraction: specifies a monthly (January to December) greenness vegetation fraction for all grid points. These values are used only if the Greenness data source option is set to "none".
- Noah.3.6 background albedo: specifies a monthly background (snow-free) albedo for all grid points. These values are only used for an initial condition calculation, and only if the Albedo data source option is set to "none". After the first timestep, these values are not used.
- Noah.3.6 background roughness length: specifies a monthly background (snow-free) roughness length. These values are used only for an initial condition calculation and are not used after the first timestep.
- Noah.3.6 reference height for forcing T and q: specifies the height in meters of air temperature and specific humidity observations.
- Noah.3.6 reference height for forcing u and v: specifies the height in meters of u and v wind forcings.

Noah.3.6 model timestep: 15mn
Noah.3.6 restart output interval: 1mo
Noah.3.6 restart file: LIS.E111.200805140000.d01.Noah36rst

Noah.3.6 vegetation parameter table: ../../noah36\_parms/VEGPARM.TBL

```
Noah.3.6 soil parameter table:
                                          ../../noah36_parms/SOILPARM.TBL
Noah.3.6 general parameter table:
                                          ../../noah36_parms/GENPARM.TBL
Noah.3.6 use PTF for mapping soil properties: 0
Noah.3.6 soils scheme:
                                          2
                                                 # 1-Zobler; 2-STATSGO
Noah.3.6 number of soil layers:
                                          4
Noah.3.6 layer thicknesses:
                                          0.1 0.3 0.6 1.0
                                                 # 0 - do not use; 1 - use map
Noah.3.6 use distributed soil depth map:
Noah.3.6 use distributed root depth map:
                                          0
                                                 # 0 - do not use; 1 - use map
Noah.3.6 initial skin temperature:
                                          290.0000
                                                                                    # Kelvir
Noah.3.6 initial soil temperatures:
                                          290.0000 290.0000 290.0000 290.0000
                                                                                    # Kelvir
                                          0.2000000 0.2000000 0.2000000 0.2000000
Noah.3.6 initial total soil moistures:
                                                                                    # volume
                                          0.2000000\ 0.2000000\ 0.2 \boxed{000000\ 0.2000000}
Noah.3.6 initial liquid soil moistures:
                                                                                   # volume
Noah.3.6 initial canopy water:
                                          0.0
                                                                                    # depth
Noah.3.6 initial snow depth:
                                          0.0
                                                                                    # depth
                                                                                    # SWE de
Noah.3.6 initial snow equivalent:
                                          0.0
Noah.3.6 fixed max snow albedo:
                                          0.0
                                                 # fraction; 0.0 - do not fix
Noah.3.6 fixed deep soil temperature:
                                          0.0
                                                 # Kelvin; 0.0 - do not fix
Noah.3.6 fixed vegetation type:
                                                 # 0 - do not fix
                                                 # 0 - do not fix
Noah.3.6 fixed soil type:
                                          0
                                                 # 0 - do not fix
Noah.3.6 fixed slope type:
                                          0
Noah.3.6 sfcdif option:
                                                 # 0 - previous SFCDIF; 1 - updated SFCDIF
                                          1
Noah.3.6 z0 veg-type dependence option:
                                                 # 0 - off; 1 - on; dependence of CZIL in S
                                          0
Noah.3.6 Run UA snow-physics option:
                                         .false. # ".true." or ".false"
# Green vegetation fraction - by month
# - used only if "Greenness data source" above is zero
Noah.3.6 greenness fraction: 0.01 0.02 0.07 0.17 0.27 0.58
                                                                  0.93 0.96 0.65 0.24 0
# Background (i.e., snow-free) albedo - by month
  - used only for first timestep; subsequent timesteps use
     the values as computed in the previous call to "SFLX"
Noah.3.6 background albedo:
                             0.18 0.17 0.16 0.15 0.15 0.15
                                                                  0.15 0.16 0.16 0.17 0
# Background (i.e., snow-free) roughness length (m) - by month
  - used only for first timestep; subsequent timesteps use
     the values as computed in the previous call to "SFLX"
Noah.3.6 background roughness length: 0.020 0.020 0.025 0.030 0.035 0.036 0.035 0.030 0.027
Noah.3.6 reference height for forcing T and q:
                                                 2.0
                                                          # (m) -
                                                                  negative=use height from
Noah.3.6 reference height for forcing u and v: 10.0
                                                          # (m)
                                                                  negative=use height from
```

## 9.9.6 NoahMP 3.6

NOAHMP36 model timestep: specifies the timestep for NoahMP.

See Section 9.13 for a description of how to specify a time interval.

NOAHMP36 restart output interval: specifies the restart output interval for NoahMP.

See Section 9.13 for a description of how to specify a time interval.

NOAHMP36 number of soil layers: specifiles the number of soil layers for NoahMP soil moisture/temperature.

NOAHMP36 number of snow layers: specifies the number of snow layers for NoahMP snow model.

NOAHMP36 landuse\_tbl\_name: specifies the file name of the NoahMP vegetation parameter table.

NOAHMP36 soil\_tbl\_name: specifies the file name of the NoahMP soil parameter table.

NOAHMP36 gen\_tbl\_name: specifies the file name of the NoahMP general parameter table.

NOAHMP36 noahmp\_tbl\_name: specifies the file name of the NoahMP multiphysics parameter table.

NOAHMP36 landuse\_scheme\_name: specifies the NoahMP landuse scheme name (e.g. USGS, check the NoahMP land use parameter table).

NOAHMP36 soil\_scheme\_name: specifies the NoahMP soil scheme name (e.g. STAS, check NoahMP soil parameter table).

NOAHMP36 dveg\_opt: specifies the vegetation model. Acceptable values are:

#### Value Description

- 1 prescribed [table LAI, shdfac=FVEG]
- 2 dynamic
- 3 table LAI, calculate FVEG
- 4 table LAI, shdfac=maximum

NOAHMP36 crs\_opt: specifies the canopy stomatal resistance scheme. Acceptable values are:

Value Description

- 1 Ball-Berry
- 2 Jarvis

 ${\tt NOAHMP36\ btr\_opt:}\ {\tt specifies}$  the soil moisture factor for stomatal resitance. Acceptable values are:

Value Description

- 1 Noah
- 2 CLM
- 3 SSiB

NOAHMP36 run\_opt: specifies the runoff and groundwater. Acceptable values are:

Value	Description
1	SIMGM
2	SIMTOP
3	Schaake96
4	BATS

NOAHMP36 sfc\_opt: specifies surface layer drag coefficient. Acceptable values are:

Value	Description
1	M-O
2	Chen97

 ${\tt NOAHMP36\ frz\_opt:}$  specifies the supercooled liquid water scheme. Acceptable values are:

Value	Description
1	NY06
2	Koren99

NOAHMP36 inf\_opt: specifies the frozen soil permeability scheme. Acceptable values are:

Value	Description
1	NY06
2	Koren99

NOAHMP36 rad\_opt: specifies the radiation transfer scheme. Acceptable values are:

```
\begin{array}{lll} \text{Value} & \text{Description} \\ 1 & \text{gap=F(3D,cosz)} \\ 2 & \text{gap=0} \\ 3 & \text{gap=1-Fveg} \end{array}
```

NOAHMP36 alb\_opt: specifies the snow surface albedo scheme. Acceptable values are:

Value Description

- 1 BATS
- 2 CLASS

NOAHMP36 snf\_opt: specifies the rainfall and snowfall determination scheme. Acceptable values are:

Value	Description
1	Jordan91
2	BATS
3	Noah

NOAHMP36 tbot\_opt: specifies the lower boundary of soilu temperature scheme. Acceptable values are:

Value	Description
1	zero-flux
2	Noah

 ${\tt NOAHMP36\ stc\_opt:}$  specifies the snow and soil temperature time scheme. Acceptable values are:

Value	Description
1	semi-implicit
2	fully implicit

NOAHMP36 soil layer thickness: specifies the thicknesses of the NoahMP layers.

NOAHMP36 sc\_idx: specifies the NoahMP soil color type, an integer index from 1 to 8. Defaults to 4.

 $\tt NOAHMP36$  CZIL option (izOtlnd): specifes whether to use the Chen adjustment of Czil. Defaults to 0. Acceptable values are:

```
Value Description
0 do not use
1 use
```

NOAHMP36 restart file: specifies the NoahMP restart file.

 ${\tt NOAHMP36}$  restart file format: specifies the NoahMP restart file format, default: netcdf

NOAHMP36 initial albold: specifies the NoahMP initial albold (albedo of previous time step).

 ${\tt NOAHMP36}$  initial sneqvo: specifies the NoahMP initial snow mass at the last time step (mm).

NOAHMP36 initial stc: specifies the NoahMP initial soil temperature.

NOAHMP36 initial sh2o: specifies the NoahMP initial soil moisture (liquid part).

NOAHMP36 initial smc: specifies the NoahMP initial soil moisture (total).

NOAHMP36 initial tah: specifies the NoahMP initial canopy air temperature (K).

NOAHMP36 initial eah: specifies the NoahMP initial canopy air vapor pressure (Pa).

NOAHMP36 initial fwet: specifies the NoahMP initial wetted or snowed fraction of canopy.

NOAHMP36 initial canliq: specifies the NoahMP intial intercepted liquid water (mm).

NOAHMP36 initial canice: specifies the NoahMP initial intercepted ice mass (mm).

NOAHMP36 initial tv: specifies the NoahMP intial vegetation temperature (K).

NOAHMP36 initial tg: specifies the NoahMP intial ground temperature (skin temperature) (K).

NOAHMP36 initial qsnow: specifies the NoahMP intial snowfall on the ground (mm/s).

NOAHMP36 initial snowh: specifies the NoahMP initial snow depth (m).

NOAHMP36 initial sneqv: specifies the NoahMP initial snow water equivalent (mm).

NOAHMP36 initial zwt: specifies the NoahMP initial depth to water table (m).

NOAHMP36 initial wa: specifies the NoahMP initial water storage in aquifer (mm).

NOAHMP36 initial wt: specifies the NoahMP initial water in aquifer and sat-

urated soil (mm).

NOAHMP36 initial wslake: specifies the NoahMP intial lake water storage(mm).

NOAHMP36 initial lfmass: specifies the NoahMP intial leaf mass (used only for  $dveg\_opt=2)$  (g/m2).

NOAHMP36 initial rtmass: specifies the NoahMP intial mass of fine roots (g/m2).

NOAHMP36 initial stmass: specifies the NoahMP intial stem mass (g/m2).

NOAHMP36 initial wood: specifies the NoahMP intial mass of wood (including woody roots) (g/m2).

NOAHMP36 initial stblcp: specifies the NoahMP intial stable carbon in deep soil (g/m2).

NOAHMP36 initial fastcp: specifies the NoahMP intial short-lived carbon in shallow soil (g/m2).

NOAHMP36 initial lai: specifies the NoahMP intial leaf area index.

NOAHMP36 initial sai: specifies the NoahMP intial stem area index.

NOAHMP36 initial cm: specifies the NoahMP intial momentum drag coefficient (s/m).

 ${\tt NOAHMP36}$  initial ch: specifies the NoahMP intial sensible heat exchange coefficient (s/m).

NOAHMP36 initial tauss: specifies the NoahMP intial snow aging term.

NOAHMP36 initial smcwtd: specifies the NoahMP initial soil water content between bottom of the soil and water table (m3/m3).

 ${\tt NOAHMP36}$  initial deeprech: specifies the NoahMP intial recharge to or from the water table when deep (m).

NOAHMP36 initial rech: specifies the NoahMP intial recharge to or from the water table when shallow (m).

NOAHMP36 initial zlvl: specifies the NoahMP intial reference height of temperature and humidity (m).

```
NOAHMP36 model timestep: "15mn"
NOAHMP36 restart output interval: 1da
NOAHMP36 number of soil layers:
NOAHMP36 number of snow layers:
                                    3
NOAHMP36 landuse parameter table: "./input/noahmp_params/VEGPARM.TBL"
NOAHMP36 soil parameter table: "./input/noahmp_params/SOILPARM.TBL"
NOAHMP36 general parameter table: "./input/noahmp_params/GENPARM.TBL"
NOAHMP36 MP parameter table: "./input/noahmp_params/MPTABLE.TBL"
NOAHMP36 option of vegetation model: 4
NOAHMP36 option of canopy stomatal resistance: 1
NOAHMP36 option of soil moisture factor for stomatal resistance: | 1
NOAHMP36 option of runoff and groundwater: 1
NOAHMP36 option of surface layer drag coefficient: 2
NOAHMP36 option of supercooled liquid water: 1
NOAHMP36 option of frozen soil permeability: 1
NOAHMP36 option of radiation transfer: 1
NOAHMP36 option of snow surface albedo: 2
NOAHMP36 option of rainfall and snowfall: 1
NOAHMP36 option of lower boundary of soil temperature: 1
NOAHMP36 option of snow and soil temperature time scheme: 1
NOAHMP36 soil layer thickness:
                               0.1 0.3 0.6 1.0
NOAHMP36 soil color index:
NOAHMP36 CZIL option (izOtlnd): 0
NOAHMP36 zlvl:
NOAHMP36 zlvl_wind: 6.0
NOAHMP36 restart file: ./OUTPUT/opt_dveg_4/SURFACEMODEL/201212/LIS_RST_NOAHMP36_20121231210
NOAHMP36 restart file format: "netcdf"
NOAHMP36 initial albold: 0.1899999976
NOAHMP36 initial sneqvo: 0.0
NOAHMP36 initial stc: 266.09950 274.0445000 276.8954000 279.915200
NOAHMP36 initial sh2o: 0.2981597 0.2940254
                                               0.2713114
                                                            0.3070948
NOAHMP36 initial smc: 0.2981597
                                 0.2940254
                                                 0.2713114
                                                              0.3070948
NOAHMP36 initial tah: 263.94998
NOAHMP36 initial eah: 261.68518
NOAHMP36 initial fwet: 0.0
NOAHMP36 initial canliq: 0.0003935303
NOAHMP36 initial canice: 0.0000000000
NOAHMP36 initial tv: 263.6908874512
NOAHMP36 initial tg: 263.6908874512
NOAHMP36 initial qsnow: 0.0
NOAHMP36 initial snowh: 0.0010600531
NOAHMP36 initial sneqv: 0.0002095700
NOAHMP36 initial zwt: 2.5000000000
NOAHMP36 initial wa: 4900.0000000000
NOAHMP36 initial wt: 4900.0000000000
```

```
NOAHMP36 initial wslake: 0.0000000000
NOAHMP36 initial lfmass: 9.0000000000
NOAHMP36 initial rtmass: 500.000000000
NOAHMP36 initial stmass: 3.3299999237
NOAHMP36 initial wood: 500.000000000
NOAHMP36 initial stblcp: 1000.000000000
NOAHMP36 initial fastcp: 1000.000000000
NOAHMP36 initial lai: 0.5000000000
NOAHMP36 initial sai: 0.1000000015
NOAHMP36 initial cm: 0.0000000000
NOAHMP36 initial ch: 0.0000000000
NOAHMP36 initial tauss: 0.0
NOAHMP36 initial smcwtd: 0.0
NOAHMP36 initial deeprech: 0.0
NOAHMP36 initial rech: 0.0
NOAHMP36 initial zlvl: 6.0
```

#### 9.9.7 CLM 2.0

CLM model timestep: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

CLM restart output interval: defines the restart writing interval for CLM. The typical value used in the LIS runs is 24 hours (1da).

See Section 9.13 for a description of how to specify a time interval.

CLM restart file: specifies the CLM active restart file.

CLM vegetation parameter file: specifies vegetation type parameters lookup table.

CLM canopy height table: specifies the canopy top and bottom heights (for each vegetation type) look-up table.

CLM initial soil moisture: specifies the initial volumetric soil moisture wetness used in the cold start runs.

CLM initial soil temperature: specifies the initial soil temperature in Kelvin used in the cold start runs.

CLM initial snow mass: specifies the initial snow mass used in the cold start

runs.

CLM model timestep:	15mn
CLM restart output interval:	1da
CLM restart file:	./clm.rst
CLM vegetation parameter table:	./input/clm_parms/umdvegparam.txt
CLM canopy height table:	./input/clm_parms/clm2_ptcanhts.txt
CLM initial soil moisture:	0.45
CLM initial soil temperature:	290.0
CLM initial snow mass:	0.0

## 9.9.8 VIC 4.1.1

VIC411 model timestep: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

VIC411 model step interval: defines the model step interval for VIC, in seconds.

VIC uses two timestep variables to control its execution. VIC411 model step interval: corresponds to VIC's TIME\_STEP variable. VIC's VIC411 model timestep: corresponds to VIC's SNOW\_STEP variable.

For water balance mode, VIC411 model step interval: must be set to 86400.

For energy balance mode, VIC411 model step interval: must be set to VIC's VIC411 model timestep:.

Note that for both energy balance mode and water balance mode, VIC's VIC411 model timestep:, in seconds, must be both a multiple of 3600 and a factor of 86400. Simply stated VIC's VIC411 model timestep: must correspond to 1, 2, 3, 4, 6, 12, or 24 hours.

VIC411 restart output interval: defines the restart writing interval for VIC. The typical value used in the LIS runs is 24 hours (1da).

See Section 9.13 for a description of how to specify a time interval.

VIC411 veg tiling scheme: specifies whether VIC or LIS will perform vegetation-based sub-grid tiling.

For LIS sub-grid tiling, tiling is based on vegetation fractions from the landcover

file: file.

For VIC sub-grid tiling, tiling is based on vegetation fractions from the VEGPARAM file. Acceptable values are:

Value Description 0 VIC tiling 1 LIS tiling

VIC411 global parameter file: This is VIC's configuration file. Please see VIC's documentation at:

http://www.hydro.washington.edu/Lettenmaier/Models/VIC/index.shtml for more information.

VIC411 total number of veg types: specifies the number of vegetation classes in VIC's landcover dataset (VEGPARAM).

VIC411 convert units: Used for testing; set this to 1.

```
VIC411 model timestep: 1hr

VIC411 model step interval: 3600

VIC411 restart output interval: 1da

VIC411 veg tiling scheme: 1

VIC411 global parameter file: ./input/vic411_global_file_nldas2_testcase

VIC411 total number of veg types: 13

VIC411 convert units: 1
```

## 9.9.9 VIC 4.1.2

VIC412 model timestep: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

VIC412 model step interval: defines the model step interval for VIC, in seconds.

VIC uses two timestep variables to control its execution. VIC412 model step interval: corresponds to VIC's TIME\_STEP variable. VIC's VIC412 model timestep: corresponds to VIC's SNOW\_STEP variable.

For water balance mode, VIC412 model step interval: must be set to 86400.

For energy balance mode, VIC412 model step interval: must be set to VIC's

VIC412 model timestep:.

Note that for both energy balance mode and water balance mode, VIC's VIC412 model timestep:, in seconds, must be both a multiple of 3600 and a factor of 86400. Simply stated VIC's VIC412 model timestep: must correspond to 1, 2, 3, 4, 6, 12, or 24 hours.

VIC412 restart output interval: defines the restart writing interval for VIC. The typical value used in the LIS runs is 24 hours (1da).

See Section 9.13 for a description of how to specify a time interval.

VIC412 restart file: specifies the VIC 4.1.2 active restart file.

VIC412 restart file format: specifies the format for the VIC 4.1.2 restart

Value Description

file. Acceptable values are: binary binary format

netcdf netCDF format

VIC412 veg tiling scheme: specifies whether VIC or LIS will perform vegetation-based sub-grid tiling.

For LIS sub-grid tiling, tiling is based on vegetation fractions from the landcover file: file.

For VIC sub-grid tiling, tiling is based on vegetation fractions from the VEGPARAM file. Acceptable values are:

Value Description

0 VIC tiling

1 LIS tiling

VIC412 total number of veg types: specifies the number of vegetation classes in VIC's landcover dataset (VEGPARAM).

VIC412 convert units: Used for testing; set this to 1.

The VIC global parameter file is no longer needed. All configuration settings are in *lis.config* for VIC. Specifications are the same as the global parameter file of standalone VIC except option names come with a prefix "VIC412\_", in which 412 is the version number of the VIC model. For example, the number of VIC soil layers is specified as the following:

VIC412\_NLAYER: 3

See VIC's documentation at:

http://www.hydro.washington.edu/Lettenmaier/Models/VIC/index.shtml for more information about configuring VIC.

VIC412 model timestep: 1hr VIC412 model step interval: 3600 VIC412 restart file: ./vic412.rst VIC412 restart file format: "binary" VIC412 restart output interval: 1da VIC412 veg tiling scheme: 1 VIC412 total number of veg types: 13 VIC412 convert units: 1

## 9.9.10 Mosaic

Mosaic model timestep: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

Mosaic restart output interval: defines the restart writing interval for Mosaic. The typical value used in the LIS runs is 24 hours (1da).

See Section 9.13 for a description of how to specify a time interval.

Mosaic restart file: specifies the Mosaic active restart file.

Mosaic vegetation parameter table: specifies the vegetation parameters look-up table.

Mosaic monthly vegetation parameter table: specifies the monthly vegetation parameters look-up table.

Mosaic soil parameter table: specifies the soil parameters look-up table.

Mosaic number of soil classes: specifies the number of soil classes. Acceptable values are:

Value Description 11 FAO

Mosaic Depth of Layer 1 (m): specifies the depth in meters of layer 1.

Mosaic Depth of Layer 2 (m): specifies the depth in meters of layer 2.

Mosaic Depth of Layer 3 (m): specifies the depth in meters of layer 3.

Mosaic initial soil moisture: specifies the initial soil moisture.

Mosaic initial soil temperature: specifies the initial soil temperature in Kelvin.

Mosaic use forcing data observation height: specifies whether to use observation height from the forcing dataset.

## Acceptable values are:

Value Description

- 0 Do not use observation height from forcing
- 1 Use observation height from forcing

Mosaic use forcing data aerodynamic conductance: specifies whether to use aerodynamic conductance field from the forcing dataset.

# Acceptable values are:

Value Description

- 0 Do not use aerodynamic conductance from forcing data
- 1 Use aerodynamic conductance from forcing dataset

Mosaic use distributed soil depth map: specifies whether to use a distributed soil depth map.

## Acceptable values are:

Value Description

- 0 Do not use distributed soil depth map
- 1 Use distributed soil depth map

```
Mosaic model timestep:
                                            15mn
Mosaic restart output interval:
                                            1da
Mosaic restart file:
                                            ./mosaic.rst
Mosaic vegetation parameter table:
                                            ./input/mos_parms/mosaic_vegparms_umd.txt
Mosaic monthly vegetation parameter table: ./input/mos_parms/mosaic_monthlyvegparms_umd.txt
Mosaic soil parameter table:
                                            ./input/mos_parms/mosaic_soilparms_fao.txt
Mosaic number of soil classes:
                                            11
Mosaic Depth of Layer 1 (m):
                                            0.02
Mosaic Depth of Layer 2 (m):
                                            1.48
Mosaic Depth of Layer 3 (m):
                                            2.00
Mosaic initial soil moisture:
                                            0.3
```

```
Mosaic initial soil temperature: 290

Mosaic use forcing data observation height: 0

Mosaic use forcing data aerodynamic conductance: 0

Mosaic use distributed soil depth map: 0
```

## 9.9.11 HySSiB

HYSSIB model timestep: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

HYSSIB restart output interval: defines the restart writing interval for HySSiB. The typical value used in the LIS runs is 24 hours (1da).

See Section 9.13 for a description of how to specify a time interval.

HYSSIB restart file: specifies the HySSiB active restart file.

HYSSIB vegetation parameter table: specifies the HySSiB static vegetation parameter table file.

HYSSIB albedo parameter table: specifies the HySSiB static albedo parameter table file.

HYSSIB topography stand dev file: specifies the HySSiB topography standard deviation file.

HYSSIB number of vegetation parameters: specifies the number of vegetation parameters.

HYSSIB number of vegetation parameters: specifies the number of monthly vegetation parameters.

HYSSIB reference height for forcing T and q: specifies the height of the forcing T and q variables used from the forcing; specifying a negative value will use the height from the forcing data, provided it is available.

HYSSIB reference height for forcing u and v: specifies the height of the forcing u and v variables used from the forcing; specifying a negative value will use the height from the forcing data, provided it is available.

HYSSIB initial soil moisture: specifies the initial soil moisture.

HYSSIB initial soil temperature: specifies the initial soil temperature in Kelvin.

```
HYSSIB model timestep:
                                               15mn
HYSSIB restart output interval:
                                               1mo
HYSSIB restart file:
                                               ./hyssib.rst
HYSSIB vegetation parameter table:
                                               ./input/hyssib_parms/hyssib_vegparms.bin
HYSSIB albedo parameter table:
                                               ./input/hyssib_parms/hyssib_albedo.bin
HYSSIB topography stand dev file:
                                               ./input/UMD-25KM/topo_std.1gd4r
HYSSIB number of vegetation parameters:
                                               20
HYSSIB number of monthly veg parameters:
                                               11
                                                         # (m) - negative=use height from fo
HYSSIB reference height for forcing T and q:
                                               -1.0
HYSSIB reference height for forcing u and v:
                                                         # (m) - negative=use height from fo
                                               -1.0
HYSSIB initial soil moisture:
                                               0.30
HYSSIB initial soil temperature:
                                               290.0
```

#### 9.9.12 Catchment Fortuna-2\_5

CLSM F2.5 model timestep: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

CLSM F2.5 restart output interval: defines the restart writing interval for Catchment Fortuna-2.5. The typical value used in the LIS runs is 24 hours (1da).

See Section 9.13 for a description of how to specify a time interval.

CLSM F2.5 restart file: specifies the Catchment active restart file.

CLSM F2.5 top soil layer depth: specifies the top soil layer depth.

CLSM F2.5 initial soil moisture: specifies the initial volumetric soil moisture. (units  $\frac{m^3}{m^3}$ )

CLSM F2.5 initial soil temperature: specifies the initial soil temperature in Kelvin.

CLSM F2.5 fixed reference height: specifies the fixed reference height. The default value used for this height by the GMAO is 10.0 meters. This fixed value will only be used if a forcing height field is not used in LIS. If a forcing height field is not used, and the height at which the wind is observed is known, then the wind height should be used for this value. There is not a separate term

available for the height of the temperature or humidity forcing.

CLSM F2.5 turbulence scheme: specifies the turbulence scheme.

CLSM F2.5 use MODIS albedo flag: specifies whether to use the MODIS scale factor albedo. Acceptable values are:

Value Description

- 0 Do not use the MODIS albedo
- 1 Use the MODIS albedo

```
CLSM F2.5 model timestep:
                                       30mn
CLSM F2.5 restart output interval:
                                      1da
CLSM F2.5 restart file:
                                       ./clmsf25.rst
CLSM F2.5 top soil layer depth:
                                      0.02
CLSM F2.5 initial soil moisture:
                                      0.30
CLSM F2.5 initial soil temperature:
                                      290.0
CLSM F2.5 fixed reference height:
                                      10.0
CLSM F2.5 turbulence scheme:
                                      0
CLSM F2.5 use MODIS albedo flag:
                                       1
```

#### 9.9.13 GeoWRSI 2.0

WRSI CalcSOS model run mode: specifies which model run mode to run the model in, either "SOS" or "WRSI".

WRSI user input settings file: specifies the path for the WRSI model file to select user-specific WRSI and SOS settings.

WRSI crop parameter directory: specifies the path for the crop-type parameter files.

WRSI initial dekad of season: The crop growing season initial timestep (in dekads).

WRSI final dekad of season: The crop growing season final timestep (in dekads).

WRSI initial growing season year: Initial year of the first growing season for the LIS-GeoWRSI model run. For now, should match the first year in the lis.config file Starting year:.

WRSI final growing season year: Final year of the last growing season for

the LIS-GeoWRSI model run. For now, should match the final year in the lis.config file Ending year:.

WRSI number of growing seasons: Set the number of growing seasons to have GeoWRSI run over (default value is 1).

WRSI model timestep: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

WRSI restart output interval: defines the restart writing interval for WRSI. The typical value used in the LIS-WRSI runs is 10-day, or 1-dekad.

See Section 9.13 for a description of how to specify a time interval.

WRSI restart file: specifies the WRSI active restart file.

```
WRSI CalcSOS model run mode:
                                     SOS
WRSI user input settings file:
                                     ./wrsi_inputs/EA_Oct2Feb/GeoWRSI_userSettings.txt
                                     ./wrsi_inputs/crops
WRSI crop parameter directory:
WRSI initial dekad of season:
                                     25
WRSI final dekad of season:
WRSI initial growing season year:
                                     2009
WRSI final growing season year:
                                     2010
WRSI number of growing seasons:
WRSI model timestep:
                                     "1da"
WRSI restart output interval:
                                     "1da"
                                     "none"
WRSI restart file:
```

#### 9.9.14 RDHM 3.5.6

RDHM356 model timestep: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

RDHM356 restart output interval: defines the restart writing interval for RDHM356.

See Section 9.13 for a description of how to specify a time interval.

RDHM356 TempHeight: specifies the observation height of the temperature and humidity fields, in meters.

RDHM356 WindHeight: specifies the observation height of the wind field, in meters.

RDHM356 DT\_SAC\_SNOW17: specifies the timestep of SacHTET and Snow-17 in seconds. This must be RDHM356 model timestep: specified in seconds.

RDHM356 DT\_FRZ: specifies the timestep of the frozen soil model, in seconds.

RDHM356 FRZ\_VER\_OPT: specifies the version number of the frozen soil model. Acceptable values are:

Value Description
1 Old version
2 New version

Note, if set to 1, zero snow depth causes problems.

RDHM356 SNOW17\_OPT: SNOW-17 option Acceptable values are:

Value Description 1 Use Snow-17

else Do not use Snow-17

RDHM356 SACHTET\_OPT: SAC-HTET option Acceptable values are:

Value Description 1 Use Sac-HTET

else Do not use Sac-HTET

RDHM356 NSTYP: specifies the number of soil types.

RDHM356 NVTYP: specifies the number of vegetation types.

RDHM356 NDINTW: specifies the number of desired soil layers for total and liquid soil moisture.

RDHM356 NDSINT: specifies the number of desired soil layers for soil temperature.

RDHM356 NORMALIZE: specifies whether to normalize total and liquid soil moisture output. Acceptable values are:

Value Description

0 Do not normalize

1 Normalize

RDHM356 DSINTW: specifies the thickness of the desired soil layers for liquid and

total soil moisture.

RDHM356 DSINT: specifies the thickness of the desired soil layers for soil temperature.

RDHM356 PETADJ\_MON: specifies the adjustment of potential evapotranspiration for 12 months.

RDHM356 CZIL: specifies the Zilitinkevich parameter, range: [0.0, 1.0].

RDHM356 FXEXP: specifies the bare soil evaporation exponential non-linear parameter.

RDHM356 vegRCMAX: specifies the maximum stomatal resistance, in s/m.

RDHM356 PC: specifies the plant coefficient.

RDHM356 PET\_OPT: specifies the potential evapotranspiration scheme. Acceptable values are:

Value Description

< 0 Use energy-based Penman

0 Use non-Penman-based ETP

> 0 Use empirical Penman equation

RDHM356 TOPT: specifies the optimum air temperature, in Kelvin.

RDHM356 RDST: specifies the tension water redistribution scheme. Acceptable values are:

Value Description

0 Use OHD version of SRT (uses reference gradient instead of actual)

1 Use Noah version of SRT

RDHM356 thresholdRCMIN: constant for alternating RCMIN (0.5) (s/m).

RDHM356 SFCREF: specifies the reference wind speed for potential evapotranspiration adjustment, in m/s.

RDHM356 BAREADJ: specifies the Ek-Chen evaporation threshold switch.

RDHM356 SNOW17\_SWITCH: specifies liquid water freezing version. Acceptable values are:

Value Description
0 Victor's version
1 Eric's version

RDHM356 restart file: specifies the RDHM 3.5.6 active restart file.

RDHM356 restart file format: specifies the format of the RDHM 3.5.6 restart file. Acceptable values are:

Value Description

binary read/write binary restart files netcdf read/write netCDF restart files

RDHM356 tmxmn file: NetCDF file of daily maximum and minimum temperature (F).

 $\tt RDHM356$  initial UZTWC (ratio): specifies the initial upper zone tension water storage content.

RDHM356 initial UZFWC (ratio): specifies the initial upper zone free water storage content.

RDHM356 initial LZTWC (ratio): specifies the initial lower zone tension water storage content.

RDHM356 initial LZFSC (ratio): specifies the initial lower zone supplemental free water storage content.

RDHM356 initial LZFPC (ratio): specifies the initial lower zone primary free water storage content.

RDHM356 initial ADIMC (ratio): specifies the initial additional impervious area content.

RDHM356 initial TSO: specifies the initial first soil layer temperature, in Celsius.

RDHM356 initial UZTWH (ratio): specifies the initial unfrozen upper zone tension water.

RDHM356 initial UZFWH (ratio): specifies the initial unfrozen upper zone free water.

RDHM356 initial LZTWH (ratio): specifies the initial unfrozen lower zone tension water.

RDHM356 initial LZFSH (ratio): specifies the initial unfrozen lower zone supplemental free water.

RDHM356 initial LZFPH (ratio): specifies the initial unfrozen lower zone primary free water.

RDHM356 initial SMC: specifies the initial volumetric content of total soil moisture for each layer.

RDHM356 initial SH20: specifies the initial volumetric content of liquid soil moisture for each layer.

RDHM356 initial WE: specifies the initial snow water equivalent without liquid water, in mm.

RDHM356 initial LIQW: specifies the initial liquid water in snow.

RDHM356 initial NEGHS: specifies the initial negative snow heat, in mm.

RDHM356 initial TINDEX: specifies the initial antecedent temperature index.

RDHM356 initial ACCMAX: specifies the initial accumulated snow water temperature, including liquid, in Celsius.

RDHM356 initial SNDPT: specifies the initial snow depth, in cm.

RDHM356 initial SNTMP: specifies the initial average snow temperature.

RDHM356 initial SB: specifies the last highest snow water equivalent before any snow fall, in mm.

RDHM356 initial SBAESC: specifies the initial extent of snow cover during melt and new snow fall.

RDHM356 initial SBWS: specifies the initial snow water storage during melt and new snow fall, in mm.

RDHM356 initial STORAGE: specifies the initial snow liquid water attenuation storage, in mm.

RDHM356 initial AEADJ: specifies the initial adjusted areal snow cover fraction [0, 1].

RDHM356 initial EXLAG: specifies the initial array of lagged liquid water values.

RDHM356 initial NEXLAG: specifies the number of coordinates in the lagged liquid water array.

RDHM356 initial TA\_PREV: specifies the air temperature of previous timestep, in Celsius.

```
RDHM356 model timestep:
                                "1hr"
RDHM356 restart output interval: "1hr"
RDHM356 TempHeight:
                                 2.0
RDHM356 WindHeight:
                                10.0
RDHM356 DT_SAC_SNOW17:
                                3600
RDHM356 DT_FRZ:
                                1800
RDHM356 FRZ_VER_OPT:
                                1
RDHM356 SNOW17_OPT:
                                1
RDHM356 SACHTET_OPT:
                                1
RDHM356 NSTYP:
                                12
RDHM356 NVTYP:
                                14
RDHM356 NDINTW:
                                5
RDHM356 NDSINT:
                                5
RDHM356 NORMALIZE:
                                1
RDHM356 DSINTW:
                                0.05 0.25 0.60 0.75 1.00
                                0.05 0.25 0.60 0.75 1.00
RDHM356 DSINT:
RDHM356 PETADJ_MON:
                                RDHM356 CZIL:
                                0.12
RDHM356 FXEXP:
                                2.0
RDHM356 vegRCMAX:
                                5000
RDHM356 PC:
                                -1
RDHM356 PET_OPT:
                                -1
RDHM356 TOPT:
                                298
RDHM356 RDST:
                                1
                                0.5
RDHM356 thresholdRCMIN:
RDHM356 SFCREF:
                                4.0
RDHM356 BAREADJ:
                                0.230000004
RDHM356 SNOW17_SWITCH:
RDHM356 restart file:
                                "none"
RDHM356 restart file format:
                                "netcdf"
                                "none"
RDHM356 tmxmn file:
RDHM356 initial UZTWC (ratio):
                                0.55
RDHM356 initial UZFWC (ratio):
                                0.14
RDHM356 initial LZTWC (ratio):
                                0.56
RDHM356 initial LZFSC (ratio):
                                0.11
RDHM356 initial LZFPC (ratio):
                                0.46
RDHM356 initial ADIMC (ratio):
                                1.0
RDHM356 initial TSO:
                                4.0
RDHM356 initial UZTWH (ratio):
                                0.1
```

```
RDHM356 initial UZFWH (ratio):
                                 0.1
RDHM356 initial LZTWH (ratio):
                                 0.1
RDHM356 initial LZFSH (ratio):
                                 0.1
RDHM356 initial LZFPH (ratio):
                                 0.1
RDHM356 initial SMC:
                                 0.35 0.35 0.35 0.35 0.35
RDHM356 initial SH20:
                                 0.35 0.35 0.35 0.35 0.35 0.35
RDHM356 initial WE:
RDHM356 initial LIQW:
                                 0
RDHM356 initial NEGHS:
                                 0
                                 0
RDHM356 initial TINDEX:
                                 0
RDHM356 initial ACCMAX:
                                 0
RDHM356 initial SNDPT:
RDHM356 initial SNTMP:
                                 0
                                 0
RDHM356 initial SB:
RDHM356 initial SBAESC:
                                 0
RDHM356 initial SBWS:
RDHM356 initial STORAGE:
RDHM356 initial AEADJ:
RDHM356 initial EXLAG:
                                 0 0 0 0 0 0 0
                                 7
RDHM356 initial NEXLAG:
RDHM356 initial TA_PREV:
                                 0
```

#### 9.10 Irrigation

Irrigation scheme: specifies the name of the irrigation scheme to use. Acceptable values are:

Value Description

none No irrigation scheme Sprinkler Demand sprinkler scheme Flood Demand flood scheme

Irrigation output interval: defines the output writing interval for irrigation.

See Section 9.13 for a description of how to specify a time interval.

Irrigation threshold: defines the irrigation trigger threshold for the flood and sprinkler irrigation schemes.

Sprinkler irrigation max root depth file: specifies the location of the max root depth file for sprinkler irrigation.

Flood irrigation max root depth file: specifies the

Drip irrigation max root depth file: specifies the location of the max root depth file for flood irrigation.

Irrigation scheme: "none"
Irrigation output interval: "1da"
Irrigation threshold: 0.50
Sprinkler irrigation max root depth file:
Flood irrigation max root depth file:
Drip irrigation max root depth file:

#### 9.11 Routing

#### 9.11.1 HYMAP routing

HYMAP routing model time step: specifies the timestep for the run.

See Section 9.13 for a description of how to specify a time interval.

HYMAP routing model output interval: defines the output writing interval for the HYMAP router.

See Section 9.13 for a description of how to specify a time interval.

HYMAP run in ensemble mode: specifies whether to run in ensemble mode. Acceptable values are:

Value Description

0 Do not run in ensemble mode

1 Run in ensemble mode

HYMAP routing method: specifies the HYMAP routing method to use. Acceptable values are:

Value Description

kinematic use kinematic method diffusive use diffusive method

HYMAP routing model linear reservoir flag: specifies whether to use model linear reservoir. Acceptable values are:

Value Description

- 1 Use
- 2 Do not use

HYMAP routing model evaporation option: specifies whether to compute evaporation in flood plains. Acceptable values are:

Value Description

- 1 Compute evaporation in flood plains
- 2 Do not compute evaporation in flood plains

HYMAP routing model start mode: specifies if a restart mode is being used. Acceptable values are:

Value Description

restart A restart mode is being used

coldstart A cold start mode is being used, no restart file read

HYMAP routing model restart interval: defines the restart writing interval for the HYMAP router.

See Section 9.13 for a description of how to specify a time interval.

HYMAP routing model restart file: specifies the HYMAP router active restart file.

HYMAP routing LIS output directory: specifies the name of the top-level output directory for HYMAP router. Acceptable values are any 40 character string.

```
HYMAP routing model time step:
HYMAP routing model output interval:
HYMAP run in ensemble mode:
HYMAP routing method:
HYMAP routing model linear reservoir flag:
HYMAP routing model evaporation option:
HYMAP routing model start mode:
HYMAP routing model restart interval:
HYMAP routing model restart file:
HYMAP routing LIS output directory:
```

#### 9.11.2 NLDAS routing

NLDAS routing model output interval: defines the output writing interval for the NLDAS router.

See Section 9.13 for a description of how to specify a time interval.

NLDAS routing model restart interval: defines the restart writing interval for the NLDAS router.

See Section 9.13 for a description of how to specify a time interval.

NLDAS routing internal unit hydrograph file: specifies the internal unit hydrograph file.

NLDAS routing transport unit hydrograph file: specifies the transport unit hydrograph file.

NLDAS routing coordinates order file: specifies the coordinates order file.

NLDAS routing initial condition for runoff: specifies the initial condition for runoff file.

NLDAS routing initial condition for transport: specifies the initial condition for transport file.

NLDAS routing model start mode: specifies if a restart mode is being used. Acceptable values are:

Value Description

restart A restart mode is being used

coldstart A cold start mode is being used, no restart file read

NLDAS routing model restart file: specifies the NLDAS router active restart file.

```
NLDAS routing model output interval:
NLDAS routing model restart interval:
NLDAS routing internal unit hydrograph file:
NLDAS routing transport unit hydrograph file:
NLDAS routing coordinates order file:
NLDAS routing initial condition for runoff:
NLDAS routing initial condition for transport:
NLDAS routing model start mode:
```

```
NLDAS routing model restart file:
```

#### 9.12 Model output configuration

The output start time is used to define when to begin writing model output. Any value not defined will default to the corresponding LIS start time. The output start time does not affect restart writing. Restart files are written according to the LIS start time and the model restart output interval value.

The output start time is specified in the following format:

Variable	Value	Description
Output start year:	integer 2001 – present	specifying output start year
Output start month:	integer $1-12$	specifying output start month
Output start day:	integer $1-31$	specifying output start day
Output start hour:	integer $0-23$	specifying output start hour
Output start minutes:	integer $0-59$	specifying output start minute
Output start seconds:	integer $0-59$	specifying output start second

Writing output may be restricted to a specified time with respect to any year. To restrict output to a specified time, you must set Output at specific time only: to 1, and then you must specify the specific output writing time. If you choose not to restrict output writing to a specified time, then you do not have to set the specific output writing time variables.

Output at specific time only: specifies whether to write output only at a specified time. Defaults to 0. Acceptable values are:

```
Value Description
0 Do not restrict output to a specified time
1 Restrict output to a specified time
```

The specific output writing time is specified in the following format:

```
Variable
                                            Value
                                                          Description
Specific output writing time (month):
                                           integer 1 - 12
                                                          specifying output month
Specific output writing time (day):
                                                          specifying output day
                                           integer 1 - 31
Specific output writing time (hour):
                                           integer 0-23
                                                          specifying output hour
Specific output writing time (minute):
                                           integer 0-59
                                                          specifying output minute
Specific output writing time (second):
                                           integer 0-59
                                                          specifying output second
```

```
Output start year:
Output start month:
```

```
Output start day:
Output start hour:
Output start minutes:
Output start seconds:
Output at specific time only:
Specific output writing time (month):
Specific output writing time (day):
Specific output writing time (hour):
Specific output writing time (minute):
Specific output writing time (second):
```

Model output attributes file: specifies the attributes to be used for a customizable model output. Please refer to the sample MODEL\_OUTPUT\_LIST.TBL file for the complete specification.

```
Model output attributes file: './MODEL_OUTPUT_LIST.TBL'
```

#### 9.13 Defining a time interval

Time interval values must be entered in a format where the timestep value is followed by 2 character string indicating the time units.

Examples include: 60ss, 30mn, 2hr, 0.5da

Acceptable values for the timestep units are:

Value Description
ss seconds
mn minutes
hr hours
da days
mo months
yr years

Units of months assumes a 30-day month.

Units of years assumes a 365-day year.

## 10 Specification of Input Forcing Variables

This section defines the specification of the input forcing variables for LIS. This file is specified in a space delimited column format. Each row consists of the following entries:

Short Name short name of the forcing variable.

Use option determines whether to include this the variable for use within LIS Acceptable values are:

```
Value Description
0 do not include the variable
1 include the variable
```

Number of vertical levels The number of vertical levels corresponding to the variable.

Units specified unit of the variable.

Note that this is a full list of input forcing variables. Not all models use all these variables.

Note that being listed in the *forcing\_variables.txt* file does not guarantee that the field will be available within LIS. Availablity depends on the support provided by the base forcing and supplemental forcing schemes selected in the *lis.config* run-time configuration file.

```
#short name select vlevels units
                         # Near surface air temperature
Tair:
           1 1 K
Qair:
           1 1 kg/kg
                         # Near surface specific humidity
SWdown:
           1 1
                 W/m2
                         # Incident shortwave radiation (total)
                 W/m2
SWdirect:
           0 1
                         # Incident shortwave radiation (direct)
SWdiffuse: 0 1
                 W/m2
                         # Incident shortwave radiation (diffuse)
LWdown:
           1 \quad 1 \quad W/m2
                         # Incident longwave radiation
           1 1 W/m2
                         # Eastward wind
Wind_E:
Wind_N:
           1 1
                 m/s
                         # Northward wind
Psurf:
           1 1 Pa
                         # Surface pressure
           1 1 kg/m2s # Rainfall rate
Rainf:
                 kg/m2s # Snowfall rate
           0 1
Snowf:
CRainf:
           1 1 kg/m2s # Convective rainfall rate
Forc_Hgt:
           0 1 m
                         # Height of forcing variables
                         # Surface exchange coefficient for heat
Ch:
           0 1 -
           0 1 -
                         # Surface exchange coefficient for momentum
Cm:
```

```
# Saturated mixing ratio
Q2sat:
Emiss:
             0 1 -
                           # Surface emissivity
Cosz:
            0 1 -
                            # Cosine of zenith angle
            0 1 -
Albedo:
                            # Surface albedo
PARDR: 0 1 - # Photosyntheti
PARDF: 0 1 - # Photosyntheti
SWnet: 0 1 - # Net Shortwave
PET: 0 1 kg/m2s # Potential ET
RefET: 0 1 kg/m2s # Reference ET
CAPE: 0 1 J/kg # Convective Av
                            # Photosynthetically Active Direct Radiation
                             # Photosynthetically Active Diffuse Radiation
                             # Net Shortwave Radiation at the Surface
                             # Convective Available Potential Energy
LPressure: 0 1 Pa
                             # Level pressure
        0 1 -
03:
                             # Ozone concentration
Xice: 0 1 - # Sea ice mask QSFC: 0 1 kg/kg # Surface specific humidity CHS2: 0 1 - # 2m Surface Exchange Coefficients
                            # 2m Surface Exchange Coefficient for Heat
           0 1 -
                             # 2m Surface Exchange Coefficient for Moisture
CQS2:
T2:
            0 1 K
                            # 2m Air Temperature
            0 1 kg/kg # 2m Specific Humidity
Q2:
TH2:
            0 1 K
                             # 2m Potential Temperature
            0 1 K
TMN:
                             # Soil Temperature at Lower Boundary
Snowflag: 0 1 -
                             # Snowflag
Density:
           0 1 kg/m3
                             # Atmospheric Density
VaporPress: 0 1 Pa
                             # Vapor Pressure
VaporPressDeficit: 0 1 Pa # Vapor Pressure Deficit
Wind:
             0 	 1 	 m/s
                             # Wind Speed
```

## 11 Model Output Specifications

This section defines the specification of the model output from LIS. This file is specified in a space delimited column format. Each row consists of the following entries:

Short Name specifies the ALMA compliant short name of the variable.

Use option specifies whether to write the variable. Acceptable values are:

Value	Description
0	do not write the variable
1	write the variable

Units: specifies the desired units of the output variable. You must check the source code to determine all the units that are available for each output variable.

Sign Convention: specifies the direction in which the variable is considered to have positive values. Note that the land models in LIS employ the "traditional approach" where all variables are considered positive in their dominant direction. i.e. precipitation and radiation are positive towards the surface (downward), evaporation, sensible heat and runoff are positive away from the surface.

Acceptable values are:

Value		Description
-		No sign
UP	DN	Up or Down (Used for fluxes, Precip)
IN	OUT	In or Out of the grid cell (Used for runoff, baseflow)
INC	DEC	Increase or Decrease (Used for change in storager terms)
S2L	L2S	Solid to Liquid and Liquid to Solid (for phase change terms)
S2V	V2S	Solid to Vapor and Vapor to Solid (for phase change terms)
$\mathbf{E}$	N	Eastward and Northward (used for Wind components)

Time Average option determines how temporally process the variable. Acceptable values are:

Value	Description
0	Instantaneous output
1	Time averaged output
2	Instantaneous and Time averaged output
3	Accumulated output

Min/Max option determines whether to record minimum and maximum values

for the variable. For a given grid-cell, the minimum and maximum values correspond to the minimum and maximum found for all subgrid tiles and ensembles contained in the grid-cell during that output interval. Acceptable values are:

Value Description

0 Do not compute minimum and maximum values

1 Do compute minimum and maximum values

Standard Deviation option determines whether to record the standard deviation of the values for the variable. For a given grid-cell, the standard deviation is a measure of the spread of the subgrid tiles and ensembles contained within the grid-cell from the grid-cell's mean. Acceptable values are:

Value Description

0 Do not compute standard deviation

1 Do compute standard deviation

Number of vertical levels specifies the number of vertical levels corresponding to the variable.

grib ID specifies the grib ID to be used for the variable when output is written in grib1 format.

grib scale factor specifies the grib scale factor to be used for the variable when output is written in grib1 format.

Note that this is a full list of output variables. Not all models support all these variables. You must check the source code to verify that the model you want to run supports the variables that you want to write.

#short\_name select? units signconv timeavg? min/max? std? vert.levels grib\_id grib\_scalefactor longname

```
#Energy balance components
                             1 0 0 1 111 10
                                                 # Net shortwave radiation (W/m2)
             1 W/m2
                             1 0 0 1 112 10
Lwnet:
             1 W/m2
                        DN
                                                 # Net longwave radiation (W/m2)
Qle:
             1 W/m2
                        UP
                             1 0 0 1 121 10
                                                 # Latent heat flux (W/m2)
                        UP
Qh:
             1 W/m2
                             1 0 0 1 122 10
                                                 # Sensible heat flux (W/m2)
                        DN
                             1 0 0 1 155 10
                                                 # Ground heat flux (W/m2)
                W/m2
Qg:
             1
Qf:
             0
                W/m2
                        S2L
                             1 0 0 1 229 10
                                                 # Energy of fusion (W/m2)
                        S2V 1 0 0 1 198 10
                                                 # Energy of sublimation (W/m2)
             0 W/m2
Ov:
             0 W/m2
                             1 0 0 1 136 10
                                                  # Advective energy (W/m2)
                        DN
             0 N/m2
                        DN
                             1 0 0 1 172 10
                                                 # Momentum flux (N/m2)
Otau:
DelSurfHeat: 0
                J/m2
                        INC
                             1 0 0 1 137 10
                                                 # Change in surface heat storage (J/m2)
DelColdCont:
             0
                J/m2
                        INC
                             1 0 0 1 138 10
                                                 # Change in snow cold content (J/m2)
                             1 0 1 1 256 10
                                                 # Bowen ratio
BR:
             0
EF:
             0
                              1 0 1 1 256 10
                                                 # Evaporative fraction
Rnet:
             0 W/m2
                        DN
                             1 0 1 1 256 10
                                                  # Total net radiation
#Water balance components
Snowf:
             1 kg/m2s DN
                             1 0 0 1 161 10000
                                                 # Snowfall rate (kg/m2s)
```

```
1 kg/m2s DN 1 0 0 1 162 10000
Rainf:
                                                # Rainfall rate (kg/m2s)
RainfConv:
             1 kg/m2s DN
                            1 0 0 1 63 10000
                                                # Convective rainfall rate (kg/m2s)
TotalPrecip: 1 kg/m2s DN
                            1 0 0 1 61 10000
                                                # Total precipitation rate (kg/m2s)
          1 kg/m2s UP 1 0 0 1 57 10000
                                                # Total evapotranspiration (kg/m2s)
            1 kg/m2s OUT 1 0 0 1 235 10000
                                                # Surface runoff (kg/m2s)
Qs:
            0 kg/m2s IN 1 0 0 1 163 10000
1 kg/m2s OUT 1 0 0 1 234 10000
Qrec:
                                                # Recharge (kg/m2s)
                                                # Subsurface runoff (kg/m2s)
Qsb:
            0 kg/m2s S2L 1 0 0 1 99 10000
Qsm:
                                                 # Snowmelt (kg/m2s)
Qfz:
            0 kg/m2s L2S 1 0 0 1 130 10000
                                                 # Refreezing of water in the snowpack (kg/m2s)
Qst: 0 kg/m2s - 1 0 0 1 131 10000
DelSoilMoist: 0 kg/m2 INC 1 0 0 1 132 10000
DelSWE: 0 kg/m2 INC 1 0 0 1 133 1000
                                                # Snow throughfall (kg/m2s)
                                                 # Change in soil moisture (kg/m2)
                                                \# Change in snow water equivalent (kg/m2)
DelSurfStor: 0 kg/m2 INC 1 0 0 1 134 1000
                                                 # Change in surface water storage (kg/m2)
DelIntercept: 0 kg/m2 INC 1 0 0 1 135 1000
                                                # Change in interception storage (kg/m2)
             0 -
                             1 0 0 1 52 10
                                                 # Minimum 2-meter relative humidity (-)
RHMin:
Ch:
             0 -
                             1 0 0 1 208 10
                                                 # Surface exchange coefficient for heat
             0 -
                             1 0 0 1 252 10
Cm:
                                                # Surface exchange coefficient for momentum
#Surface state variables
        0 K -
                             1 0 0 1 165 10
                                                # Snow surface temperature (K)
SnowT:
VegT:
             0 K
                             1 0 0 1 146 10
                                                # Vegetation canopy temperature (K)
BareSoilT: 0 K
                           1 0 0 1 147 10
                                                # Temperature of bare soil (K)
AvgSurfT: 1 K
                           1 0 0 1 148 10
                                                 # Average surface temperature (K)
           O K
                            1 0 0 1 149 10
RadT:
                                                 # Surface radiative temperature (K)
Albedo:
             1 -
                             0 0 0 1 84 100
                                                 # Surface albedo (-)
             1 kg/m2 -
                             0 0 0 1 65 1000
                                                 # Snow Water Equivalent (kg/m2)
SWE:
             0 kg/m2 -
                                                \# SWE intercepted by vegetation (kg/m2)
SWEVeg:
                             1 0 0 1 139 1000
SurfStor:
             0 \text{ kg/m2} -
                             1 0 0 1 150 1000
                                                # Surface water storage (kg/m2)
#Subsurface state variables
                             0 0 0 4 86 1000
SoilMoist: 1 kg/m2 -
                                                 # Average layer soil moisture (kg/m2)
             1 K
SoilTemp:
                             0 0 0 4 85 1000
                                                 # Average layer soil temperature (K)
SmLiqFrac:
             0 -
                             0 0 0 4 160 100
                                                # Average layer fraction of liquid moisture (-)
SmFrozFrac: 0 -
                             0 0 0 4 140 100
                                                # Average layer fraction of frozen moisture (-)
             0 -
SoilWet:
                             0 0 0 1 144 100
                                                 # Total soil wetness (-)
                             0 0 0 4 141 1000
RelSMC:
             0 m3/m3 -
                                                 # Relative soil moisture
                             0 0 0 1 142 1000
RootTemp:
                                                 # Rootzone temperature (K)
#Evaporation components
         0 kg/m2s UP
PotEvap:
                            1 0 0 1 145 1
                                                # Potential evapotranspiration (kg/m2s)
            0 kg/m2s UP
                             1 0 0 1 200 1
ECanop:
                                                 # Interception evaporation (kg/m2s)
TVeg:
            0 kg/m2s UP
                            1 0 0 1 210 1
                                                 # Vegetation transpiration (kg/m2s)
           0 kg/m2s UP
                            1 0 0 1 199 1
                                                # Bare soil evaporation (kg/m2s)
ESoil:
EWater:
             0 kg/m2s UP
                             1 0 0 1 197 1
                                                 # Open water evaporation (kg/m2s)
RootMoist: 0 kg/m2 - CanopInt: 0 kg/m2 -
                             0 0 0 1 171 1
                                                # Root zone soil moisture (kg/m2)
                             0 0 0 1 223 1000
                                                # Total canopy water storage (kg/m2)
EvapSnow: 0 kg/m2s -
                             1 0 0 1 173 1000
                                                 # Snow evaporation (kg/m2s)
SubSnow: 0 kg/m2s -
                             1 0 0 1 198 1000
                                                # Snow sublimation (kg/m2s)
            0 kg/m2s -
0 m/s -
SubSurf:
                                                # Sublimation of the snow free area (kg/m2s)
                             1 0 0 1 143 1000
ACond:
                             1 0 0 1 179 100000 # Aerodynamic conductance
CCond:
            0 m/s
                             1 0 0 1 181 100000 # Canopy conductance
SoilET:
             0 \text{ kg/m2} -
                             1 0 0 1 256 1
                                                 # Soil evaporation
                                                # Aerodynamic resistance
             0 s/m
                             1 0 0 1 256 1
AResist:
#Other hydrologic variables
WaterTableD: 0 m -
                             0 0 0 1 174 1
                                                # Water table depth (m)
TWS:
             O mm
                             0 0 0 1 175 1
                                                 # Terrestrial water storage (mm)
```

```
#Cold season processes
                           0 0 0 1 238 100
                                             # Snow cover (-)
Snowcover: 0 -
SAlbedo:
            0 -
                           0 0 0 1 184 1000
                                              # Albedo of the snow-covered area (-)
SAlbedo:
SnowTProf: 0 K
SnowDepth: 0 m
                           0 0 0 1 239 1000
                                             # Temperature of the snow pack (K)
                           0 0 0 1 66 1000
                                              # Snow depth (m)
            0 -
                           0 0 0 1 185 1000
                                              # Fraction of SWE in the liquid phase
SLiqFrac:
#Variables to compared against remote sensed data
            0 W/m2 UP 1 0 0 1 212 1
                                              # Longwave radiation up from the surface (W/m2)
#Carbon variables
GPP:
            0 kg/m2s2 DN 1 0 0 1 256 1
                                              # Gross Primary Production
NPP:
            0 kg/m2s2 DN 1 0 0 1 256 1
                                              # Net Primary Production
NEE:
            0 kg/m2s2 UP
                           1 0 0 1 256 1
                                             # Net Ecosystem Exchange
            0 kg/m2s2 UP
AutoResp:
                           1 0 0 1 256 1
                                              # Autotrophic respiration
HeteroResp: 0 kg/m2s2 UP
                           1 0 0 1 256 1
                                             # Heterotrophic respiration
LeafResp: 0 kg/m2s2 UP
                           1 0 0 1 256 1
                                             # Leaf respiration
TotSoilCarb: 0 kg/m2 -
                           1 0 0 1 256 1
                                             # Total soil carbon
TotLivBiom: 0 kg/m2 -
                           1 0 0 1 256 1
                                             # Total living biomass
#Forcings
Wind_f:
          1 m/s
                           1 0 0 1 32 10
                                              # Near surface wind (m/s)
         1 kg/m2s DN
                           1 0 0 1 162 1000
Rainf f:
                                              # Average rainfall rate
           0 kg/m2s DN
Snowf_f:
                           1 0 0 1 161 1000
                                              # Average snowfall rate
           1 kg/m2 DN
                           1 0 0 1 63 1000
CRainf_f:
                                              # Average convective rainfall rate
           1 K
Tair f:
                           1 0 0 1 11 10
                                             # Near surface air temperature
Qair_f:
           1 kg/kg -
                           1 0 0 1 51 1000
                                              # Near surface specific humidity
Psurf_f:
                           1 0 0 1 1 10
                                             # Surface pressure
           1 Pa
           1 W/m2
                      DN
                           1 0 0 1 204 10
                                             # Surface incident shortwave radiation
SWdown_f:
            1 W/m2
                          1 0 0 1 205 10
LWdown_f:
                      DN
                                             # Surface incident longwave radiation
           O W/m2 DN
PARDR_f:
                          1 0 0 1 256 10
                                             # Surface incident PAR direct
PARDF_f:
           0 W/m2 DN 1 0 0 1 256 10
                                             # Surface incident PAR diffuse
#Additional forcings
DirectSW_f: 0 W/m2 -
                           1 0 0 1 166 10
                                              # Surface direct incident shortwave radiation
DiffuseSW_f: 0 W/m2 -
                           1 0 0 1 167 10
                                              # Surface diffuse incident shortwave radiation
                         1 0 0 1 34 10
NWind_f: 0 m/s N
                                              # Northward wind
            0 m/s
EWind_f:
                      Ε
                           1 0 0 1 33 10
                                             # Eastward wind
FHeight_f: 0 m
                           1 0 0 1 256 10
                                             # Height of forcing variables
            0 -
                         1 0 0 1 208 10
                                              # Surface exchange coefficient for heat
Ch_f:
Cm_f:
            0 -
                          1 0 0 1 252 10
                                              # Surface exchange coefficient for momentum
           0 -
                          1 0 0 1 256 10
                                             # Surface emissivity
Emiss_f:
                         1 0 0 1 53 10
MixRatio_f: 0 kg/kg -
                                             # Surface mixing ratio
CosZenith_f: 0 -
Albedo_f: 0 -
                           1 0 0 1 256 10
                                             # Cosine of zenith angle
                          1 0 0 1 84 10
                                             # Surface albedo
CAPE_f:
            0 J/kg -
                          1 0 0 1 157 10
                                              # Convective Available Potential Energy
           0 m
0 K
Z0brd:
                           1 0 0 1 256 1
                                              # ZObrd
T2diag:
                           1 0 0 1 256 1
                                              # Diagnostic t2
Q2diag:
            0 kg/kg
                           1 0 0 1 256 1
                                             # Diagnostic q2
Snowflag_f: 0 -
                           1 0 0 1 256 1
                                              # Snowflag
Density_f:
            0 kg/m3
                         1 0 0 1 256 1
                                              # Atmospheric density
VaporPress_f: 0 -
                           1 0 0 1 256 1
                                              # Vapor pressure
VaporPressDeficit_f: 0 - - 1 0 0 1 256 1
                                              # Vapor pressure deficit
#Additional FEWSNET Forcings
```

# Average PET rate

0 0 0 1 228 1000

PET\_f:

0 kg/m2s -

```
0 \text{ kg/m2s} -
                              0 0 0 1 256 1000
RefET_f:
                                                  # Average RefET rate
TotalPrecip_f: 0 kg/m2 DN
                              0 0 0 1 256 1000
                                                  # Total precipitation
#Parameters
                              0 0 0 1 81 1
              0 -
                                                  # Land mask (0 - Water, 1 - Land)
Landmask:
Landcover:
                              0 0 0 1 225 1
                                                  # Land cover
             0 -
                                                  # Soil type
                              0 0 0 1 224 1
Soiltype:
SandFrac:
                              0 0 0 1 256 1
                                                 # Sand fraction
ClayFrac: 0 -
                             0 0 0 1 256 1
                                                 # Clay fraction
SiltFrac: 0 -
                              0 0 0 1 256 1
                                                 # Silt fraction
             0 -
                              0 0 0 1 240 1
                                                  # Porosity
Porosity:
Soilcolor: 0 -
                             0 0 0 1 256 1
                                                  # Soil color
Elevation: 0 m
                              0 0 0 1 196 10
                                                  # Elevation
                                                  # Slope
             0 -
                              0 0 0 1 222 10
Slope:
LAI:
              0 -
                              0 0 0 1 182 100
                                                  # LAI
              0 -
SAI:
                              0 0 0 1 256 100
                                                  # SAT
Snfralbedo: 0 -
                             0 0 0 1 184 100
                                                  # Snow fraction albedo
Mxsnalbedo: 0 -
                              0 0 0 1 159 100
                                                  # Maximum snow albedo
Greenness: 0 -
                             0 0 0 1 87 100
                                                  # Greenness
Roughness:
              O m
                              0 0 0 1 83 10
                                                  # Roughness
Tempbot:
              0 K
                              0 0 0 1 256 10
                                                  # Bottom soil temperature
#Routing
Streamflow: 0 m3/s
                              1 0 0 1 256 10
                                                  # Streamflow
#VIC PET output
vic_pet_satsoil: 0 kg/m2s -
                                  1 0 0 1 166 1
                                                 # Potential evap from saturated bare soil
vic_pet_h2osurf: 0 kg/m2s -
                                  1 0 0 1 166 1
                                                  # Potential evap from open water
vic_pet_short: 0 kg/m2s -
                                  1 0 0 1 166 1
                                                 # Potential evap (transpiration only) from short reference crop
vic_pet_tall: 0 kg/m2s -
vic_pet_natveg: 0 kg/m2s -
                                  1 0 0 1 166 1
                                                 # Potential evap (transpiration only) from tall reference crop
                                  1 0 0 1 166 1
                                                 # Potential evap (transpiration only) from current vegetation a
vic_pet_vegnocr: 0 kg/m2s - 1 0 0 1 166 1 # Potential evap (transpiration only) from current vegetation a
#FLDAS-WRSI components
                                 - - 0 0 0 1 0 10 # Start-of-season [in dekads]
                                 - - 0 0 0 1 0 10 # Water requirement satisfaction index [ratio]
WRSI:
                          0
KF2:
                                 % - 0 0 0 1 0 10 # Percent of Season [%]
SumWR:
                          0 kg/m2 - 0 0 0 1 0 10 # Sum of Water Requirement [mm]
                          0 kg/m2 - 0 0 0 1 0 10 # Sum of Evapotranspiration [mm]
SumET:
                                 % - 0 0 0 1 0 10 # Soil Water Index [%]
- - 0 0 0 1 0 10 # Start-of-season Anomaly [in dekads]
SWI:
SOSa.
TotalSurplusWater:
                          0 kg/m2 - 0 0 0 1 0 10 # Total surplus water [mm]
                          0 kg/m2 - 0 0 0 1 0 10 # Max surplus water experienced in 1 dekad [mm]
MaxSurplusWater:
TotalWaterDeficit:
                          0 kg/m2 - 0 0 0 1 0 10 # Total water deficit [mm]
MaxWaterDeficit:
                            kg/m2 - 0 0 0 1 0 10 # Max water deficit experienced in 1 dekad [mm]
TotalAETInitial:
                          0 kg/m2 - 0 0 0 1 0 10 # Actual evapotranspiration \tilde{} Initial stage [mm]
                          0 kg/m2 - 0 0 0 1 0 10 # Water requirement \tilde{} Initial stage [mm]
TotalWRInitial:
TotalSurplusWaterInitial: 0 kg/m2 - 0 0 0 1 0 10 # Surplus water ~ Initial stage [mm]
\label{lem:condition} Total Water Deficit Initial: 0 \quad kg/m2 - 0 \ 0 \ 1 \ 0 \ 10 \ \# \ Water \ deficit \ \~{} \ Initial \ stage \ [mm]
                          0 kg/m2 - 0 0 0 1 0 10 # Actual evapotranspiration \sim Vegetative stage [mm]
TotalAETVeg:
                          0 kg/m2 - 0 0 0 1 0 10 # Water requirement ~ Vegetative stage [mm]
TotalWRVeg:
                          0 kg/m2 - 0 0 0 1 0 10 # Surplus water ~ Vegetative stage [mm]
TotalSurplusWaterVeg:
TotalWaterDeficitVeg:
                          0 kg/m2 - 0 0 0 1 0 10 # Water deficit ~ Vegetative stage [mm]
TotalAETFlower:
                            kg/m2 - 0 0 0 1 0 10 # Actual evapotranspiration ~ Flowering stage [mm]
                          0 kg/m2 - 0 0 0 1 0 10 # Water requirement ~ Flowering stage [mm]
TotalWRFlower:
TotalSurplusWaterFlower: 0 kg/m2 - 0 0 0 1 0 10 # Surplus water ~ Flowering stage [mm]
TotalWaterDeficitFlower: 0 kg/m2 - 0 0 0 1 0 10 # Water deficit ~ Flowering stage [mm]
```

```
0 kg/m2 - 0 0 0 1 0 10 # Actual evapotranspiration \tilde{} Ripening stage [mm]
TotalAETRipe:
TotalWRRipe:
                          kg/m2 - 0 0 0 1 0 10 # Water requirement ~ Ripening stage [mm]
                          kg/m2 - 0 0 0 1 0 10 # Surplus water ~ Ripening stage [mm]
TotalSurplusWaterRipe:
                          kg/m2 - 0 0 0 1 0 10 # Water deficit ~ Ripening stage [mm]
TotalWaterDeficitRipe:
                              - - 0 0 0 1 0 10 # Permanent wilting date [dekad]
PermWiltDate:
                       0
Wilting1:
                             - - 0 0 0 1 0 10 # First wilting date [dekad]
                             -- 0 0 0 1 0 10 # Second wilting date [dekad]
Wilting2:
                       0
WRSIa:
                             --0001010#WRSI anomaly [-]
                             - - 0 0 0 1 0 10 # Growing season [season-year]
growing_season:
                       0
                       0 kg/m2 - 0 0 0 1 0 10 # Water holding capacity; parameter [mm]
WHC:
LGP:
                            -- 0 0 0 1 0 10 # Length of growing period; parameter [dekad]
WR_TimeStep:
                       0 kg/m2 - 0 0 0 1 0 10 # Water requirement per timestep(dekad) [mm]
AET_TimeStep:
                       0 kg/m2 - 0 0 0 1 0 10 # Actual ET per timestep [mm]
WRSI_TimeStep:
                       0
                             --0001010#WRSI per timestep [-]
SurplusWater_TimeStep:
                       0 kg/m2 - 0 0 0 1 0 10 # Surplus water per timestep [mm]
#SacHTET specific output
                              0 0 0 1 256 10 # Soil temperature of inteneded layer
sac_tsint: 0 K
sac_swint:
               0 m3/m3
                              0 0 0 1 256 10  # Total volumetric soil moisture content of intended layer
             0 m3/m3
0 -
sac_swhint:
                              0 0 0 1 256 10 # Liquid volumetric soil moisture content of intended layer
sac_frost:
                             0 0 0 1 256 10 # Frost
sac_uztwc:
             O mm
                          - 0 0 0 1 256 10 # UZTWC
sac_uzfwc:
             O mm
                          - 0 0 0 1 256 10 # UZFWC
                             0 0 0 1 256 10 # LZTWC
sac_lztwc:
             O mm
sac_lzfsc:
               O mm
                             0 0 0 1 256 10 # LZFSC
                             0 0 0 1 256 10 # LZFPC
sac_lzfpc:
              O mm
             O mm
sac_adimpc:
                          - 0 0 0 1 256 10 # ADIMPC
             O mm
                          - 0 0 0 1 256 10 # UZTWH
sac_uztwh:
sac_uzfwh:
             O mm
                             0 0 0 1 256 10 # UZFWH
                             0 0 0 1 256 10 # LZTWH
sac_lztwh:
               O mm
sac_lzfsh:
                          - 0 0 0 1 256 10 # LZFSH
              O mm
                          - 0 0 0 1 256 10 # LZFPH
sac_lzfph:
               O mm
#Snow17 specific output
snow17_swe: 0 kg/m2
                             0 0 0 1 256 10 # SWE
snow17_aeadj:
               O mm
                             0 0 0 1 256 10 # AEADJ
snow17_neghs: 0 mm
                            0 0 0 1 256 10 # NEGHS
                          - 0 0 0 1 256 10 # LIQW
snow17_liqw: 0 kg/m2
snow17_accmax: 0 mm
                             0 0 0 1 256 10 # ACCMAX
snow17_rmlt:
               0 kg/m2
                             0 0 0 1 256 10 # RMLT
Lake_Tsnow:
             O K
                          - 0 0 0 1 256 10 # Lake temperature at the air snow interface
Lake_Tice:
                          - 0 0 0 1 256 10 # Lake temperature at the snow snow interface
             O K
Lake_Tmnw:
               O K
                             0 0 0 1 256 10 # Mean temperature of the water column
Lake_Twml:
              0 K
                             0 0 0 1 256 10 # Lake temperature of the mixed layer
Lake_Tbot:
             O K
                          - 0 0 0 1 256 10 # Lake temperature at the water bottom
             O K
                         - 0 0 0 1 256 10 # Temperature at the bottom of upper layer of sediments
Lake_Tb1:
             0 -
Lake_CT:
                         - 0 0 0 1 256 10 # Thermocline shape factor of lake
              0 -
Lake_Hice:
                             0 0 0 1 256 10 # Ice thickness above lake
              0 -
Lake_Hml:
                             0 0 0 1 256 10 # Thickness of mixed layer of lake
              0 -
Lake_Hb1:
                         - 0 0 0 1 256 10 # Thickness of upper layer of bottom sediments
Lake_Walbedo: 0 -
                         - 0 0 0 1 256 10 # Water surface albedo over lake
Lake_IceAlbedo: 0 -
                             0 0 0 1 256 10 # Ice surface albedo over lake
Lake_SnowAlbedo: 0 -
                             0 0 0 1 256 10 # Snow surface albedo over lake
           0 -
                         - 0 0 0 1 256 10 # Lake friction velocity in air
Lake UFRa:
               0 -
Lake UFRw:
                        - 0 0 0 1 256 10 # Lake friction velocity in surface water
               0 -
Lake_WConv:
                         - 0 0 0 1 256 10 # Lake convective velocity scale
```

```
Lake_IW: 0 - - - 0 0 0 1 256 10  # Lake radiation flux at the interface

Lake_Qbot: 0 - - 0 0 0 1 256 10  # Lake heat flux across water sediment boundary

RiverStor: 0 m3 - 0 0 0 1 256 10  # River water storage

RiverDepth: 0 m - 0 0 0 1 256 10  # River depth

RiverVelocity: 0 m/s - 0 0 0 1 256 10  # River flow velocity

FloodQ: 0 m3/s - 0 0 0 1 256 10  # Floodplain water discharge

FloodEvap: 0 m3 - 0 0 0 1 256 10  # Floodplain evaporation

FloodStor: 0 m3 - 0 0 0 1 256 10  # Floodplain water storage

FloodDepth: 0 m - 0 0 0 1 256 10  # Floodplain depth

FloodVelocity: 0 m/s - 0 0 0 1 256 10  # Floodplain flow velocity

FloodedArea: 0 - - 0 0 0 1 256 10  # Flooded fraction

FloodedArea: 0 m2 - 0 0 0 1 256 10  # Flooded area

SurfElev: 0 m3 - 0 0 0 1 256 10  # Runoff reservoir storage

BaseflowStor: 0 m3 - 0 0 0 1 256 10  # Baseflow reservoir storage

RTM emissivity: 0 - - 0 0 0 1 256 10  # RTM emissivity

RTM Tb: 0 K - 0 0 0 1 256 10  # RTM emissivity

RTM Tb: 0 K - 0 0 0 1 256 10  # RTM soil moisture

Irrigated water: 0 kg/m2s - 0 0 0 1 256 10  # Irrigated water amount
```

## 12 User Support

This section describes how to request help from and provide feedback to the LIS development team.

#### 12.1 Modeling Guru

The NASA Center for Climate Simulation (NCCS) hosts a system called Modeling Guru, https://modelingguru.nasa.gov. This system is a collection of forums discussing many of NASA's modelling efforts and related topics. LIS has a "community" within Modeling Guru.

This is system is open for reading, but registration is required to post questions. The front page of Modeling Guru provides information regarding requesting an account.

#### 12.2 Requesting help

To request help from the LIS development team, please visit our community within Modeling Guru at https://modelingguru.nasa.gov/community/atmospheric/lis. Select the "Discussions" tab. Please review the existing posts; your question may already be answered. If it is not, then please "Start a discussion" to post your question there. Note that posting a question requires a registered account (see Section 12.1).

When reporting a bug or an error running LIS, please provide a description of the problem, including any error messages printed to the screen. Attach a copy of the *lislog.0000* file. Also attach a copy of any relevant supporting files such as the *lis.config* file, the *MODEL\_OUTPUT\_LIST.TBL* file, the *ldt.config* file, the *param\_attrib.txt* file, etc.

# A Frequently Asked Questions

This section provides a description of common error messages and a possible few options on how to address them.

1. Routine to diagnose error (variable)

```
Error:<var> field is not defined
for diagnostic output ..
Please exclude it from the model output attributes table
Program stopping..
```

This error occurs because the variable specified in the model output attributes file is not among the ones supported for output from the particular model. Either exclude the variable (turn it off) from the model output attributes file or implement the routine within the model to support it.

2. Routine to diagnose error (units)

```
Error:<units> for field <var> is not defined
for diagnostic output ..
supported unit types: <list>
Program stopping..
```

This error occurs because the units of the variable specified in the model output attributes file is not among the supported types. Either change the incorrect unit specification or run the model simulation with a unit type that is supported by LIS.

3. Routine to diagnose error (direction)

```
Error:<direction> for field <var> is not defined
for diagnostic output ..
supported direction types: <list>
Program stopping..
```

This error occurs because the direction of the variable specified in the model output attributes file is not among the supported types. Either change the incorrect direction specification or run the model simulation with a direction type that is supported by LIS.

# **B** LIS Binary File Convention

(Draft, 4/23/2003)

#### **B.1** Introduction

The majority of LIS data is saved in Fortran binary files, with various formats. This note defines the official LIS file scheme, to facilitate unified and consistent access to LIS data by LIS code, user programs and GDS client-server system.

#### B.2 Byte order

LIS data, by default, are saved in binary files as big endian numbers.

#### **B.3** Storage organization

For a specific spatial resolution, the spatial grid space has NC columns and NR rows. In addition, a vectorized land space will often be used, with NL land points.

The minimum storage unit is a 2-D array of NC X NR, or a 1-D array of NL elements. Two dimensional grid space data and 1-D land space data are always saved in separate files.

#### B.4 Missing/undefined values

Data type Missing/Undefined value

character\*1 CHAR(255)

integer\*1 -128

integer\*4 -9999 (?)

real\*4 (?)

# B.5 File name extension convention and access code samples

A LIS binary file name extention has 4 fields. The first field is one or more numeric characters, indicating the total number of storage units the file has. The second field is the lower-case character "g" or "l", indicating grid space or land space, respectively. The third field is the lower-case character "s" or "d", indicating sequential or direct access. The last field, has 2 character width, with the first character indicating the number of bytes each number in the file takes, and the second character, as "c", "i", or "r", indicating the type of data as character, integer or real, respectively.

Example 1: datafile 1.2gs 4r

Sample Fortran code segment to read this file:

```
Real*4 v1(NC, NR), v2(NC, NR)
Open(12, file="datafile1.2gs4r", form="unformatted")
read(12)v1
read(12)v2
Close(12)
```

Example2: datafile2.15gd4i

Sample Fortran code segment to read this file:

```
Integer*4 v1(NC, NR), v10(NC, NR)
Open(12, file="datafile2.15gd4i", form="unformatted", &
access="direct", recl=NC*NR*4)
read(12, rec=1)v1
read(12, rec=10)v10
Close(12)
```

Example3: soilcolor.1ls1c

Sample Fortran code segment to read this file:

```
Character*1 color(NL)
Open(12, file="soilcolor.1ls1c", form="unformatted")
read(12)color
Close(12)
```

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